

SOLICITATION, OFFER AND AWARD1. THIS CONTRACT IS A RATED ORDER
UNDER DPAS (15 CFR 700)

RATING

PAGE

OF PAGES

1

170

2. CONTRACT NUMBER

3. SOLICITATION NUMBER

GS02Q15CRR0002

4. TYPE OF SOLICITATION

☐ SEALED BID (IFB)☒ NEGOTIATED (RFP)

5. DATE ISSUED

08/17/2015

6. REQUISITION/PURCHASE NUMBER

7. ISSUED BY

CODE

General Services Administration/Federal Acquisition Service

8. ADDRESS OFFER TO (If other than item 7)

GSA FAS

c/o Ms. Gail Schneider, HCaTS SB Contracting Officer
26 Federal Plaza, Room 21-110, New York, NY 10278

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

SOLICITATION

9. Sealed offers in original and **four (4)** copies for furnishings the supplies or services in the Schedule will be received at the place specified in item 8, or if hand carried, in the depository located in **26 Federal Plaza, Room 21-110, New York, NY** until **12 PM** local time **12/04/2015**

(Hour) (Date)

CAUTION - LATE Submissions, Modifications, and Withdrawals: See Section L, Provision No. 52.214-7 or 52.215-1. All offers are subject to all terms and conditions contained in this solicitation.

10. FOR INFORMATION CALL:	A. NAME	B. TELEPHONE (NO COLLECT CALLS)		C. E-MAIL ADDRESS
	Gail Schneider, HCaTS SB CO	AREA CODE 212	NUMBER 2644173	EXTENSION sbhcats@gsa.gov

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OFFER (Must be fully completed by offeror)

NOTE: Item 12 does not apply if the solicitation includes the provisions at 52.214-16, Minimum Bid Acceptance Period.

12. In compliance with the above, the undersigned agrees, if this offer is accepted within _____ calendar days (60 calendar days unless a different period is inserted by the offeror) from the date for receipt of offers specified above, to furnish any or all items upon which prices are offered at the set opposite each item, delivered at the designated point(s), within the time specified in the schedule.

13. DISCOUNT FOR PROMPT PAYMENT (See Section I, Clause No. 52.232-8)	10 CALENDAR DAYS (%)	20 CALENDAR DAYS (%)	30 CALENDAR DAYS (%)	CALENDAR DAYS(%)
14. ACKNOWLEDGMENT OF AMENDMENTS (The offeror acknowledges receipt of amendments to the SOLICITATION for offerors and related documents numbered and dated):	AMENDMENT NO.	DATE	AMENDMENT NO.	DATE
	01-11	12/2/15		
15A. NAME AND ADDRESS OF OFFER-OR	CODE	FACILITY	16. NAME AND THE TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or print)	
15B. TELEPHONE NUMBER	AREA CODE	NUMBER	EXTENSION	17. SIGNATURE
				18. OFFER DATE
	15C. CHECK IF REMITTANCE ADDRESS IS DIFFERENT FROM ABOVE - ENTER SUCH ADDRESS IN SCHEDULE.			

AWARD (To be completed by Government)

19. ACCEPTED AS TO ITEMS NUMBERED	20. AMOUNT	21. ACCOUNTING AND APPROPRIATION
22. AUTHORITY FOR USING OTHER THAN FULL OPEN COMPETITION: <input type="checkbox"/> 10 U.S.C. 2304 (c) <input type="checkbox"/> 41 U.S.C. 3304(a) ()	23. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)	ITEM
24. ADMINISTERED BY (If other than Item 7)	25. PAYMENT WILL BE MADE BY	CODE
26. NAME OF CONTRACTING OFFICER (Type or print)	27. UNITED STATES OF AMERICA	28. AWARD DATE
GAIL SCHNEIDER	(Signature of Contracting Officer)	

IMPORTANT - Award will be made on this Form, or on Standard Form 26, or by other authorized official written notice.

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PART I: THE SCHEDULE
SECTION A: SOLICITATION/CONTRACT FORM

A.1 **NOTICES TO OFFERORS**

This is a not a draft solicitation. This is Amendment 11 of the final solicitation for the total small business set-aside contract vehicle known as Human Capital and Training Solutions Small Business (HCaTS SB). Offerors shall follow the instructions set forth in Section L of this solicitation to be considered for award.

Any amendment(s) to this solicitation will be posted to <http://www.fbo.gov>.

A.2 **RESERVED**

(END OF SECTION A)
PART I: THE SCHEDULE
SECTION B: SUPPLIES OR SERVICES AND PRICES/COSTS

B.1 BACKGROUND

B.1.1 OFFICE OF PERSONNEL MANAGEMENT (OPM)

OPM provides human resources, leadership, and support to Federal agencies and the Federal workforce as they serve the American people. OPM has four primary areas of focus: policy and oversight, healthcare and insurance, retirement services, and services for agencies.

The *Government Performance and Results (GPR) Modernization Act of 2010 (GPRAMA)*, in part, mandates that the Office of Management and Budget (OMB) collaborate with agencies to develop a Federal Government Performance Plan for each agency. As part of this mandate, agencies are required to integrate human capital strategies into their agency strategic plans in order to assure full alignment of talent with agency mission and strategy. GPRAMA requires that an agency's performance plan include a description of how performance goals are to be achieved, including operation processes, training, skills and technology, and the human, capital, information, and other resources and strategies required to meet those performance goals.

OPM's Human Resources Solutions (HRS) enterprise is a reimbursable entity for which OPM receives no funding from Congress. HRS provides human resources products and services that assist the Federal government in implementing their performance goals. These products and services thus function to develop leaders, attract and build a high quality public sector workforce, and transform agencies into high performing organizations.

In turn, HRS' Training and Management Assistance Program (TMAP) ensures the development and delivery of customized human resources, human capital and training products and services to Federal agencies by managing private sector contractors in the design, development, and implementation of solutions in the areas of training and human capital in order to improve agency performance against performance metrics at the employee, unit, and enterprise levels. OPM is authorized to make these services available via use of these contracts to all Federal agencies under the auspices of [*5 U.S.C. 1304 \(e\)\(1\)*](#).

Many human resources, human capital and training products and services are offered in the commercial market. However, TMAP offers customized solutions that involve significant modifications to commercial products and services that alter the characteristics or purpose of the products or services. The products and services delivered under the TMAP focus on Federal government requirements and are exclusively provided to Federal agencies. In all cases, the project deliverables are customized or designed to meet unique agency-specific requirements that cannot be adequately met through the use of off-the-shelf solutions.

B.1.2 GENERAL SERVICES ADMINISTRATION (GSA)

GSA was established in 1949 by President Harry Truman as the Federal agency tasked with administering supplies and providing workplaces for Federal employees. Today, GSA makes Federal agencies more effective at what they do by providing expertise, management and smart

acquisition solutions.

GSA's acquisition solutions supply federal purchasers with cost-effective high-quality products and services from commercial vendors. Federal Acquisition Service (FAS) operates as America's buyer, leveraging the buying power and acquisition expertise of the Federal government to deliver new and existing services and high-quality products to taxpayers and Federal customers. Since its establishment, FAS has demonstrated unrivaled capability to deliver these across Federal government at the best value possible.

In 2014, GSA embarked on a three-year vision to make FAS into the Government Acquisition Marketplace, transforming the organization into a transparent, solution-neutral home for acquisition and subject matter expertise. According to FAS Commissioner, Thomas Sharpe, Jr, the goal is "to provide agencies across government with the tools and knowledge they need to make the right buying decisions, reduce the proliferation of duplicative contract vehicles, and deliver the best value possible to our customers and the American people."

To meet this goal, GSA reorganized FAS around the concept of category management, an acquisition strategy used by the most successful Fortune 500 companies. Category management looks at product and service categories and customizes purchase channels to better meet customer needs, leading to smarter purchasing decisions, better purchasing options, and saved dollars. Through their partnership, GSA and OPM can align the Human Capital and Training Solutions Small Business (HCaTS SB) with these strategic goals.

B.1.3 MEMORANDUM OF UNDERSTANDING (MOU)

On April 28th, 2014, GSA and OPM signed a Memorandum of Understanding ([MOU](#)) forming a partnership to address the need of providing the Federal government with human resource training and development and human capital management services more efficiently and effectively using Industry best practices.

Under this agreement, GSA's acquisition capabilities, tools and strategic sourcing experience are merged with OPM's expertise in human resources, human capital, and training and development to form a more powerful Government solution.

This partnership acknowledges the changing Federal landscape. It recognizes GSA's and OPM's strategic goals, the current budgetary realities across Federal government and the need to better support the mission of the Federal agencies that GSA and OPM serve.

The services to be provided under HCaTS SB are intended to meet the human resources, human capital, and training and development requirements of all Federal agencies.

The scope of services under HCaTS SB is defined in Section C.

B.1.4 AUTHORITY

The Administrator of GSA is specifically authorized to purchase supplies and nonpersonal services on behalf of other agencies under [40 U.S.C. 501](#).

The Director of OPM is specifically authorized to perform training and personnel management services on behalf of other agencies under [5 U.S.C. 1304](#).

The authority for the award and administration of the HCaTS contracts and the delegation of authority for the award and administration of task orders under the HCaTS contracts are defined in Section G.

Hereafter, the HCaTS SB Contracting Officer will be referred to as the HCaTS SB CO and the Ordering Contracting Officer at the task order level will be referred to as the OCO.

B.1.5 ECONOMY ACT

In accordance with *FAR Paragraph 17.502-2(b)*, the Economy Act does not apply to task orders awarded under the HCaTS SB contracts under the authorities of [5 U.S.C. 1304](#) and [40 U.S.C. 501](#).

B.1.6 CONTRACT TYPE

HCaTS SB is a Government-Wide, Multiple Award, Indefinite-Delivery, Indefinite-Quantity (MA-IDIQ) task order contract vehicle for customized training and development services, customized human capital strategy services, and customized organizational performance improvement services, which is available for use by all Federal agencies and other entities as listed in the current GSA Order [ADM 4800.2H, Eligibility to Use GSA Sources of Supply and Services](#), as amended.

HCaTS SB allows for all contract types at the task order level (i.e., Fixed-Price {all types}, Cost-Reimbursement {all types}, Time-and-Materials, and Labor-Hour). Task orders may also combine more than one contract type (e.g., FFP/Cost, FFP/Labor-Hour, etc.). Additionally, task orders may include incentives, performance based measures, multi-year or option periods, and commercial or non-commercial items.

B.1.7 MINIMUM GUARANTEE AND MAXIMUM CEILING

The minimum dollar guarantee is \$2,500.00 for each Contractor that does not obtain a task order award under their HCaTS SB contract. The minimum dollar guarantee applies to the contract as a whole and not each ordering period, if exercised. The minimum dollar guarantee will be funded by FY16 funds.

The minimum dollar amount for an individual task order must exceed the micro-purchase

threshold as defined in *FAR Section 2.101*, as amended. The maximum dollar amount for each individual task order placed under an HCaTS SB contract is \$3.45 billion for Pool 1 and \$2.3 billion for Pool 2. An unlimited number of task orders may be placed under an HCaTS SB contract throughout the ordering period, including options, if exercised.

The maximum dollar amount for HCaTS SB, including all options, if exercised, is \$3.45 billion for Pool 1 and \$2.3 billion for Pool 2.

B.1.8 CONTRACT ACCESS FEE (CAF)

GSA and OPM operating costs associated with the management and administration of HCaTS SB are recovered through a Contract Access Fee (CAF). The CAF is a percentage of the total task order amount invoiced and the CAF percentage is set at the discretion of the HCaTS PMO. The HCaTS PMO maintains the unilateral right to change the percentage at any time. See Section G.3.1 for more details regarding the CAF.

B.2 TASK ORDER PRICING

HCaTS SB provides all Federal agencies the flexibility to determine fair and reasonable pricing tailored to the ordering agency's requirement dependent upon level of competition, risk(s), uncertainty(ies), complexity, urgency and contract type(s). The OCO has the authority and responsibility to determine price, and if applicable, cost reasonableness for his agency's task order requirements. Adequate price competition at the task order level, in response to an individual requirement, establishes the most accurate, fair, and reasonable pricing for that requirement.

The OCO shall identify the applicable contract type for all Contract Line Items (CLINs) in each task order.

The Contractor shall propose and the OCO award all labor rates when performance is conducted at the Contractor's facility(ies) at the Contractor Site Rate(s), if applicable. The Contractor shall propose and the OCO award all labor rates at the Government Site Rate(s) when performance is conducted at the Government's facility(ies) or a site not owned or leased by the Contractor, if applicable.

B.2.1 LABOR CATEGORIES AND STANDARD OCCUPATIONAL CLASSIFICATIONS

HCaTS SB provides standardized labor categories that correspond to the Office of Management and Budget's (OMB) Standard Occupational Classification (SOC) system for which the Bureau of Labor Statistics (BLS) maintains compensation data.

In accordance with Attachment J.1 (Standardized Labor Categories), all of the HCaTS SB labor categories are either an individual labor category that is mapped to a single SOC and functional

description or a labor category group that is mapped to multiple SOC Numbers and functional descriptions. The HCaTS labor category groups were established based upon BLS published data regarding similar direct labor compensation within a grouping of multiple SOC numbers and functional descriptions.

Except for ancillary labor as defined under Section B.3, when responding to task order solicitations, regardless of contract type, the Contractor shall identify both Prime and Subcontractor labor using the HCaTS labor categories. The Contractor may deviate from the Junior, Journeyman, Senior, and Subject Matter Expert (SME) definitions in Attachment J.1 (Standardized Labor Categories), as long as the Contractor clearly identifies the deviation in its proposals. Additionally, the following qualification substitution chart applies:

Degree	Experience Substitution	Experience and Degree Substitution
Bachelor's Degree	Six years of relevant work experience may be substituted for a Bachelor's Degree	Associate's Degree plus four years of relevant work experience may be substituted for a Bachelor's Degree
Master's Degree	12 years of relevant work experience may be substituted for a Master's Degree	Bachelor's Degree plus eight years of relevant work experience may be substituted for a Master's Degree
Doctorate Degree	20 years of relevant work experience may be substituted for a Doctorate Degree	Bachelor's Degree plus 16 years or a Master's Degree plus 12 years of relevant work experience may be substituted for a Doctorate Degree

B.2.2 FIXED-PRICE TASK ORDERS

Fixed-Price contracts are defined under *FAR Subpart 16.2* and other applicable agency-specific regulatory supplements.

B.2.3 COST REIMBURSEMENT TASK ORDERS

Cost reimbursement contracts are defined under *FAR Subpart 16.3* and other applicable agency-specific regulatory supplements. *FAR Parts 30* and *31* may apply to cost-reimbursement task orders.

To be considered for cost reimbursement task order awards, the Contractor shall have and maintain an adequate accounting system that will permit timely development of all necessary cost data in the form required by the proposed contract type.

The Contractor may be required to submit a cost proposal with supporting information for each cost element, including, but not limited to, direct labor, fringe benefits, overhead, general and administrative (G&A) expenses, facilities capital cost of money, other direct costs, and fee consistent with its cost accounting system, provisional billing rates, and/or forward pricing rate

agreements.

Cost reimbursement task orders shall only be used for the acquisition of non-commercial items.

B.2.4 INCENTIVE TASK ORDERS

Incentives are defined under *FAR Subpart [16.4](#)* and other applicable agency-specific regulatory supplements.

B.2.5 TIME-AND-MATERIALS (T&M) AND LABOR-HOUR (L-H) TASK ORDERS

T&M and L-H contracts are defined under *FAR Sections [16.601](#) and [16.602](#)*, respectively, and other applicable agency-specific regulatory supplements.

The Contractor may provide separate and/or blended loaded hourly labor rates for Prime Contractor labor, each Subcontractor, and/or each Division, Subsidiary, or Affiliate in accordance with the provisions set forth in *FAR [52.216-29](#), [52.216-30](#) and/or [52.216-31](#)*. The OCO shall identify which provision(s) is applicable in the task order solicitation and the Contractor shall comply with the provision(s).

T&M and L-H task orders require the HCaTS SB standardized labor categories and their associated rates to be identified in the task order award document.

Ancillary labor categories shall be paid in accordance with *FAR [52.212-4 \(Alternate I\)](#)* (for commercial task order CLIN) and/or *FAR [52.232-7](#)* (for non-commercial task order CLIN).

B.2.5.1 CEILING RATES FOR TIME-AND-MATERIALS (T&M) AND LABOR-HOUR (L-H)

When preparing solicitations for T&M and/or L-H task order CLINs, the OCO must select from the following provisions in the task order solicitation.

1. [*FAR 52.216-29 Time-and-Materials/Labor-Hour Proposal Requirements—Non-Commercial Item Acquisition With Adequate Price Competition \(Feb 2007\)*](#)
2. [*FAR 52.216-30 Time-and-Materials/Labor-Hour Proposal Requirements—Non-Commercial Item Acquisition Without Adequate Price Competition \(Feb 2007\)*](#)
3. [*FAR 52.216-31 Time-and-Materials/Labor-Hour Proposal Requirements—Commercial Item Acquisition \(Feb 2007\)*](#)

For organizations within the Department of Defense (DoD), when selecting *FAR [52.216-29](#)* the OCO shall also select DFAR [252.216-7002, Alternate A](#).

When *FAR 52.216-30* is selected or *FAR 52.216-31* is selected and there is an exception to fair opportunity, HCaTS SB establishes maximum allowable labor rates in the form of fully burdened ceiling rates for all professional, non-ancillary, CONUS, T&M/L-H labor for both Government and Contractor Sites. Based on the specific task order requirements, the OCO is authorized to exceed the HCaTS SB fully burdened ceiling rates for those labor categories that include Secret/Top Secret/SCI labor and/or OCONUS locations, if necessary.

The fully burdened ceiling rates do not apply when *FAR 52.216-29* is selected, or *FAR 52.216-29* with *DFAR 252.216-7002, Alternate A* is selected, or *FAR 52.216-31* is selected and there is not an exception to fair opportunity.

The fully burdened ceiling rates awarded at initial contract award shall serve as the basis for all future year pricing for those fully burdened ceiling rates. In order to determine future year fully burdened ceiling rate pricing, the originally awarded rates will have an escalation factor applied. This escalation factor will be the average annual BLS ECI for the previous three years. In Year 5 of HCaTS SB, if the average annual ECI for the previous three years is higher than at time of HCaTS SB award, the fully burdened ceiling rates for Years 6 through 16 will be adjusted by the difference of percentage increase. For example, if the BLS ECI index was 2.23% at time of proposal submission and the BLS ECI index is 3.16% in Year 5 of HCaTS, the fully burdened ceiling rates for Years 6 through 16 will be adjusted by 0.93% per year on a cumulative basis. If the BLS ECI index in Year 5 of HCaTS SB is equal to or below the BLS ECI index at time of award, the fully burdened ceiling rates will remain unchanged. In Year 10 of HCaTS SB, if the previous three year average annual BLS ECI index for the previous three years is higher than Year 5 of HCaTS SB, the fully burdened ceiling rates for Years 11 through 16 will be adjusted by the difference of percentage increase in accordance with the example above. If the average index in Year 10 is equal to or below the average index in Year 5, the fully burdened ceiling rates will remain unchanged.

The HCaTS SB fully burdened ceiling rates that are in effect at the time a task order is awarded shall remain with the task order award during the entire term of the task order, including task orders with option periods.

See Section F.4 for HCaTS SB contracts and task orders awarded under HCaTS SB contracts.

B.3 ANCILLARY SUPPORT SERVICES AND OTHER DIRECT COSTS

Other ancillary support services, integral and necessary as part of a total integrated solution within the scope of HCaTS SB for which there is not a labor category specified in HCaTS SB, necessary to obtain a total, customized, and integrated solution, are allowable costs and may be included within an individual task order under an HCaTS SB contract. Ancillary support services may include, but are not limited to, other professional and/or non-professional services; commercial and/or non-commercial items; IT services and/or components, administrative support; data entry; and, subject matter expertise. Other direct costs (ODCs) may include

expenses such as travel, materials, equipment and/or Subcontractors. The Contractor shall propose and identify each ancillary support service or ODCs separately and the OCO shall identify each ancillary support service or ODCs by a separate CLIN on the task order award.

The Contractor shall report in the Contract Payment Reporting Module (CPRM) all ancillary labor in accordance with Section G.3.2.

B.3.1 SPECIALIZED PROFESSIONAL SERVICES LABOR

Specialized professional services labor is defined as bona fide executive, administrative, or professional skills for which the expertise required or duties performed are within the scope of HCaTS SB, but are so specialized that they are not explicitly defined in any labor category description in Attachment J.1 (Standardized Labor Categories). The Contractor may propose specialized professional services labor when proposing ancillary support; however, the OCO will determine whether circumstances merit the use of specialized professional skills. Whenever possible, this specialized professional labor should be mapped to the BLS SOC.

If the use of specialized professional services labor becomes frequent, additional labor categories and their associated fully burdened ceiling rates may be added by bilateral modification to an HCaTS SB contract.

B.3.2 LABOR SUBJECT TO THE SERVICE CONTRACT ACT (SCA)

HCaTS SB labor categories, identified in Attachment J.1 (Standardized Labor Categories), are considered bona fide executive, administrative, and professional labor that are exempt from the Service Contract Act (SCA).

To the extent that any ancillary labor for services are within the scope of HCaTS SB and subject to the SCA in accordance with *FAR Subpart 22.10* and other applicable agency-specific regulatory supplements, the OCO shall identify such work in the task order solicitation and make a determination as to whether SCA wage determinations are to be applied or not.

HCaTS SB does not include any provisions or clauses applicable to any SCA work that is part of a total solution within the scope of HCaTS SB. The OCO shall incorporate the appropriate provisions and clauses in each task order solicitation and subsequent award when the SCA applies.

B.3.3 LABOR OUTSIDE THE CONTINENTAL UNITED STATES (OCONUS)

Outside the Continental United States (OCONUS) is defined as other than the 48 contiguous states plus the District of Columbia. It is anticipated that there may be task orders for work OCONUS.

The U.S. Department of State's Bureau of Administration, Office of Allowances, publishes

quarterly report indexes of living costs abroad, per-diem rate maximums, quarter's allowances, hardship differentials, and danger pay allowances.

The [*Department of State Standardized Regulations \(DSSR\)*](#) is the controlling regulations for allowances and benefits available to all U.S. Government civilians assigned to foreign areas. For task orders issued under HCaTS SB contracts, Contractor personnel assigned to foreign areas shall not receive allowances and benefits in excess of those identified in the DSSR.

For OCONUS task orders where costs are not specifically addressed in the DSSR, the Government will reimburse the Contractor for all reasonable, allowable, and allocable costs in accordance with *FAR Part 31* and other applicable agency-specific regulatory supplements.

B.3.4 TRAVEL

Travel costs may be firm-fixed price or reimbursed at actual cost in accordance with the limitations set forth in *FAR Subsection 31.205-46* and other applicable agency-specific regulatory supplements. Unless otherwise directed by task order terms and conditions, the Contractor may apply indirect costs to travel consistent with the Contractor's standard accounting practices.

B.3.5 MATERIALS AND EQUIPMENT

Material means property that may be consumed or expended during the performance of a contract, component parts of a higher assembly, or items that lose their individual identity through incorporation into an end-item. Material does not include equipment, special tooling, special test equipment or real property.

Equipment means a tangible item that is functionally complete for its intended purpose, durable, nonexpendable, and needed for the performance of a contract. Equipment is not intended for sale, and does not ordinarily lose its identity or become a component part of another article when put into use. Equipment does not include material, real property, special test equipment or special tooling.

Material and equipment shall be priced in accordance with the terms of the task order solicitation, contract type, and applicable FAR and agency-specific regulatory supplements. Unless otherwise directed by task order terms and conditions, the Contractor may apply indirect costs to material and equipment consistent with the Contractor's standard accounting practices.

B.3.6 SUBCONTRACTING

For non-commercial items, subcontracting shall follow the procedures set forth in *FAR Part 44* and other applicable agency-specific regulatory supplements.

For commercial items, subcontracting shall follow the procedures set forth in *FAR Part 12* and

FAR Subpart [44.4](#), and other applicable agency-specific regulatory supplements.

(END OF SECTION B)
PART I: THE SCHEDULE
SECTION C: DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK

C.1 SCOPE

The Office of Personnel Management (OPM) and the General Services Administration (GSA) formed a new partnership to jointly award and administer a new strategically sourced contract vehicle providing customized training and development services, customized human capital strategy services, and customized organizational performance improvement services to all Federal agencies. The goals of this partnership are grounded in law, in OPM's mission, and in Governmentwide principles of human capital management. OPM will continue to offer high quality products while taking advantage of GSA's ability to provide government-wide cost savings and efficiencies through its federal strategic sourcing expertise.

Through this partnership, GSA will be principally responsible for contract administration, while OPM will be responsible for policy oversight.

Human Capital and Training Solutions Small Business (HCaTS SB), through delegated procurement authority and OPM assisted acquisition services, will provide Federal agencies with both direct access and assisted acquisition access to customized training and development services, customized human capital strategy services, and customized organizational performance improvement services

HCaTS SB will also address:

1. the goals of strategic sourcing, which include reducing contract duplication and administrative costs, improving the quality of solutions while managing demand, increasing transparency, and ensuring the Government obtains the best value at the best overall price, and
2. the requirements of [5 CFR 250](#), which provides authority for personnel actions in Subpart A and authority for strategic human capital management in Subpart B.

In accordance with the requirements prescribed in [5 CFR 250](#), HCaTS SB is also designed to assist Federal agencies in carrying out their roles in managing human capital according to the following principles:

1. A Federal agency's human capital management strategies, plans, and practices should:
 - a. Integrate strategic plans, annual performance plans and goals, and other relevant budget, finance, and acquisition plans, and
 - b. Contain measurable and observable performance targets, and
 - c. Communicate in an open and transparent manner to facilitate cross-agency collaboration to achieve mission objectives.

2. A Federal agency's talent management system should enable a Federal agency to:
 - a. Plan for and manage current and future workforce needs, and
 - b. Design, develop, and implement proven strategies and techniques and practices to attract, hire, develop, and retain talent, and
 - c. Make meaningful progress toward closing knowledge, skill, and competency gaps in all occupations within the Federal agency.
3. A Federal agency's approach to performance management should include:
 - a. Strategies and processes to foster a culture of engagement and collaboration, and
 - b. A diverse, results-oriented, high-performing workforce, and
 - c. A performance management system that differentiates levels of performance of staff, provides regular feedback, and links individual performance to organizational goals.
4. A Federal agency's use of evaluation should contribute to agency performance by monitoring and evaluating outcomes of its human capital management strategies, policies, programs, and activities, checking both for merit system compliance and for success in identifying, implementing, and monitoring process improvements.

These four principles are consistent with the information prescribed within [5 CFR 250](#) and in OPM's current Human Capital Assessment and Accountability Framework (HCAAF), as referenced in [5 CFR 250](#). The HCAAF is a living approach to human capital management that is expected to evolve over time as a guide for defining scope at the task order level.

HCaTS SB seeks to acquire multiple qualified Contractors to provide customized training and development services, customized human capital strategy services, and customized organizational performance improvement services to meet the Federal government's workforce needs while conforming to the above stated principles, Federal agency-specific and OPM policies, procedures, rules, regulations, and other governing doctrines. At the same time, Federal agencies will continue looking for ways to achieve their goals in as cost-efficient a manner as possible. HCaTS SB has been designed to meet such challenges.

C.1.1 KEY SERVICE AREAS (KSA)

OPM's experience is that customers have requirements that require solutions drawing from many different disciplines of study and areas of expertise. As a result, HCaTS SB consists of three KSAs: 1) Customized Training and Development Services, 2) Customized Human Capital Strategy Services, and 3) Customized Organizational Performance Improvement. Through the development and deployment of customized solutions defined in the KSAs below, HCaTS SB

will be able to assist Federal agencies in accomplishing the following six objectives:

1. Improving the management of human capital in accordance with the HCAAF and OPM governing doctrines and accomplishing their assigned critical missions, and
2. Increasing the effectiveness and efficiency of critical business processes, and
3. Providing optimal professional development opportunities to the Federal workforce, and
4. Undertaking effective change management initiatives, and
5. Developing effective metrics to assess progress in carrying out human capital strategies, and
6. Maximizing the return on investment in training and development, human capital, and organizational performance improvements.

These three KSAs will enable HCaTS SB to provide Federal agencies with a full range of customized solutions for training and development, human capital strategy, and organizational performance improvement requirements across the Federal government.

C.2 AUTHORITIES

The following list of authorities may be applicable at the task order level:

- [*Chief Human Capital Officers Act of 2002*](#)
- [*Executive Order 13111, Using Technology to Improve Training Opportunities for Federal Government Employees*](#)
- [*Section 508 of the Rehabilitation Act*](#)
- [*Department of Defense Shareable Courseware Object Reference Model \(SCORM\)*](#)
- [*5 CFR 250*](#)
- [*Government Performance and Results \(GPRA\) Modernization Act of 2010 \(GPRAMA\)*](#)
- [*Office of Management and Budget \(OMB\) Memorandum M-10-27*](#)
- [*Office of Management and Budget \(OMB\) Circular A-11, Section 220*](#)

C.3 SUMMARY OF REQUIREMENTS

The objective of HCaTS SB is to provide Federal agencies with a total integrated and customized solution for training and development services, human capital strategy services, and organizational performance improvement services at all levels (e.g., enterprise, unit, individual). Ultimately, solutions at the task order level should align with the principles of sound human capital management. Federal agencies have the flexibility to award task orders that encompass any and all KSAs for any portion of their workforce at any organizational level. The KSAs identify general lifecycles and specific disciplines, however, these are not meant to be all inclusive and OCOs have the authority to define requirements and award task orders that meet their specific needs so long as the scope falls under the definition of one or more KSA(s).

C.3.1 KEY SERVICE AREAS (KSA)

C.3.1.1 KSA 1 (CUSTOMIZED TRAINING AND DEVELOPMENT SERVICES)

This KSA covers many types of customized training and development requirements and their respective lifecycles, which can be performed at any level (e.g., enterprise, unit, individual). The level of customization may vary dependent upon an ordering activity's requirements. While training and development requirements that are not customized are generally, by definition, considered to be outside the scope of this KSA, the HCaTS SB CO reserves the right to permit consideration of limited customization efforts dependent upon the total solution required to meet a Federal agency's needs. An OCO is highly encouraged to request a within scope determination from the HCaTS SB CO prior to task order solicitation/award to ensure a contemplated requirement is sufficient in its degree of customization to meet the requirements set forth herein and/or is appropriate for competition under HCaTS SB.

NOTE: Whether rendered during a pre-award within scope determination, or during an HCaTS PMO audit after award of a task order, the HCaTS SB CO's within scope determination is final. All requirements that are determined to be outside the scope of this KSA shall not be included in a resultant task order. If the task order was already awarded, the OCO shall take all necessary corrective actions to comply with the HCaTS SB CO's determination.

The scope of KSA 1 falls into two domains:

1. Customized Training Services: Includes, but is not limited to, training that is developed and/or delivered to any Federal employee to enhance knowledge, skills and abilities related to a specific title, series and/or function on any subject matter, or general knowledge, skills and abilities. Customized training services may also include, as part of training management support, such support services as course or instructional program administration. Customized training services may also include training that is developed and/or delivered to a Federal agency's constituency(ies) as a means of enabling the agency to carry out its mission, and
2. Customized Employee Development Services: Includes, but is not limited to, services provided to any Federal employee to develop and/or enhance their general, mission-

specific, management and/or leadership knowledge, skills and abilities. A service that is provided to any Federal employee to coach them throughout their transition(s) in the Federal government.

Training and development services can be provided at any level for any discipline and subject matter in any format and mode. As a part of an integrated and total solution, temporary services as defined by *FAR Section 37.112* and information technology products and services are allowable provided they are ancillary and incidental to the in-scope work to be performed.

The below model illustrates the overall scope of KSA 1:



C.3.1.2 KSA 2 (CUSTOMIZED HUMAN CAPITAL STRATEGY SERVICES)

This KSA covers many types of customized human capital strategy services and their respective lifecycles, which can be performed at any level (e.g., enterprise, unit, individual). The level of customization may vary dependent upon an ordering activity's requirements. While human capital strategy services that are not customized are generally, by definition, considered to be outside the scope of this KSA, the HCaTS SB CO reserves the right to permit consideration of limited customization efforts dependent upon the total solution required to meet a Federal agency's needs. An OCO is highly encouraged to request a within scope determination from the HCaTS SB CO prior to task order solicitation/award to ensure a contemplated requirement is

sufficient in its degree of customization to meet the requirements set forth herein and/or is appropriate for competition under HCaTS SB.

NOTE: Whether rendered during a pre-award within scope determination, or during an HCaTS PMO audit after award of a task order, the HCaTS SB CO's within scope determination is final. All requirements that are determined to be outside the scope of this KSA shall not be included in a resultant task order. If the task order was already awarded, the OCO shall take all necessary corrective actions to comply with the HCaTS SB CO's determination.

The scope of KSA 2 is inclusive of, but not limited to, a broad range of human capital and human resources services. These services may include, as a part of talent management and human capital management, the following:

- HR strategy
- Organizational and position management
- Staff acquisition
- Performance management
- Compensation management (excluding payroll)
- HR Development
- Employee relations
- Labor relations
- Separation management.

The scope of KSA 2 excludes payroll processing, benefits management, and personnel action processing, which are provided through Public and Private Shared Service Centers (SSCs).

Human capital strategy services can be provided at any level for any discipline and subject matter in any format and mode. As a part of an integrated and total solution, temporary services as defined by *FAR Section [37.112](#)* and information technology products and services are allowable provided they are ancillary and incidental to the in-scope work to be performed.

The below model illustrates the overall scope of KSA 2:



C.3.1.3 **KSA 3 (CUSTOMIZED ORGANIZATION PERFORMANCE IMPROVEMENT)**

This KSA covers many types of customized organizational performance improvement services and their respective lifecycles, which can be performed at any level (e.g., enterprise, unit, individual). The level of customization may vary dependent upon an ordering activity's requirements. While organizational performance improvement services that are not customized are generally, by definition, considered to be outside the scope of this KSA, the HCaTS SB CO reserves the right to permit consideration of limited customization efforts dependent upon the total solution required to meet a Federal agency's needs. An OCO is highly encouraged to request a within scope determination from the HCaTS SB CO prior to task order solicitation/award to ensure a contemplated requirement is sufficient in its degree of customization to meet the requirements set forth herein and/or is appropriate for competition under HCaTS SB.

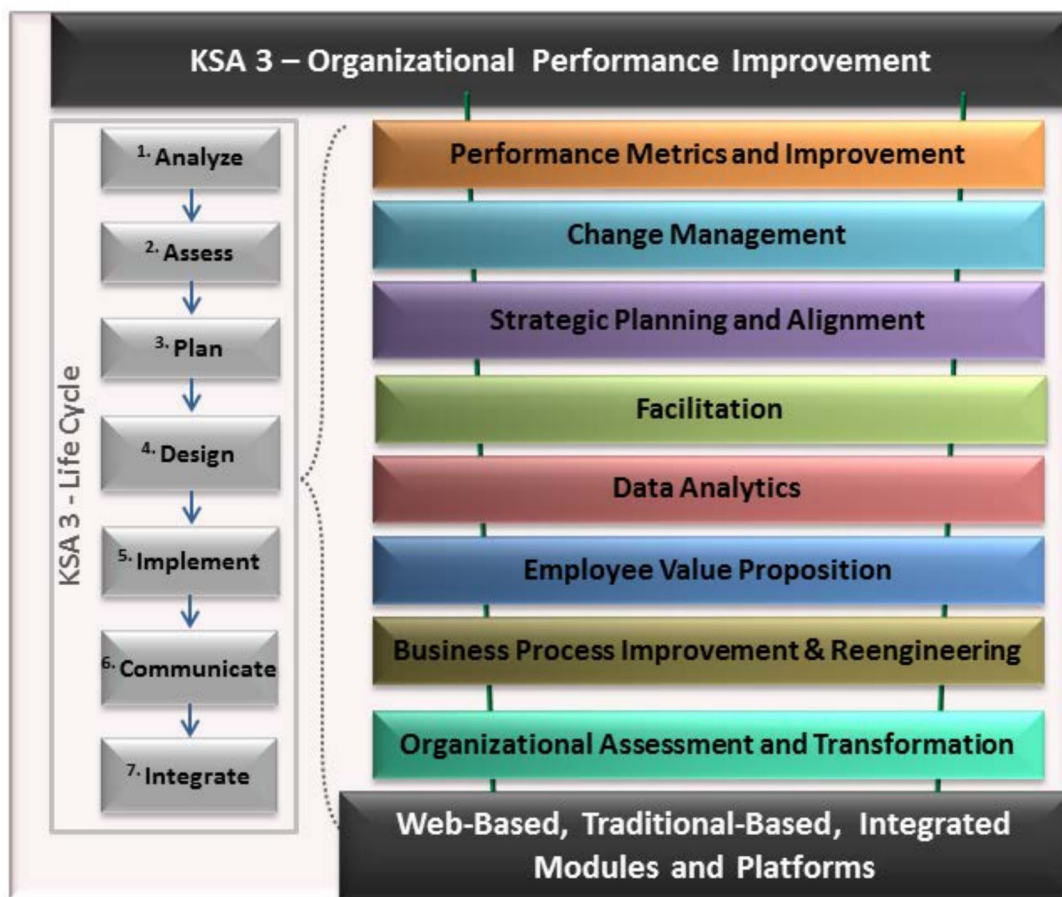
NOTE: Whether rendered during a pre-award within scope determination, or during an HCaTS PMO audit after award of a task order, the HCaTS CO's within scope determination is final. All requirements that are determined to be outside the scope of this KSA shall not be included in a

resultant task order. If the task order was already awarded, the OCO shall take all necessary corrective actions to comply with the HCaTS SB CO's determination.

The scope of KSA 3 is inclusive of, but not limited to, improved performance requiring changes in how people are organized around business processes, changes to the processes themselves and the tools created to support those processes, as well as changes in management practices.

Customized Organizational Performance Improvement services can be provided at any level for any discipline and subject matter in any format and mode. As a part of an integrated and total solution, temporary services as defined by *FAR Section 37.112* and information technology products and services are allowable provided they are ancillary and incidental to the in-scope work to be performed.

The below model illustrates the overall scope of KSA 3:



C.3.2 ANCILLARY SUPPORT SERVICES AND OTHER DIRECT COSTS

Ancillary support services and other direct costs are for task orders that complete work or a project that is solely associated with the services procured under HCaTS SB. These services are integral and necessary to complete a total, customized, integrated solution under a training and development, human capital, and/or organizational performance improvement requirement within the scope of HCaTS SB.

Ancillary support services may include, but are not limited to, other professional and/or non-professional services; commercial and/or non-commercial items; IT services and/or components, administrative support; data entry; and, subject matter expertise. Other direct costs may include expenses such as travel, materials, equipment and/or Subcontractors. See also Section B.3.

The OCO may allow and the Contractor may propose a labor category or labor categories at the task order level not identified in Attachment J.1 (Standardized Labor Categories), provided that the Contractor complies with all applicable contract clauses and labor laws, including the Service Contract Act, if applicable. See Section B.3 and B.3.1.

C.3.3 INFORMATION TECHNOLOGY (IT) AND NON-INFORMATION TECHNOLOGY PRODUCTS AND SERVICES

Information Technology (IT), by legal definition, means any equipment, or interconnected system(s) or subsystem(s) of equipment that is used for the automatic acquisition, storage, analysis, evaluation, manipulation, management, movement, control, display, switching, interchange, transmission, or reception of data or information by a Federal agency. For purposes of this definition, equipment is used by a Federal agency if the equipment is used by the Federal agency directly or is used by a Contractor under a task order with the Federal agency that require its use; or to a significant extent, its use in the performance of a service or the furnishing of a product.

IT is considered an ancillary support service or product on task orders and may be performed and/or provided only when the service or product is integral and necessary to complete a total integrated solution under a professional service based requirement within the scope of HCaTS SB.

When providing ancillary IT services and/or products, the Contractor shall promote IT initiatives and best practices that support Federal Government operational requirements for standardized technology and application service components. This shall facilitate integration requirements for broad Federal IT and E-Gov initiatives, as well as promote the sharing, consolidation, and re-use of business processes and systems across the Federal Government. The Contractor shall promote the use of open source solutions and open technology development where practicable to enable this re-use.

Non-IT includes any service or equipment that is acquired by a Contractor incidental to a task order or contains imbedded IT that is used as an integral part of the service or product, but the principal function of which is not the acquisition, storage, analysis, evaluation, manipulation, management, movement, control, display, switching, interchange, transmission, or reception of data or information.

Non-IT also includes any equipment or services related to any human capital/human resource systems. This means any information systems operated by the Federal Government, the function, operation or use of which involves direct relation to the area of human capital or human resources. For example, a requirement to recommend strategic uses or enhancements to an existing IT system which tracks Government personnel engagement would be considered Non-IT. In this example, a Federal agency awards a task order for a Contractor to provide it with a recommendation on what to do with an existing IT system used by Government personnel to track Government personnel engagement. The task order implementing this requirement may permit the Contractor to analyze and/or test the existing IT system and any systems it interfaces with. While this work may entail primary usage of IT labor categories, it is considered Non-IT for the purposes of HCaTS SB as the end result is a recommendation and not the actual modifying of an existing or building a new IT system.

C.3.4 TEMPORARY SUPPORT SERVICES

Federal agencies may award task orders which include the brief or intermittent use of temporary labor provided that such use is ancillary and incidental to the work to be performed. Services furnished by temporary help firms shall not be regarded or treated as personal services. These services shall not be used in lieu of regular recruitment under civil service laws or to displace a Federal employee. Acquisition of these services shall comply with the authority, criteria, and conditions of [5 CFR Part 300, Subpart E, Use of Private Sector Temporaries](#), and Federal agency procedures.

As prescribed in Section B.3.2., if the temporary services fall under the Service Contract Act, OCOs shall include the appropriate clauses as prescribed in *FAR Subpart 22.10*.

C.3.5 SERVICES NOT IN SCOPE

At no time shall an OCO award a task order and a Contractor perform inherently governmental functions in accordance with *FAR Subpart 7.5* and [Office of Federal Procurement Policy \(OFPP\) Policy Letter 11-01](#).

Unless authorized by statute, at no time shall an OCO award a task order and a Contractor perform personal services in accordance with *FAR Section 37.104*.

At no time shall an OCO award a task order and a Contractor perform architect & engineering (A&E) services as defined in *FAR Section 2.101* and subject to the [Brooks Architect-](#)

Engineers Act (40 U.S.C. 1102).

At no time shall an OCO award a task order and a Contractor perform a requirement that primarily uses employees not employed in a bona fide executive, administrative, or professional capacity as defined in *29 CFR Part 541* and/or employees primarily employed as labor or mechanics as defined in *FAR Section 22.401*.

At no time shall a task order include as its primary purpose ancillary services as defined in Section C.3.2.

At no time shall a task order include as its primary purpose the development of information technology as defined by *FAR Section 2.101* and Section C.3.3.

At no time shall a task order include as its primary purpose temporary services as defined by *FAR Section 37.112* and Section C.3.4.

At no time shall an OCO award a task order and a Contractor provide a learning management system(s).

(END OF SECTION C)
PART I: THE SCHEDULE
SECTION D: PACKAGING AND MARKING

D.1 PACKAGING AND MARKING

Packaging and marking of all deliverables shall conform to normal commercial packaging standards to assure safe delivery at destination. Terms, conditions, and other requirements regarding packaging and marking shall be designated by the OCO at the task order level.

(END OF SECTION D)
PART I: THE SCHEDULE
SECTION E: INSPECTION AND ACCEPTANCE

E.1 INSPECTION AND ACCEPTANCE

The Human Capital and Training Solutions Program Management Office (HCaTS PMO) shall inspect and accept deliverables for all HCaTS SB contracts in accordance with:

FAR	TITLE	DATE
<u>52.246-4</u>	Inspection of Services—Fixed-Price	AUG 1996

Eligible users, via delegated Ordering Contracting Officers (OCOs) (see Section G.2.4), shall inspect and accept deliverables for Firm-Fixed Price (FFP) and Fixed Price with Economic Price Adjustment (FP EPA) commercial task orders placed under HCaTS SB contracts in accordance with:

FAR	TITLE	DATE
<u>52.212-4(a)</u>	Contract Terms and Conditions— Commercial Items	MAY 2015

Eligible users, via delegated OCOs, shall inspect and accept deliverables for Time-and-Materials (T&M) and Labor-Hour (L-H) commercial task orders placed under HCaTS SB in accordance with:

FAR	TITLE	DATE
<u>52.212-4(a) Alternate I</u>	Contract Terms and Conditions— Commercial Items	MAY 2014

Eligible users, via delegated OCOs, shall inspect and accept deliverables for non-commercial task orders placed under HCaTS SB contracts in accordance with the following clauses, as applicable:

FAR	TITLE	DATE
<u>52.246-1</u>	Contractor Inspection Requirements	APR 1984
<u>52.246-4</u>	Inspection of Services—Fixed-Price	AUG 1996
<u>52.246-5</u>	Inspection of Services—Cost- Reimbursement	APR 1984
<u>52.246-6</u>	Inspection—Time-and-Material and Labor- Hour	MAY 2001

Additional terms, conditions and other requirements regarding inspection and acceptance shall be designated by the OCO at the task order level.

(END OF SECTION E)
PART I: THE SCHEDULE
SECTION F: DELIVERIES OR PERFORMANCE

F.1 DELIVERABLES

The Contractor shall deliver deliverables for its Human Capital and Training Solutions Small Business (HCaTS SB) contract and all task orders placed under HCaTS SB contracts in accordance with:

FAR	TITLE	DATE
52.247-34	F.O.B Destination	NOV 1991

Terms, conditions and other requirements regarding delivery of deliverables shall be designated by the Ordering Contracting Officer (OCO) at the task order level.

F.2 PERFORMANCE

The Contractor shall perform in accordance with the terms and conditions of its HCaTS contract and shall perform for all task orders in accordance with the terms and conditions of the task order.

The HCaTS SB CO may stop or terminate performance of an HCaTS SB contract in accordance with:

FAR	TITLE	DATE
52.242-15	Stop-Work Order	AUG 1989
52.249-2	Termination for Convenience of the Government (Fixed-Price)	APR 2012
52.249-8	Default (Fixed-Price Supply and Service)	APR 1984

Eligible users, via delegated OCOs (see Section G.2.4), may stop or terminate performance for Firm-Fixed Price (FFP) and Fixed Price with Economic Price Adjustment (FP EPA) commercial task orders placed under HCaTS SB contracts in accordance with:

FAR	TITLE	DATE
52.212-4(l)	Contract Terms and Conditions—Commercial Items	MAY 2015
52.212-4(m)	Contract Terms and Conditions—Commercial Items	MAY 2015
52.242-15	Stop-Work Order	AUG 1989

Eligible users, via delegated OCOs, may stop or terminate performance for Time-and-Materials (T&M) and Labor-Hour (L-H) commercial task orders placed under HCaTS SB contracts in accordance with:

FAR	TITLE	DATE
52.212-4(l) Alternate I	Contract Terms and Conditions—Commercial Items	MAY 2014
52.212-4(m) Alternate I	Contract Terms and Conditions—Commercial Items	MAY 2014
52.242-15	Stop-Work Order	AUG 1989

Eligible users, via delegated OCOs, may stop or terminate performance for non-commercial task orders placed under HCaTS SB contracts in accordance with:

FAR	TITLE	DATE
<u>52.242-15</u>	Stop-Work Order	AUG 1989
<u>52.242-15 Alternate I</u>	Stop-Work Order	AUG 1984
<u>52.249-1</u>	Termination for Convenience of the Government (Fixed-Price) (Short Form)	APR 1984
<u>52.249-2</u>	Termination for Convenience of the Government (Fixed-Price)	APR 2012
<u>52.249-6</u>	Termination (Cost-Reimbursement)	MAY 2004
<u>52.249-6 Alternate IV</u>	Termination (Cost-Reimbursement)	SEPT 1996
<u>52.249-8</u>	Default (Fixed-Price Supply and Service)	APR 1984

Terms, conditions and other requirements regarding performance shall be designated by the OCO at the task order level.

F.3 PLACE OF PERFORMANCE

The services to be provided under HCaTS SB shall be accomplished at the locations identified in the task order and may include locations in the Continental United States (CONUS) and Outside the CONUS (OCONUS).

F.4 CONTRACT ORDERING PERIOD

The ordering period of HCaTS SB is from the date of the Notice-To- Proceed through five years thereafter, with one five-year option period in accordance with *FAR* [52.217-9](#) and one six-month option period in accordance with *FAR* [52.217-8](#), for a total of 10.5 years.

After the HCaTS SB ordering period expires, HCaTS SB will remain an active contract vehicle until the final task order is closed-out and shall govern the terms and conditions with respect to active task orders to the same extent as if it were completed during the HCaTS SB ordering period.

F.4.1 TASK ORDER PERIOD OF PERFORMANCE

The period of performance for each task order awarded under an HCaTS SB contract shall be specified in the task order. Task orders shall be solicited and awarded prior to the expiration of the HCaTS SB ordering period and may extend up to five years and six months after the HCaTS SB ordering period expires.

Task order option periods may be exercised after the HCaTS SB ordering period expires provided that the final task order option period does not extend the cumulative term of the task order beyond five years and six months after the HCaTS SB ordering period expires.

Accordingly, the cumulative ordering period of HCaTS SB may span up to 10.5 years and the

cumulative period of performance of all task orders placed under HCaTS SB may span up to 16 years.

F.5 PERFORMANCE STANDARDS

HCaTS SB is a performance-based contract vehicle with measurable standards in terms of quality and timeliness of deliverables and compliances in accordance with Sections F.5.1 and F.5.2.

In the event the Contract Payment Reporting Module (CPRM) is not operational, deliverable and reporting requirements designated for input into the CPRM shall be provided as directed by the HCaTS SB CO within the stated timeframes. The HCaTS SB CO, or an authorized representative, shall have the right to examine and audit all supporting records and materials, regardless of whether such items are in written form, in the form of computer data, or in any other form, for the purpose of enforcing all deliverables and compliances herein.

Failure to meet the following deliverables, reports, or compliance standards, if applicable and *FAR 52.204-15* may result in activation of Dormant Status and/or result in a Contractor being Off-Ramped (see Sections H.25 and H.26).

F.5.1 DELIVERABLE AND REPORTING REQUIREMENTS

SECTION	REFERENCE	DESCRIPTION	FREQUENCY	LOCATION
G.2.6	Contractor Key Personnel	Updated CHPM or CHCM Point of Contact Information	Within 5 calendar days of the substitution	HCaTS SB CO
G.3.1 & G.3.2.4	Contract Access Fee (CAF) Remittance	CAF for all task orders awarded during the previous quarter on a cumulative basis	Quarterly (April 30th, July 30th, October 30th, and January 30th)	Electronic Funds Transfer (EFT) via Contract Payment Reporting Module (CPRM) website
G.3.2.1 through G.3.2.5	Contract Payment Reporting Module (CPRM)	Task Order Award, Modification, Invoice, CAF data, and Close-out data on a cumulative basis	Monthly (30th calendar day of each month, last day in February)	Electronically via the Contract Payment Reporting Module (CPRM) website
G.3.3	Individual Subcontracting Reports (ISR)	ISRs from Individual Subcontracting Plans on HCaTS SB	Within 30 calendar days after the close of each reporting period ending March 31st, September 30th, and within 30 calendar days of contract completion	Electronically via the Electronic Subcontract Reporting System (eSRS) website
G.3.3	Summary Subcontracting	GSA Agency-wide SSRs	Within 30 calendar days after the close of each	Electronically via the Electronic Subcontract

	Reports (SSR)		reporting period ending September 30th	Reporting System (eSRS) website
G.3.5	Insurance	ACORD Form, <i>Certificate of Liability Insurance</i>	Within 30 calendar days after the HCaTS SB Notice-to-Proceed and any updates thereafter	HCaTS SB CO and OCOs for affected task orders
G.3.6	Mergers, Acquisitions, Novations, and Change-of-Name Agreements	SF 30 Modification or other applicable documents	Copy of SF 30 and other applicable documents within 45 calendar days of finalization	HCaTS SB CO and OCOs for affected task orders
G.3.7	Federal Awardee Performance and Integrity Information System (FAPIS)	FAPIS reporting	Semi-Annually starting 6 months from the anniversary date of the HCaTS SB Notice-to-Proceed.	Electronically via FAPIS website and notify the HCaTS SB CO
G.3.8	VETS 100-A Reports	VETS 100-A reporting	Annually, no later than September 30th of each year	Electronically via the Department of Labor (DOL) VETS 100-A reporting website and notify the HCaTS SB CO
G.3.9	FSRS Reports	Federal Funding Accountability and Transparency Act (FFATA) Sub-Award Report	The end of the month following the month in which the Contractor awards any subcontract greater than \$25,000 into the FFATA Sub-Award Reporting System (FSRS)	Electronically via FSRS website and notify the HCaTS SB CO
G.3.10	Post Award Small Business Program Re-Representation	Small Business Size Representation	Within 30 days after execution of a novation agreement; within 30 days after a merger or acquisition that does not require a novation; and within 60 to 120 days prior to the end of the fifth year and exercising the option thereafter.	HCaTS SB CO
G.4	HCaTS SB and Task Order Close-Out	Correspondence from OCO that confirms close-out	Within 45 calendar day after task order close-out	HCaTS SB CO

H.6.1	Adequate Accounting System	Correspondence and audit reports from DCAA or CFA that updates the current status	Within 45 calendar days after the update	HCaTS SB CO and OCOs for affected task orders
H.6.2	Acceptable Estimating System	Correspondence and audit reports from DCMA or CFA that updates the current status	Within 45 calendar days after the update	HCaTS SB CO and OCOs for affected task orders
H.6.3	Approved Purchasing System	Correspondence and audit reports from DCMA or CFA that updates the current status	Within 45 calendar days after the update	HCaTS SB CO and OCOs for affected task orders
H.6.4	Forward Pricing Rate Agreements (FPRA), Forward Pricing Rate Recommendations (FPRR) and/or Audited Billing Rates	Correspondence and audit reports from DCAA, DCMA or CFA that updates the current status	Within 45 calendar days after the update	HCaTS SB CO and OCOs for affected task orders and OCOs for affected task orders
H.6.5	Earned Value Management System (EVMS)	Correspondence and verification from EVMS certifying body that updates the current status	Within 45 calendar days after the update	HCaTS SB CO and OCOs for affected task orders
H.6.6	ISO 9001:2008 Certification	Correspondence or audit from an ISO 9001:2015 certifying body that updates the current status	Within 45 calendar days after the update	HCaTS SB CO and OCOs for affected task orders
H.6.7	ISO/IEC 27001:2013 Certification	Correspondence or audit from an ISO/IEC 27001:2013 certifying body that updates the current status	Within 45 calendar days after the update	HCaTS CO and OCOs for affected task orders
H.8	Meaningful Relationship Commitment Letter (MRCL)	Any change of entity or commitment identified in any MRCL submitted	Within 45 calendar days after the update	HCaTS SB CO and OCOs for affected task orders
H.9	Professional Employee Compensation Plan	Contractor's professional employee compensation plan	Within 5 calendar days of the substitution	HCaTS SB CO
H.10	Uncompensated Overtime Policy	Contractor's uncompensated overtime policy	Within 5 calendar days of the substitution	HCaTS SB CO

F.5.2 COMPLIANCE

The following table contains compliances required, if applicable, for HCaTS SB. Task order compliances will be specified in the task order solicitation. The HCaTS PMO does not waive its right to request other compliances in order to align HCaTS SB with new statutory or regulatory requirements. The HCaTS PMO will provide the Contractor with at least 90 calendar days' notice of these requirements.

SECTION	REFERENCE	COMPLIANCE
G.2.6	Contractor Key Personnel	The Contractor shall maintain responsive and competent Contractor Key Personnel
G.3.1 & G.3.2.4	Contract Access Fee (CAF) Remittance	The Contractor shall submit timely and accurate CAF Payments
G.3.2.1 through G.3.2.5	Contract Payment Reporting Module (CPRM)	The Contractor shall submit timely and accurate data in the CPRM
G.3.3	Individual Subcontracting Reports (ISR)	The Contractor shall submit timely and accurate ISR subcontract reports and make good faith efforts in meeting small business goals in accordance with the Contractor's Subcontracting Plan
G.3.3	Summary Subcontracting Reports (SSR)	The Contractor shall submit timely and accurate SSR subcontract reports and make good faith efforts in meeting small business goals in accordance with the Contractor's subcontracting plan
G.3.5	Insurance	The Contractor shall submit timely and accurate Certificate(s) of Liability Insurance and maintain adequate insurance coverage at the HCaTS contract and task order level
G.3.6	Mergers, Acquisitions, Novations, and Change-of-Name Agreements	The Contractor shall submit timely notice of Merger and Acquisitions or contractual copies of Novation or Change-of-Name Agreements
G.3.7	Responsibility and Federal Awardee Performance and Integrity Information System (FAPIIS)	The Contractor shall submit timely and accurate FAPIIS information and maintain sufficient financial resources and meet the responsibility standards and qualifications set forth in FAR Part 2
G.3.8	VETS 100-A Reports	The Contractor shall report timely and accurate VETS 100-A Reports in the Department of Labor VETS-100 website and send confirmation to the HCaTS SB CO
G.3.9	FSRS Reports	The Contractor shall report timely and accurate subaward and executive compensation data regarding First-Tier subawards in FSRS to meet the

		FFATA reporting requirements and send confirmation to the HCaTS SB CO
G.3.10	Post-Award Small Business Program Re-Representation	The Contractor shall report timely and accurately its small business program re-representation and updated sam.gov
G.4	HCaTS SB and Task Order Close-Out	The Contractor agrees to cooperate with the OCO to close out task orders as soon as practical after expiration, cancellation, or termination.
H.6.1	Adequate Accounting System	The Contractor shall maintain the adequate status of its accounting system and submit updates of the current status
H.6.2	Acceptable Estimating System	The Contractor shall maintain the acceptable status of its estimating system and submit updates of the current status
H.6.3	Approved Purchasing System	The Contractor shall maintain the approved status of its purchasing system and submit updates of the current status
H.6.4	Forward Pricing Rate Agreements (FPRA), Forward Pricing Rate Recommendations (FPRR) and/or Audited Billing Rates	The Contractor shall maintain its FPRA, FPRR, and/or Audited Billing Rates and submit any updates
H.6.5	Earned Value Management System (EVMS)	The Contractor shall maintain its EVMS ANSI/EIA Standard-748 and submit any updates
H.6.6	ISO 9001:2008 Certification	The Contractor shall maintain its ISO 9001:2015 Certification and submit any updates
H.6.7	ISO/IEC 27001:2013 Certification	The Contractor shall maintain its ISO/IEC 27001:2013 Certification and submit any updates
H.8	Meaningful Relationship Commitment Letter (MRCL)	The Contractor shall honor the commitments contained in all MRCLs
H.9	Professional Employee Compensation Plan	The Contractor shall maintain its professional employee compensation plan and submit any updates
H.10	Uncompensated Overtime Policy	The Contractor shall maintain its uncompensated overtime policy and submit any updates
H.15	Meetings	The Contractor Key Personnel shall attend and actively participate in all meetings, including all PMR meetings
H.17	Contractor HCaTS SB Webpage	The Contractor shall maintain an HCaTS SB webpage that meets the minimum webpage requirements
H.19	Minimum Task Order Awards or Estimated Value	Starting from the date of the HCaTS SB Notice-to-Proceed, the Contractor shall attain a minimum of three task order awards or a total

		task order estimated value of \$750,000.00 (total estimated value of all task orders inclusive of all options) or show a good faith effort in responding to competitive solicitations prior to the exercise of Option I under HCaTS SB.
H.21	Ethics and Conduct	The Contractor shall adhere to the standards under Section H.21

(END OF SECTION F)
PART I: THE SCHEDULE
SECTION G: CONTRACT ADMINISTRATION DATA

G.1 BACKGROUND

This section provides roles, responsibilities, and contract administration requirements for the Human Capital and Training Solutions Small Business (HCaTS SB) contracts and each task order placed under HCaTS SB contracts. Terms and conditions and other requirements regarding contract administration may be designated by the Ordering Contracting Officer (OCO) at the task order level.

G.2 ROLES AND RESPONSIBILITIES OF KEY PERSONNEL

This section describes the roles and responsibilities of Government and Contractor personnel. The current point of contact information of Key Personnel for HCaTS SB will be maintained on the official HCaTS SB website at <http://gsa.gov/hcats>.

G.2.1 PROGRAM MANAGER (PM)

The HCaTS PM is a Government official who performs various programmatic functions for the overall success of HCaTS SB.

G.2.2 CONTRACTING OFFICER (CO)

The HCaTS SB CO is the sole and exclusive Government official with actual authority to administer and modify the terms and conditions of HCaTS SB contracts, monitor the Contractor's performance in the areas of contract compliance and contract administration, and assist the Contractor and OCO on matters related to the HCaTS SB terms and conditions. In addition, the HCaTS SB CO makes all final decisions pertaining to within scope determination requests.

The HCaTS SB CO may delegate routine administrative functions to an authorized HCaTS SB representative.

G.2.3 OMBUDSMAN

Subject to *GSAR 552.216-74*, GSA designates an Ombudsman for HCaTS SB. For the purposes of HCaTS SB, there are two primary duties of the Ombudsman: (1) To review complaints from Contractors and ensure that they are afforded a fair opportunity for consideration in the award of task orders consistent with the procedures of HCaTS SB, and (2) To review an HCaTS SB CO decision to place a Contractor in Dormant Status and/or Off-Ramp them from the contract vehicle (see Sections H.25 and Section H.26).

G.2.4 ORDERING CONTRACTING OFFICER (OCO)

For purposes of HCaTS SB, eligible users are identified as OCOs. Only an authorized user, who is a delegated OCO, may solicit, award, and administer a task order under HCaTS SB contracts. In order to qualify as an authorized user, a duly warranted Contracting Officer of the Federal government, as defined in *FAR Section 2.101*, or an eligible user as prescribed in GSA Order *ADM 4800.2H, Eligibility to Use GSA Sources of Supply and Services*, in good standing, shall have received a Delegation of Procurement Authority (DPA) from the HCaTS SB CO or HCaTS SB representative that is delegated by the HCaTS SB CO to issue DPAs.

The OCO for each task order is the sole and exclusive Government official with actual authority to solicit, award, administer, and/or modify a task order under HCaTS SB contracts.

The OCO is encouraged to contact the HCaTS SB CO or HCaTS PM for any HCaTS SB-related assistance including, but not limited to, the following:

1. Training on HCaTS SB and ordering procedures, and
2. Task order scope compliance under HCaTS SB, and
3. Task order solicitation development, and
4. Assistance on disputes, claims, or protests under HCaTS SB, and
5. Contractor performance under HCaTS SB.

The OCO's duties include, but are not limited to:

1. Requesting and receiving a DPA prior to soliciting and awarding a task order under HCaTS SB, and
2. Complying with the terms and conditions of HCaTS SB (see Section H.3), and
3. Complying with the procedures outlined in *FAR Subpart 19.4*, and
4. Complying with the ordering procedures outlined in *FAR Section 16.505*, and other agency-specific regulatory supplements, and
5. Issuing task order solicitations under the proper NAICS Code and corresponding HCaTS SB Contract Number (see Section H.4), and
6. Allowing a reasonable time for fair opportunity proposal submission, and
7. Resolving any performance issues, disputes, claims or protests at the task order level, and
8. Responding to all Freedom of Information Act (FOIA) requests at the task order level,

and

9. Entering task order performance evaluation in the Contractor Performance Assessment Reporting System (CPARS) or alternative past performance assessment reporting system mandated by Federal agencies that do not require the use of CPARS, and
10. Closing out task orders in a timely manner.

G.2.5 CONTRACTING OFFICER'S REPRESENTATIVE (COR)

The HCaTS SB CO and OCOs for each task order may designate a COR(s) to perform specific administrative or technical functions.

The specific rights and responsibilities of the COR for each contract and task order shall be described in writing, with copies furnished to the Contractor as prescribed in *FAR Paragraph 1.602-2(d)*. A COR has no actual, apparent, or implied authority to make any commitments or changes that affect price, quality, quantity, delivery, or other terms and conditions of the contract nor in any way direct the contractor or its subcontractors to operate in conflict with the contract terms and conditions.

G.2.6 CONTRACTOR KEY PERSONNEL

The Contractor shall assign a Corporate HCaTS SB Program Manager (CHPM) and Corporate HCaTS SB Contract Manager (CHCM) as Contractor Key Personnel to represent the Contractor as primary points-of-contact to resolve issues, perform administrative duties, and other functions that may arise relating to HCaTS SB contracts and task orders solicited and awarded under HCaTS SB contracts.

Additional Contractor Key Personnel requirements may be designated by the OCO at the task order level.

There are no minimum qualification requirements established for Contractor Key Personnel. Additionally, Contractor Key Personnel do not have to be full-time positions; however, the Contractor Key Personnel are expected to be fully proficient in the performance of their duties.

The Contractor shall ensure that the HCaTS SB CO has current point-of-contact information for both the CHPM and CHCM. The Contractor Key Personnel are considered essential to HCaTS SB. Prior to substituting, removing, replacing, or diverting any Contractor Key Personnel, the Contractor shall notify the HCaTS SB CO 15 business days in advance and shall submit a written request and justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact to HCaTS SB. No change in Contractor Key Personnel shall be made by the Contractor without the prior written consent of the HCaTS SB CO. However, in urgent situations, as determined or agreed to by the HCaTS SB CO, an oral request to substitute Contractor Key Personnel may be approved and subsequently ratified by the HCaTS SB CO in

writing. Such ratification shall constitute the consent of the HCaTS SB CO required by this paragraph. The HCaTS SB CO will notify the Contractor within ten business days after receipt of all required information of the decision on the substitution(s).

All costs associated with Contractor Key Personnel duties shall be handled in accordance with the Contractor's standard accounting practices; however, no costs for Contractor Key Personnel shall be billed to the HCaTS Program Management Office (HCaTS PMO).

Failure of Contractor Key Personnel to effectively and efficiently perform their duties will be construed as conduct detrimental to contract performance and may result in activation of Dormant Status and/or Off-Ramping (see Sections H.25 and H.26).

G.2.6.1 CORPORATE HCATS SB PROGRAM MANAGER (CHPM)

The Contractor's corporate management structure shall guarantee senior, high-level, program management of HCaTS SB, including a CHPM to represent the company in all HCaTS SB program-related matters.

The CHPM duties include, but are not limited to:

1. Advising and assisting current and potential HCaTS SB customers regarding the technical scope of HCaTS SB and the overall attributes of HCaTS SB, and
2. Promoting customer use of HCaTS SB, and
3. Being ultimately responsible for ensuring that all reporting information required under HCaTS SB is provided accurately, thoroughly and timely, and
4. Being ultimately responsible for all performance issues related to their HCaTS SB contract and task orders awarded under their HCaTS SB contract, and
5. Attending all HCaTS SB Program Management Review (PMR) meetings and other HCaTS SB meetings as scheduled.

G.2.6.2 CORPORATE HCATS SB CONTRACT MANAGER (CHCM)

The Contractor's corporate management structure shall guarantee senior, high-level, program management of HCaTS SB, including a CHCM to represent the company in all HCaTS SB contract-related matters.

The CHCM duties include, but are not limited to:

1. Verifying that the OCO soliciting or awarding a task order solicitation under HCaTS SB has an HCaTS SB DPA. Verification can be provided by the HCaTS SB CO, HCaTS

PM, or duly authorized representative, and

2. Ensuring the company's task order awards under their HCaTS SB contract are contractually in compliance with HCaTS SB (see Section H.3 {Ordering Procedures}), and
3. Ensuring all data within the Contract Payment Reporting Module (CPRM) is current, accurate, and complete (see Section G.3.2), and
4. Ensuring contract administrative functions and meeting all the performance reporting and compliance standards listed under Section F.5 (Performance Standards), are maintained, and
5. Being ultimately responsible for ensuring that all contractual agreements, including modifications, are negotiated and put in place expeditiously, and
6. Being ultimately responsible for ensuring that all task order invoicing is accurate and timely, and
7. Attending all HCaTS SB Program Management Review (PMR) meetings and other HCaTS SB meetings as scheduled.

G.3 CONTRACTOR ADMINISTRATION REQUIREMENTS

The following sections describe the administration requirements for HCaTS SB contracts and task orders awarded under HCaTS SB contracts. The CHCM shall be the primary point-of-contact for these requirements.

Failure to meet administrative requirements may result in activation of Dormant Status and/or Off-Ramping (see Sections H.25 {Dormant Status} and H.26 {Off-Ramping}).

G.3.1 CONTRACT ACCESS FEE (CAF)

Total CAF remittance for each task order is calculated as follows:

Total Paid Invoice (minus the CAF CLIN) multiplied by the CAF Percentage.

In response to all task order solicitations, regardless of contract type, the Contractor shall always propose a CAF rate of 2% of the total fixed-price or total estimated costs, including options. The total CAF amount shall be proposed as a separate and distinct Contract Line Item Number (CLIN) for the base year(s) and each option period (if applicable).

The OCO shall establish a separate and distinct CAF CLIN in all task order awards for the base year(s) and each option period (if applicable).

The actual dollar amount to be billed to the customer upon task order award will depend upon the total HCaTS SB business volume with the customer or whether the customer organization has a CAF Memorandum of Understanding (CAF MOU) agreement in place with the HCaTS PMO.

If a customer organization has a CAF rate that is less than 2% for a specific task order award, the HCaTS PMO will notify the Contractor of the proper CAF rate to bill the customer. No later than January 15th of each calendar year, the HCaTS PMO will notify the Contractor if there are any changes to the CAF rate.

NOTE: See Section G.3.2.4 for CAF remittance instructions.

G.3.2 CONTRACT PAYMENT REPORTING MODULE (CPRM)

The specific system for all task order award, modification, invoice, and CAF payment data will be electronically through the CPRM located within GSA's Enterprise Data Warehouse (EDW).

G.3.2.1 TASK ORDER AWARD DATA

The Contractor shall report all task order award data within 30 calendar days of award.

Regardless of contract type, all task order award data shall include:

1. HCaTS SB Contract Number, and
2. Task Order Number (not the Solicitation Number), and
3. Task Order Description (i.e., Type of Professional Services Project), and
4. Government-Site, Contractor-Site, or both
5. Predominant Contract Type (e.g., FFP, FP EPA, CPIF, CPAF, T&M, L-H, etc.), and
6. Task Order NAICS Code, and
7. Task Order PSC Code, and
8. Customer OCO Name, Phone Number, and Email Address, and
9. Federal agency Name and Full Address, and
10. Federal Agency Code and Bureau Code, and
11. Initial Ordering Period, and

12. Award Date, and
13. Contract Line Item Numbers (CLINs) of the task order. (If the task order does not establish CLINs, the Contractor shall input CLIN Number 9999 as a single CLIN for all billing), and
14. Contract Type for each CLIN, and
15. An electronic copy of the complete task order solicitation issued by the OCO, and
16. An electronic copy of the complete task order awarded by the OCO.

G.3.2.1.1 FIXED PRICE AWARD DATA

In addition to the data required under Section G.3.2.1 (Task Order Award Data), all Fixed Price award data shall also include:

1. Initial obligated/funded amount, and
2. Total Firm-Fixed Price, including the base and all option periods by CLIN Number, and
3. Initial Maximum Incentive or Award Fee, if applicable.

G.3.2.1.2 COST REIMBURSEMENT AWARD DATA

In addition to the data required under Section G.3.2.1 (Task Order Award Data), all Cost Reimbursement award data shall also include:

1. Initial obligated/funded amount, and
2. Total estimated cost, including the base and all option periods by CLIN Number, and
3. Fixed Fee, if applicable, and
4. Initial Incentive or Award Fee, if applicable.

G.3.2.1.3 TIME-AND-MATERIALS (T&M) AND LABOR-HOUR (L-H) AWARD DATA

In addition to the data required under Section G.3.2.1 (Task Order Award Data), all T&M and L-H award data shall also include:

1. Initial obligated/funded amount, and

2. Total task order ceiling, including the base and all option periods by CLIN Number, and
3. Awarded labor categories and SOC numbers, and
4. The loaded hourly labor rate and hours by each corresponding HCaTS SB labor category, for the base and all option periods, and
5. The loaded hourly labor rate and hours by each Specialized Professional Services Labor, Service Contract Act Labor, or OCONUS Labor, including a title and description of the labor category, if applicable, for the base and all option periods.

G.3.2.2 TASK ORDER MODIFICATION DATA

The Contractor shall report all task order modification data within 30 calendar days of receiving a signed copy of the modification, excluding modifications issued through GSA's EDW. Modification data issued through GSA's EDW will automatically populate into the CPRM.

Modification data shall include:

1. HCaTS SB Contract Number, and
2. Task Order Number (not the Solicitation Number), and
3. Modification Number, and
4. Modification Description (e.g., Incremental Funding, Exercise Option, Change Order), and
5. OCO Point of Contact (Name, Phone Number, Email Address), and
6. Modification Ordering Period (do not change the initial start date of the task order), and
7. Modification Date, and
8. Modification obligated/funded amount allocated to the applicable Contract Line Item Numbers (CLINs), and
9. An electronic copy of the complete modification awarded by an OCO.

G.3.2.3 INVOICE DATA

The Contractor shall report invoice data from each paid invoice within 30 calendar days after the end of the reporting quarter, including the invoice data on task orders issued through GSA's EDW. If no invoice data was received during a required reporting period for a specific task order, the Contractor shall report in the "Zero Invoice Data" screen located in the CPRM system

for that particular task order.

Regardless of contract type, the Contractor shall report the following:

1. HCaTS SB Contract Number, and
2. Task Order Number (not the Solicitation Number), and
3. Contractor Invoice Number, and
4. Date Invoice Paid, and
5. Amount of invoice that was subcontracted, and
6. Amount of invoice that was subcontracted to a small business, and
7. For each contract type, the Contractor shall report as follows:
 - a. Fixed Price task orders: Total Amount Paid (Lump Sum) by Contract Line Item Number (CLIN), and
 - b. T&M or L-H type task orders: Total Amount Paid (Lump Sum) by CLIN, and
 - c. Cost-Reimbursement task orders: Labor Categories, SOC Number, and Direct Labor Rate for each Task Order Labor Category.

G.3.2.4 CONTRACT ACCESS FEE (CAF) PAYMENT DATA

The Contractor shall remit the CAF in U.S. dollars to the HCaTS PMO within 30 calendar days after the end of each calendar quarter for all invoice payments received during that calendar quarter as follows:

CALENDAR QUARTERS	CAF DUE DATE
1 st Quarter (January 1-March 31)	April 30 th
2 nd Quarter (April 1-June 30)	July 30 th
3 rd Quarter (July 1-September 30)	October 30 th
4 th Quarter (October 1-December 31)	January 30 th

Where the CAF for multiple invoice payments (on one or more task orders) is due, the Contractor may consolidate the CAF owed into one payment, including the consolidation of the CAF across all awarded Pools.

Failure to remit the full amount of the CAF within 30 calendar days after the end of the applicable reporting period constitutes a contract debt to the United States Government under the

terms of *FAR Subpart 32.6*. In addition, the Government may exercise all rights under the [*Debt Collection Improvement Act of 1996*](#), including withholding or off-setting payments and interest on the debt.

The Contractor's failure to accurately and timely remit the CAF is sufficient cause for the HCaTS PMO to Off-Ramp the Contractor (see Section H.26).

CAF Payment Data shall include:

1. Trace Number, and
2. Total Remitted Amount, and
3. Remit Date, and
4. Amount applied to each Task Order Number (for the reported payment).

Contractors are encouraged to submit CAF payments via [<https://pay.gov/public/home>](https://pay.gov/public/home).

G.3.2.5 CLOSEOUT DATA

The Contractor shall submit task order close-out data quarterly following the expiration of a task order. This shall be accomplished for each and every task order.

This data shall include:

1. Final Task Order Dollar Value, and
2. Cumulative Invoiced Amount, and
3. Total CAF Amount Paid, and
4. CAF Balance Owed, and
5. Final Invoice Paid (Y/N), and
6. Release of Claims Date, and
7. Pending Actions Preventing Close-out.

G.3.3 SUBCONTRACTING PLAN

Subcontracting Plans are not required for small business concerns; however, Contractors who chose to submit a Small Business Subcontracting Plan with their initial proposal, shall comply with their Subcontracting Plan, incorporated into the HCaTS SB contract by reference, to ensure

that small business (SB), Small Disadvantaged Business (SDB), Women-Owned Small Business (WOSB), HUBZone Small Business (HUBZone SB), Veteran-Owned Small Business (VOSB), and Service-Disabled Veteran-Owned Small Business (SDVOSB), are provided the maximum practicable opportunity to participate as Subcontractors.

The HCaTS PMO requires use of the electronic Subcontracting Reporting System (eSRS) modules as the secure, confidential, information management tool to evaluate subcontracting goal performance for HCaTS SB Contractors that elect to submit a Subcontracting Plan. The Contractor retains responsibility for Subcontractor's performance and shall be accountable for their performance. The Contractor agrees to ensure that a Subcontractor agrees to the same restrictions, terms and conditions that apply in the HCaTS SB contract and implements reasonable safeguards to protect Government-furnished property and information.

If the Contractor chose to submit a Subcontracting Plan, the Subcontracting Plan covers the HCaTS SB program as a whole; however, the Contractor shall submit Individual Subcontract Reports (ISR) for Individual Subcontracting Plans, if applicable, and Summary Subcontract Reports (SSR) per HCaTS SB contract using the web-based eSRS at <http://www.esrs.gov>.

Affiliates of the Prime Contractor or Subcontractor are not included in these reports. Subcontract award data reported by Prime Contractors and Subcontractors shall be limited to awards made to their immediate next-tier Subcontractors.

Contractors are required to adhere to their subcontracting plan, incorporated into the contract by reference. When a Contractor does not meet any one or more of their subcontracting goals for a given reporting period, the Contractor shall explain, in writing, the rationale for not meeting the goals in the comments section of the ISR/SSR.

G.3.4 CONTRACTOR PERFORMANCE ASSESSMENT REPORTING SYSTEM (CPARS)

Past performance information is relevant information, for future source selection purposes, regarding a Contractor's actions under previously awarded contracts. It includes, for example, the Contractor's record of conforming to contract requirements and to standards of good workmanship, record of forecasting and controlling costs, adherence to contract schedules, including the administrative aspects of performance, history of reasonable and cooperative behavior and commitment to customer satisfaction, reporting into required databases, record of integrity and business ethics, and business-like concern for the interest of the customer.

The HCaTS PMO requires use of the CPARS modules as the secure, confidential, information management tool to facilitate the performance evaluation process for both HCaTS SB contracts and task orders awarded under HCaTS SB contracts. However, if a Federal agency requires an alternative past performance assessment reporting system for a specific task order(s) other than CPARS, the alternative reporting system takes precedence over CPARS.

The CHCM shall serve as a primary point-of-contact, who will be authorized access to the evaluation for review and comment for HCaTS SB contracts and task orders awarded under HCaTS SB contracts. The CHCM shall respond promptly to past performance evaluations as documented by the OCO at the task order level and the HCaTS SB CO for HCaTS SB.

In addition, the CHCM shall be required to identify an alternate contact that will be responsible for notifying the HCaTS SB CO in the event the primary contact is unavailable to process evaluations within the required 30-day time frame.

G.3.4.1 HCATS SB CPARS

The HCaTS SB CO will evaluate interim Contractor performance on an annual basis and final Contractor performance upon contract completion using the process and criteria in CPARS.

Evaluations of Contractor performance will be provided to the Contractor as soon as practicable after completion of the evaluation. Contractors will be given a minimum of 30 calendar days to submit comments, rebutting statements, or additional information.

Copies of the evaluations, Contractor responses, and review comments, if any, will be retained as part of the contract file, and may be used by Federal agencies to support future award decisions.

G.3.4.2 TASK ORDER CPARS

The HCaTS SB CO does not administer or evaluate task order performance. It is the sole responsibility of OCOs to evaluate each task order exceeding the micro-purchase threshold under HCaTS SB using the process and criteria in CPARS or an alternative past performance assessment reporting system. OCOs shall use CPARS for task orders awarded under HCaTS SB unless otherwise mandated by their Federal agency to utilize past performance systems other than CPARS.

At a minimum, the OCO shall be responsible for evaluating final Contractor performance upon task order completion. Interim performance evaluations may be conducted as prescribed by the Federal agency's procedures on any task order with a period of performance exceeding one year.

Evaluations of Contractor performance will be provided to the Contractor as soon as practicable after completion of the evaluation. Contractors will be given a minimum of 30 days to submit comments, rebutting statements, or additional information.

Copies of the evaluations, Contractor responses, and review comments, if any, will be retained as part of the task order file, and may be used by Federal agencies to support future award decisions.

G.3.5 INSURANCE

The insurance coverage specified in *FAR Subsection 28.307-2* is the minimum insurance requirement for HCaTS SB. Insurance coverage applies to the HCaTS SB Program as a whole (i.e., this requirement is cumulative across all Pools the Contractor has been awarded an HCaTS SB contract under).

The OCO may require additional insurance coverage or higher limits specific to a task order awarded under an HCaTS SB contract. If the task order does not specify any insurance coverage amounts, the minimum insurance requirements in *FAR Subsection 28.307-2* shall apply to the task order. OCOs must tailor insurance coverage clauses, provisions, and other applicable terms and conditions specific to each task order's contract type, solicitation and award.

The Contractor shall maintain the minimum insurance coverage for the entire term of HCaTS SB. The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of their insurance coverage and provide the reasons for the change and copies of ACORD Form, *Certificate of Liability Insurance*, as applicable.

The HCaTS SB website will maintain a record of each Contractor's status of insurance coverage for the OCOs. Only those Contractors that meet the insurance coverage requirements on task order solicitations shall be eligible to compete.

G.3.6 MERGERS, ACQUISITIONS, NOVATIONS AND CHANGE-OF-NAME AGREEMENTS

If a Contractor merges, is acquired or recognizes a successor in interest to Government contracts when Contractor assets are transferred; or, recognizes a change in a Contractor's name; or, executes novation agreements and change-of-name agreements by a CO other than the HCaTS SB CO, the Contractor shall notify the HCaTS SB CO and provide a copy of the novation or other agreement that changes the status of the Contractor. This notification, if applicable, applies once, sent to the HCaTS SB CO, and not for each HCaTS SB contract.

G.3.7 RESPONSIBILITY AND FEDERAL AWARDEE PERFORMANCE AND INTEGRITY INFORMATION SYSTEM (FAPIIS)

The Contractor shall maintain sufficient resources and meet the responsibility standards and Contractor qualifications set forth in *FAR Part 9* to continue performance under HCaTS SB.

Subject to *FAR 52.209-9*, the Contractor shall update the information in FAPIIS on a semi-annual basis, throughout the term of HCaTS SB.

G.3.8 VETS-100A REPORTS

Subject to *FAR Subpart 22.13*, *FAR 52.222-37* and the *Vietnam Era Veterans' Readjustment Assistance Act of 1972 (VEVRAA)*, Contractors and Subcontractors shall report annually to the

Department of Labor the number of employees in their workforces and any new hires, by job category and hiring location, who are qualified covered veterans during the reporting period.

Contractors shall submit a VETS-100A report annually to the DOL VETS-100A website and provide confirmation to the HCaTS SB CO, even if the Contractor has no covered veterans or new employees to report during the reporting period. This report applies to the HCaTS SB Program as a whole, sent to the DOL VETS-100A website and HCaTS SB CO, and not for each HCaTS SB contract.

G.3.9 FSRS REPORTS

Subject to *FAR 52.204-10*, Contractors are required to file a Federal Funding Accountability and Transparency Act (FFATA) Subaward Report by the end of the month following the month in which the Prime Contractor awards any subcontract with a value of \$25,000 or more, into the FFATA Subaward Reporting System (FSRS). This report applies for each HCaTS SB contract.

G.3.10 POST AWARD SMALL BUSINESS PROGRAM RE-REPRESENTATION

Subject to *FAR 52.219-28*, if a Contractor represented that it was a small business concern prior to award the Contractor shall re-represent its size status upon the occurrence of any of the following:

1. Within 30 days after execution of a novation agreement, or
2. Within 30 days after a merger or acquisition that does not require a novation, or
3. Within 60 to 120 days prior to the end of the fifth year and exercising the option thereafter.

The Contractor shall re-represent its size status in accordance with the size standard in effect at the time of this re-representation that corresponds to the North American Industry Classification System (NAICS) codes assigned to this contract (see Section H.4).

If the Contractor represented that it was other than a small business concern prior to award of this contract, the Contractor may, but is not required to, take the actions required by this section.

The Contractor shall make the representation by validating or updating all its representations in the Representations and Certifications section of the System for Award Management (SAM) and its other data in SAM, as necessary, to ensure that they reflect the Contractor's current status. The Contractor shall notify the HCaTS SB CO in writing within the timeframes prescribed above that the data have been validated or updated, and provide the date of the validation or update.

G.4 HCATS SB AND TASK ORDER CLOSEOUTS

HCaTS SB will be closed out upon the closeout of all task orders awarded under HCaTS SB contracts and all CAF fees submitted.

The OCO is responsible for closing out their task orders under HCaTS SB. Task order closeout will be accomplished within the procedures set forth in *FAR Parts 4* and *42*, and other agency-specific regulatory supplements.

For cost reimbursement and time-and-material task orders, the OCO is encouraged to utilize *FAR Section 42.708* to the maximum extent practicable. The OCO has the authority to negotiate settlement of indirect costs in advance of the determination of final indirect cost rates if the task order is physically complete and the amount of unsettled indirect cost to be allocated to the task order is relatively insignificant. A determination of final indirect costs under quick-closeout procedures shall be final for the task order it covers and no adjustment shall be made to other task orders for over- or under-recoveries of costs allocated or allocable to the task order covered by the agreement. Once agreement for quick-closeout is reached on an individual task order, a bilateral modification will be issued to close out the task order.

The Contractor agrees to cooperate with the OCO to close out task orders as soon as practical after expiration, cancellation, or termination. The Contractor shall report all task order closeouts in the CPRM (see Section G.3.2.5).

G.5 OPTION DETERMINATION

After the initial ordering period of five years, the HCaTS PMO has included one five-year option to extend the ordering period of the HCaTS SB contracts in order to demonstrate the value the HCaTS PMO places on quality performance by providing a mechanism for continuing a contractual relationship with a successful Contractor that performs at a level which meets or exceeds the HCaTS PMO's quality performance expectations. In recognition of exceptional contract and task order performance of a particular Contractor during their initial five-year term of performance, the HCaTS PMO will consider exercising a Contractor's Option 1 for an additional five years. The HCaTS SB CO will exercise Option 1 in accordance with *FAR 52.217-9*.

If necessary, the HCaTS PMO has also included one six-month option to extend the ordering period of HCaTS SB contracts in accordance with *FAR 52.217-8*.

The option determination for each Contractor will be based on:

1. *FAR Section 17.207* for exercising the option, and
2. The overall quality of the Contractor's past performance under the HCaTS SB contract and task orders awarded against the HCaTS SB contract, and
3. Meeting the deliverable and compliance standards, and
4. Maintaining a strategic partnership between HCaTS SB Contractors, HCaTS PMO, Government personnel, and Federal customers to identify and achieve reciprocal goals.

(END OF SECTION G)
PART I: THE SCHEDULE
SECTION H: SPECIAL CONTRACT REQUIREMENTS

H.1 BACKGROUND

This section provides special contract requirements for Human Capital and Training Solutions Small Business (HCaTS SB) and each task order placed under HCaTS SB contracts for the most effective and efficient streamlined ordering processes for Federal agencies and to facilitate the overall quality and success of customized training and development services, customized human capital strategy services, and customized organizational performance improvement services solutions.

Additional non-conflicting terms and conditions and other requirements regarding special contract requirements may be designated by the Ordering Contracting Officer (OCO) at the task order level.

H.2 OBSERVANCE OF FEDERAL HOLIDAYS

The Contractor shall observe Federal holidays and other days identified in this section unless otherwise indicated in individual task orders.

The Government observes the following days as holidays:

1. New Year's Day
2. Birthday of Martin Luther King, Jr.
3. Washington's Birthday
4. Memorial Day
5. Independence Day
6. Labor Day
7. Columbus Day
8. Veterans Day
9. Thanksgiving Day
10. Christmas Day

In addition to the days designated as holidays, the Federal government may also observe any day designated by Federal Statute, Executive Order or Presidential Proclamation.

Notwithstanding holidays and Federal government closures, the Contractor shall perform in accordance with the terms and conditions established in HCaTS SB contracts and associated task orders.

H.3 ORDERING PROCEDURES

All task orders under HCaTS SB contracts shall:

1. Be solicited and awarded by an OCO with a Delegation of Procurement Authority (DPA)

(see Section G.2.4), and

2. Be within the scope of Section C and all other terms and conditions of the HCaTS SB contract, and
3. Identify the HCaTS SB Labor Categories and Standard Occupational Classification (see Section B.2.1 and Attachment J.1 {Standardized Labor Categories}), and
4. Be solicited and awarded under the proper NAICS Code and corresponding Contract Number (see Section H.4), and
5. Identify the proper Product Service Code (see Section H.5), and
6. Comply with the ordering procedures in *FAR Section [16.505](#)* and other applicable agency-specific regulatory supplements.

The OCO shall tailor all optional clauses, provisions, and other applicable terms and conditions specific to the task order solicitation and award. The OCO is also encouraged to take into consideration the current version of the Human Capital Assessment and Accountability Framework ([HCAAF](#)) when defining the scope of a task order.

All costs associated with the preparation, presentation, and discussion of the Contractor's proposal in response to a task order solicitation will be at the Contractor's sole and exclusive expense and each task order will be funded by the ordering agency at the task order level.

H.3.1 SET ASIDES BASED ON SOCIO-ECONOMIC SUBGROUP

HCaTS SB is a total small business set-aside contract. In addition to total small business set-asides, task orders placed under HCaTS SB may be set aside at the OCO's discretion for socio-economic subgroups. An HCaTS SB task order solicitation may be a competitive set-aside for socio-economic subgroups when it is anticipated that offers will be obtained from at least two responsible small business concerns within a socio-economic subgroup under the corresponding Pool (see Section H.4.1). A socio-economic subgroup for competitive set-asides is identified as one of the following only:

1. 8(a) Small Business (8(a) SB), and/or
2. HUBZone Small Business (HUBZone SB), and/or
3. Service-Disabled Veteran-Owned Small Business (SDVOSB), and/or
4. Economically Disadvantaged Women-Owned Small Business (EDWOSB), and/or
5. Women-Owned Small Business (WOSB).

H.4 NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM (NAICS)

The Office of Management and Budget's (OMB) NAICS is a coding system for classifying where services are performed by type of economic activity in order to analyze economic data and promote uniformity in describing the economy.

The Small Business Administration (SBA) assigns a business size standard to each NAICS Code, which is usually stated in number of employees or average annual receipts, to represent the largest size that a business (including its subsidiaries and affiliates) may be to remain classified as a small business by the SBA in order to qualify for small business socio-economic programs.

NAICS Codes and small business size standards are periodically updated and revised by the SBA. If the SBA revises NAICS Code(s) and small business size standard(s) that are within the scope of HCaTS SB during the term of HCaTS SB, the HCaTS SB CO may need to update the contracts to reflect the updated NAICS Code(s) and small business size standard(s).

H.4.1 HCATS SB NAICS CODES

HCaTS SB is comprised of two separate Government-Wide Multiple Award, Indefinite Delivery, Indefinite Quantity (MA-IDIQ) task order contracts that span eight NAICS Codes.

NAICS Codes are grouped into two separate Pools based on two separate small business size standards (\$11M and \$15M). Each Pool will have multiple IDIQ task order contract under it. All NAICS codes within a given Pool can be used to represent the predominant NAICS Code for any given task order solicited and awarded under an HCaTS SB contract.

The table below identifies all HCaTS SB NAICS Code assignments per Pool. The predominant NAICS Code for each Pool is shown in bold underline:

POOL 1: \$11M SMALL BUSINESS SIZE STANDARD	
NAICS CODE	NAICS TITLE
<u>611430</u>	<u>Professional and Management Development Training</u>
611699	All Other Miscellaneous Schools and Instruction
624310	Vocational Rehabilitation Services
POOL 2: \$15M SMALL BUSINESS SIZE STANDARD	
NAICS CODE	NAICS TITLE
541611	Administrative Management and General Management Consulting Services
<u>541612</u>	<u>Human Resources Consulting Services</u>
541613	Marketing Consulting Services
541618	Other Management Consulting Services
611710	Educational Support Services

NOTE: Definitions for each NAICS Title can be found at <http://www.census.gov/cgi-bin/sssd/naics/naicsrch>

Due to Federal Procurement Data System-Next Generation (FPDS-NG) system limitations, there can be only one NAICS Code assigned to a contract in FPDS-NG. HCaTS SB contracts in each Pool will be assigned the predominant NAICS Code for that Pool in FPDS-NG.

H.4.2 PREDOMINANT TASK ORDER NAICS CODE DETERMINATION

The OCO is responsible for determining which predominant NAICS Code applies to a task order solicitation, whether or not the task order is unrestricted or set-aside, including the type of socio-economic set-aside, if applicable, and whether or not the solicitation is sole-source or competitive. The OCO shall identify the NAICS Code Number, Title, Business Size Standard, and corresponding Pool in the task order solicitation and report the NAICS Code in the FPDS-NG system.

The NAICS Code selected and its corresponding small business size standard will determine which corresponding Pool will be solicited in accordance with Section H.4.2 (Predominant Task Order NAICS Code Determination). Each Pool will have a list of eligible Contractors that qualify to receive solicitations and task order awards.

Example 1: A task order is solicited under NAICS Code 611430. NAICS Code 611430 is specific to Pool 1. Only those Contractors awarded an HCaTS SB contract under Pool 1 would be eligible to receive a task order solicitation under NAICS Code 611430.

Example 2: A task order is solicited under NAICS Code 541611. NAICS Code 541611 is specific to Pool 2. Only those Contractors awarded a HCaTS SB contract under Pool 2 would be eligible to receive a task order solicitation under NAICS Code 541611.

Once a NAICS Code is selected by the OCO, the OCO shall provide fair opportunity to all Contractors under the corresponding Pool for solicitation purposes, unless an exception to fair opportunity has been properly executed. Ordering procedures in *FAR Section 16.505* apply. If the solicitation could be classified in two or more NAICS Codes with different size standards, the OCO shall apply the NAICS Code and corresponding size standard for the industry accounting for the greatest percentage of anticipated task order dollar value.

Due to FPDS-NG system limitations, the NAICS Code shown in FPDS-NG for any task order will default to that Pool's predominant NAICS Code as all HCaTS contracts will be assigned the predominant NAICS Code for that Pool.

H.5 PRODUCT SERVICE CODE (PSC)

The PSC represents what products, services, and/or research and development (R&D) was purchased by the Federal government for each task order award reported in FPDS-NG.

The scope of HCaTS SB spans across many PSCs; however, the predominant PSC selected must be based on the predominant service that is being purchased.

The Contract Payment Reporting Module (CPRM) reporting system facilitates compliance with proper PSC reporting for all Federal agencies, including DoD's taxonomy for the acquisition of services that maps PSCs into six separate Portfolio Groups, such as: Knowledge Based Services; Facility Related Services; Transportation Services; Medical Services, Electronics & Communication Services; and, Equipment Related Services.

The OCO shall identify the PSC in the task order solicitation and report the PSC in FPDS-NG.

The Contractor shall enter the PSC in the CPRM for each task order award.

H.6 ACCREDITATIONS

All accreditations are optional; however, Contractors are encouraged to acquire these accreditations. Furthermore, Contractors without an accounting system that have been determined adequate by the HCaTS SB CO are prohibited from competing for and being awarded cost reimbursable task order awards.

The Contractor shall maintain throughout the ordering period of its HCaTS SB contract, at a minimum, the accreditation at time of contract award or when obtained, whichever is earlier. For example, if a Contractor's proposal included an ISO 9001:2008 or ISO 9001:2015 Certification and made a part of contract award, then the Contractor shall maintain an ISO 9001:2008 or ISO 9001:2015 certification for the duration of the HCaTS SB ordering period. If the Contractor's adequate accounting system, acceptable estimating system, approved purchasing system, Earned Value Management System (EVMS), Forward Price Rate Agreement (FPRA), Forward Price Rate Recommendation (FPRR), or audited billing rates expires, the HCaTS PMO may request the accreditation be audited; however, the HCaTS PMO is not obligated to request an audit. In the event that a Contractor's accreditation expires as a result of the HCaTS PMO not requesting an audit, the Contractor will not be placed in Dormant Status or Off-Ramped (see Sections H.25 and H.26).

Failure to meet the following deliverables, reports, or compliance standards and *FAR 52.204-15* may result in activation of Dormant Status and/or result in a Contractor being Off-Ramped (see Sections H.25 and H.26).

An award matrix will be published in the HCaTS PMO website publicizing which accreditations Contractors incorporated in their contract. Inclusion of Contractors accreditation information in the matrix shall be optional at the Contractor's discretion, however, the HCaTS PMO strongly encourages participation by the Contractor as a means to facilitate effective market research by OCOs where task order requirements necessitate specific accreditation(s).

H.6.1 ADEQUATE ACCOUNTING SYSTEM

An adequate accounting system is a system that is approved by the HCaTS CO, in consultation with the Defense Contract Audit Agency (DCAA) or a Cognizant Federal Agency (CFA) other than DCAA and provides for the proper segregation, identification, accumulation, and allocation of direct and indirect costs for government procurements.

An adequate accounting system is optional. The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its adequate accounting system and provide the reason(s) for the change and copies of audit reports, as applicable.

Only those Contractors that maintain an adequate accounting system, as approved by the HCaTS SB CO, shall be eligible for cost reimbursable task order awards.

H.6.1.1 POST-AWARD ADEQUACY DETERMINATIONS

Any Contractor electing to opt in for cost reimbursement task order participation shall be subject to an adequacy review of its accounting system. This review may include, but shall not be limited to, any of the following: request and review of existing accounting system audits, prior Cognizant Federal Agency (CFA) determination reviews, and/or initiation of an audit by the HCaTS PMO. Adequacy determinations shall be made by the HCaTS SB CO at its unilateral discretion after initial contract award and shall be approved prior to Contractor participation in cost reimbursement task order solicitations. The HCaTS PMO will notify Contractors on the procedures to request an audit at such time.

H.6.2 ACCEPTABLE ESTIMATING SYSTEM

An acceptable estimating system is a system that is audited by the Defense Contract Management Agency (DCMA) or any Cognizant Federal Agency (CFA) other than DCMA, that includes policies, procedures, and practices for budgeting and planning controls, and generating estimates of costs and other data included in proposals submitted to customers in the expectation of receiving contract awards.

An acceptable estimating system means an estimating system that is:

1. Maintained, reliable, and consistently applied, and
2. Produces verifiable, supportable, documented, and timely cost estimates that are an acceptable basis for negotiation of fair and reasonable prices, and
3. Is consistent with and integrated with the Contractor's related management systems, and
4. Is subject to applicable financial control systems.

An acceptable estimating system is not mandatory; however, Contractors are encouraged to have an acceptable estimating system audited by DCMA or any CFA for the entire ordering period of their HCaTS SB contract. If the Contractor's contract is inclusive of an acceptable estimating system, the Contractor shall maintain it for the rest of the ordering period. The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its acceptable estimating system and provide the reason(s) for the change and copies of audit reports, as applicable.

H.6.3 APPROVED PURCHASING SYSTEM

An approved purchasing system means the Contractor's purchasing system has been audited under a Contractor Purchasing System Review (CPSR) for efficiency and effectiveness with which the Contractor spends Government funds and complies with Government policy when subcontracting.

Advance notification requirements for subcontracting and consent to subcontract are not required when a Contractor has an approved purchasing system unless otherwise requested by the OCO on an individual task order with no subcontracting possibilities or for commercial items acquired under *FAR Part 12*.

An approved purchasing system is not mandatory; however, Contractors are encouraged to have a purchasing system audited by DCMA or any CFA other than DCMA for the entire ordering period of its HCaTS SB contract. If the Contractor's contract is inclusive of an approved purchasing system, the Contractor shall maintain it for the rest of the ordering period.

The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its approved purchasing system and provide the reason(s) for the change and copies of CPSR reports, as applicable.

H.6.4 FORWARD PRICING RATE AGREEMENTS (FPRA), FORWARD PRICING RATE RECOMMENDATIONS (FPRR), AND AUDITED BILLING RATES

Billing rates and final indirect cost rates may be used in reimbursing indirect costs under cost reimbursement task orders and in determining progress payments under fixed-price task orders.

An FPRA means a written agreement to make certain rates available during a specified period for use in pricing contracts or modifications. These rates represent reasonable projections of specific costs that are not easily estimated for, identified with, or generated by a specific contract, contract end item, or task. These projections may include rates for such things as direct labor, indirect costs, material obsolescence and usage, and material handling.

An FPRR means a set of rates and factors unilaterally established by the Administrative

Contracting Officer (ACO) for use by the Government in negotiations or other contract actions when forward pricing rate agreement negotiations have not been completed or when the Contractor will not agree to a forward pricing rate agreement.

Audited Billing Rates means an indirect cost rate established temporarily for interim reimbursement of incurred indirect costs and adjusted as necessary pending establishment of final indirect cost rates.

For Time-and-Material, Labor-Hour, and Cost Reimbursement (all types) task orders solicited and awarded under HCATS SB contracts, Contractors are encouraged to execute an FPRA and/or audited billing rates to the maximum extent practicable. Contractors may use FPRRs when an FPRA has not been negotiated.

An FPRA, FPRR and Audited Billing Rates are not mandatory; however, Contractors are encouraged to have one of them audited by DCAA, DCMA or any other CFA other than DCAA or DCMA for the entire ordering period of their HCaTS SB contract. If the Contractor's contract is inclusive of an FPRA, FPRR, or Audited Billing Rates, the Contractor shall maintain it for the rest of the ordering period.

The Contractor shall notify the HCATS SB CO and designated OCO for affected task orders, in writing, if there are any changes in the status of its FPRA, FPRR, and/or Audited Billing Rates and provide the reason(s) for the change and copies of audit reports, as applicable.

The actual FPRA, FPRR, and Audited Billing Rates will not be disclosed on the HCATS SB website.

Only the OCO shall have access to this information upon request.

H.6.5 EARNED VALUE MANAGEMENT SYSTEM (EVMS)

An EVMS means a project management tool that effectively integrates the project scope of work with cost, schedule and performance elements for optimum project planning and control. The qualities and operating characteristics of EVMS for HCaTS SB are prescribed in American National Standards Institute/Electronics Industries Alliance (ANSI/EIA) Standard-748.

An EVMS is not mandatory; however, Contractors are encouraged to have an EVMS during the entire ordering period of their HCaTS SB contract. If the Contractor's contract is inclusive of an EVMS, the Contractor shall maintain it for the rest of the ordering period. The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its EVMS and provide the reason(s) for the change and copies of the associated documentation, as applicable. If only part of a Contractor's organization has an EVMS, the Contractor shall make the distinction between which business units or sites and geographic locations have is compliant.

H.6.6 INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO) 9001:2008 CERTIFICATION

The ISO 9001:2008 Certification specifies requirements for a quality management system to demonstrate the Contractor's ability to consistently meet the customers' requirements as well as statutory and regulatory requirements.

The ISO 9001:2008 Certification is not mandatory; however, Contractors are encouraged to have ISO 9001:2008 Certification during the entire ordering period of their HCaTS SB contract. If the Contractor's contract is inclusive of an ISO 9001:2008 Certification, the Contractor shall maintain it for the rest of the ordering period. The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its ISO 9001:2008 Certification and provide the reason(s) for the change and copies of audits from an ISO 9001:2008 Certification Body, as applicable. If only part of a Contractor's organization is ISO 9001:2008 certified, the Contractor shall make the distinction between which business units or sites and geographic locations have been certified.

NOTE: In September 2015, ISO 9001:2015 will be released and will be accepted in place of ISO 9001:2008. ISO 9001:2008 will continue to be accepted after the release of ISO 9001:2015 as long as the certification is still valid.

H.6.7 INTERNATIONAL ORGANIZATION OF STANDARDIZATION/INTERNATIONAL ELECTROTECHNICAL COMMISSION (ISO/IEC) 27001:2013 CERTIFICATION

The ISO/IEC 27001:2013 Certification specifies the requirements for establishing, implementing, maintaining and continually improving an information security management system within the context of the organization. It also includes requirements for the assessment and treatment of information security risks tailored to the needs of the organization.

An ISO/IEC 27001:2013 Certification is not mandatory; however, Contractors are encouraged to have ISO/IEC 27001:2013 Certification during the entire ordering period of their HCaTS SB contract. If the Contractor's contract is inclusive of an ISO 27001:2013 Certification, the Contractor shall maintain it for the rest of the ordering period. The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its ISO/IEC 27001:2013 Certification and provide the reason(s) for the change and copies of audits from an ISO/IEC 27001:2013 Certification Body, as applicable. If only part of a Contractor's organization is ISO/IEC 27001:2013 certified, the Contractor shall make the distinction between which business units or sites and geographic locations have been certified.

H.7 LIMITATIONS ON SUBCONTRACTING

In performance of services awarded under HCaTS SB, at least 50% of the cost of task order

performance incurred for personnel shall be expended by the HCaTS SB Contractor. *FAR [52.219-14](#)* will be monitored and strictly enforced by the HCaTS SB CO.

The Contractor shall specifically identify the total Prime and Subcontracted labor dollars combined and the total labor dollars subcontracted separately in each invoice submitted under HCaTS SB task orders.

If an ordering agency has a supplemental regulation that does not coincide with FAR [52.219-14](#), the OCO may tailor this section at the task order level.

H.8 MEANINGFUL RELATIONSHIP COMMITMENT LETTERS (MRCL)

If applicable, an MRCL establishes the relationship and commitments of performance for Contractors who share accreditations from other affiliates, divisions, or subsidiaries within a Contractor's internal corporate structure.

If applicable, the Contractor shall maintain and honor each MRCL for the entire ordering period of their HCaTS SB contract. The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its internal corporate relationships or commitments and provide the reason(s) for the change.

If applicable, the Contractor's MRCLs are incorporated by reference into the HCaTS SB contract and the HCaTS PMO will provide MRCLs to the OCO upon request.

H.9 PROFESSIONAL EMPLOYEE COMPENSATION POLICY

The Government is concerned with the quality and stability of the work force to be employed on an HCaTS SB contract. Professional compensation that is unrealistically low or not in reasonable relationship to the various job categories may impair the Contractor's ability to attract and retain competent professional service employees or may be viewed as evidence of failure to comprehend the complexity of future task order requirements.

The Contractor's professional employee compensation policy are incorporated by reference and made a part of the HCaTS SB contract.

The Contractor shall notify the HCaTS CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its professional employee compensation plan and provide the reason(s) for the change and copies of the new professional employee compensation policy, as applicable.

H.10 UNCOMPENSATED OVERTIME POLICY

Uncompensated overtime means the hours worked without additional compensation in excess of an average of 40 hours per week by direct charge employees who are exempt from the Fair

Labor Standards Act. Compensated personal absences such as holidays, vacations, and sick leave shall be included in the normal workweek for purposes of computing uncompensated overtime hours.

Task Orders may be subject to *FAR* [52.237-10](#) when services to be required are on the basis of the number of hours to be provided. When *FAR* [52.237-10](#) applies and the services to be required are on the basis of the number of hours to be provided, the labor rate charged to the Government shall be adjusted accordingly for the hours worked without additional compensation in excess of an average of 40 hours per week by direct charge employees who are exempt from the Fair Labor Standards Act. For example, if a salaried exempt Editor works a standard 80-hour, two-week pay period and receives \$2,000.00 in gross salary during that period, the Editor's hourly rate would be \$25.00 ($\$2,000.00/80=\25.00). If the Contractor's uncompensated overtime policy compensates the Editor up to ten hours beyond the 40 hours per week and the Editor works 120 hours during that period (60 hours each week), the Editor's adjusted hourly rate would be \$20.00 ($\$2,000.00/100=\20.00). Since the Contractor will compensate the Editor for the 20 hours of additional hours worked during that period, the Contractor shall charge the Government the adjusted hourly rate of \$20.00 instead of \$25.00.

The Contractor's uncompensated overtime policy is incorporated by reference and made a part of the HCaTS SB contract.

The Contractor shall notify the HCaTS SB CO and designated OCO(s) for affected task orders, in writing, if there are any changes in the status of its uncompensated overtime policy and provide the reason(s) for the change and copies of the new uncompensated overtime policy, as applicable.

H.11 SECURITY CLEARANCE REQUIREMENTS

The OCO shall tailor security requirements (both facility and employee), clauses, provisions, and other applicable terms and conditions specific to each task order's solicitation and award.

Only those Contractors that meet the required security clearance levels on individual task order solicitations are eligible to compete for such task orders.

In general, all necessary facility and employee security clearances shall be at the expense of the Contractor. In some cases, Government offices that conduct background investigations do not have a means for accepting direct compensation from Contractors and instead charge Federal agencies for the background investigations. In these cases, the Contractor shall be flexible in establishing ways of reimbursing the Government for these expenses. The individual task order shall specify the terms and conditions for reimbursement, if any, for obtaining security clearances. The Contractor shall comply with all security requirements in task orders awarded under it HCaTS SB contract.

H.11.1 FACILITY CLEARANCE LEVEL (FCL)

An FCL is when a Contractor's facility is eligible for access to classified information at the Confidential, Secret, or Top Secret level. The FCL includes the execution of a Department of Defense (DoD) Security Agreement (DD Form 441 and DD Form 441-1) and Certificate Pertaining to Foreign Interests (SF 328).

Under the terms of an FCL agreement, the Government agrees to issue the FCL and inform the Contractor as to the security classification of information to which the Contractor will have access. The Contractor, in turn, agrees to abide by the security requirements set forth in the National Industrial Security Program Operating Manual, commonly referred to as the NISPOM.

There are no mandatory levels of facility security clearance for Contractors under their HCaTS SB contracts; however, task orders may require an FCL at any level.

H.11.2 EMPLOYEE SECURITY CLEARANCE

Security clearances for Contractor employees, including Subcontractor employees, may require Confidential, Secret, Top Secret, Agency-Specific Clearances, and/or Special Background Investigations for Sensitive Compartmented Information or Special Access Programs. In such cases, the Contractor, at its own expense, is responsible for providing and maintaining personnel with the appropriate security clearances to ensure compliance with Government security regulations, as specified in the individual task order.

The Contractor shall fully cooperate on all security checks and investigations by furnishing requested information to verify the Contractor employees' trustworthiness and suitability for the position. Task orders containing classified work may also include a Contract Security Classification Specification, (i.e., DD Form 254 or civilian agency equivalent).

The Government has full and complete control over granting, denying, withholding or terminating security clearances for employees. The granting of a clearance shall not prevent, preclude, or bar the withdrawal or termination of any such clearance by the Government.

H.11.3 HOMELAND SECURITY PRESIDENTIAL DIRECTIVE 12 (HSPD-12)

When a Contractor or its Subcontractors are required to have physical access to a Federally-controlled facility or access to a Federal information system, the Contractor shall comply with agency personal identity verification procedures in task orders that implement HSPD-12.

H.12 SUSTAINABILITY

HCaTS SB seeks to benefit from the use of sustainable management practices by Contractors including tracking and seeking continual reductions in energy usage, greenhouse gas emissions, water consumption, solid waste and hazardous waste, and other relevant environmental impacts

and associated costs.

Use of these sustainable management practices results in lower environmental impacts of delivered products and services, helping customers meet sustainable acquisition requirements under [*Executive Order 13514: Federal Leadership in Environmental, Energy and Economic Performance*](#), and its precursors, successors and related regulations.

Public disclosures of environmental impacts and sustainable management practices have been associated with increased operational efficiency, lower overhead costs, and reduced supply chain and other business risks for disclosing companies.

Sustainability disclosures can help OCOs understand the major environmental impacts of procured products and services, familiarize themselves with the available strategies for reducing these impacts, and design projects and task order requirements which incorporate these strategies.

HCaTS SB encourages Contractors to provide the location(s) (Internet URL(s)) of one or more sources of publicly available information regarding its company-wide environmental impacts and sustainable management practices (sustainability disclosures) on the Contractor's HCaTS SB webpage. In making sustainability disclosures, the Contractor is requested to utilize existing, widely recognized third-party sustainability reporting portals and services such as the Global Reporting Initiative (GRI) Sustainability Disclosure Database (database of corporate social responsibility {CSR} reports) and the Carbon Disclosure Project (CDP) Climate Change and Water Disclosure Questionnaires.

These sustainability-related standards, including estimates of the lifecycle costs and environmental impacts of proposed solutions, may apply at the task order level.

H.13 PROPRIETARY SOLUTIONS

Contractors are discouraged from proposing proprietary solutions in response to HCaTS SB task order requirements that necessitate the Contractor's proprietary process, system, maintenance, and/or solution that would prevent competition at a future point or require sustained and non-competitive support.

If a proprietary solution is proposed by a Contractor for a given task order requirement, the Contractor shall mark its proposal accordingly and make it clear to the OCO all limitations and costs associated with the solution.

H.14 PARTNERING

The HCaTS PMO intends to encourage the foundation of a cohesive partnership between the HCaTS SB Contractors, HCaTS PMO, and Federal agency customers to identify and achieve reciprocal goals, with effective and efficient customer-focused service, in accordance with the

terms and conditions of HCaTS SB.

Failure to attend meetings, maintain an HCaTS SB webpage, or otherwise not comply with this section may result in activation of Dormant Status and/or result in a Contractor being Off-Ramped (see Sections H.25 and H.26).

H.15 MEETINGS

From time to time, the Government may require Contractor attendance, including the attendance of Contractor Key Personnel, to meetings at various locations.

Meetings may be virtual, in-person at a Government facility, a commercial conference center, or a mutually agreed upon Contractor facility on a rotational basis, as determined by the Government. Follow-up meetings may be held periodically throughout the term of HCaTS SB in order to assess performance against the goals and to reinforce partnering principles.

The HCaTS PMO may require up to four HCaTS Program Management Review (PMR) meetings per year. The goal of the PMR meetings are to provide a platform for Contractors, HCaTS PMO, and other agency representatives to communicate current issues, resolve potential problems, discuss business and marketing opportunities, review future and ongoing GSA and Government-wide initiatives, and address HCaTS SB fundamentals. Any Contractor costs associated to PMR meetings shall be at no direct cost to the Government.

H.16 HCATS PMO WEBSITE

The HCaTS PMO will establish an HCaTS PMO website for the purposes of informing our customers, stakeholders, and the general public of the attributes and procedures of HCaTS and HCaTS SB.

The HCaTS PMO website will include, but not be limited to, the following:

1. General overview of the attributes of HCaTS and HCaTS SB, and
2. The HCaTS and HCaTS SB conformed contracts (Sections A through K), and
3. Government point-of-contacts (POCs) information (names, titles, phone numbers, and email addresses), and
4. Contractor Key Personnel POC information (names, titles, phone numbers, and email addresses), and
5. List of contract numbers, company names by Pool and MA-IDIQ task order contracts, and direct POC for issuing task order solicitations by an OCO, and

6. Delegation of Procurement Authority (DPA) process for the OCO, and
7. HCaTS and HCaTS SB training and ordering guides, and
8. Sample procurement templates for the OCO, and
9. Scope review process for the OCO, and
10. Statistical information by Federal agency and Contractor, and
11. Links to other mandatory websites for reporting purposes or ordering procedures, and
12. List of Contractors not eligible for solicitations and awards due to Dormant Status or Off-Ramped, if necessary, and
13. Frequently asked questions.

H.17 CONTRACTOR HCATS SB WEBPAGE

Within 30 calendar days of the Notice to Proceed, the Contractor shall develop and maintain a current, publicly available webpage accessible via the Internet throughout the ordering period of its HCaTS SB contract and task orders awarded under its HCaTS SB contract. The Contractor shall make its HCaTS SB webpage [Rehabilitation Act Section 508](#) compliant.

The purpose of the webpage is for the Contractor to communicate with potential customers regarding the Contractor's ability to provide the awarded services under HCaTS SB.

At a minimum, this webpage shall include, but is not limited to, the following:

1. Link to the HCaTS PMO website, and
2. General overview of HCaTS SB, and
3. HCaTS SB-related marketing materials and news releases, and
4. Contractor capabilities for HCaTS SB, and
5. Contractor Key Personnel POC information (names, titles, phone numbers, and email addresses), and
6. The HCaTS SB conformed contract (Sections A through K) and all modifications issued within thirty (30) days in pdf, and
7. DUNS Number, and

8. Sustainability Disclosures, if any.

H.18 MARKETING

The Contractor shall maintain participation by actively pursuing work and competing for task order solicitations under HCaTS SB.

The Contractor may develop company-specific HCaTS SB brochures for distribution at trade shows, conferences, seminars, etc., and distribute printed materials to enhance awareness of HCaTS SB.

The Contractor may participate in various conferences and trade shows to facilitate outreach efforts for Federal agency customers and to aid in the marketing of HCaTS SB.

All marketing, promotional materials, and news releases in connection with HCaTS SB contracts or task order awards under HCaTS SB contracts, including information on the Contractor's HCaTS SB webpage, may be co-branded with marks owned or licensed by the Contractor and HCaTS PMO, as long as the Contractor complies with *GSAR* [552.203-71](#).

The Government reserves the right to review and approve any marketing, promotional materials, or news releases by a Contractor that is HCaTS SB-related, including information on the Contractor's HCaTS SB webpage.

H.19 MINIMUM TASK ORDER AWARDS OR ESTIMATED VALUE

Starting from the date of the HCaTS SB Notice-to-Proceed, the Contractor shall attain a minimum of three task order awards; or, a total task order estimated value of \$750,000.00 (total estimated value of all task orders inclusive of all options); or, show a good faith effort in responding to competitive solicitations released under the Contractor's respective Pool(s) prior to the exercise of Option I under its HCaTS SB contract.

In the event a Contractor is On-Ramped after original contract award, the number of expected task order awards to be attained shall be proportionate with the amount of time spent on the contract in the base period. For example, if the Contractor is On-Ramped to the contract in Year 1, the Contractor would be expected to attain a minimum of two task order awards or total task order estimated value of \$500,000.00, or show a good faith effort in responding to competitive solicitations released under the Contractor's respective Pool(s) from the time it was On-Ramped prior to the exercise of Option I.

Failure to attain the expected number of task order awards, estimated value, or respond to competitive solicitations released under the Contractor's respective Pool(s) from the time it was On-Ramped prior to the exercise of Option I may result in a Contractor being Off-Ramped (see Section H.26).

H.20 TRAINING AND PERMITS

The Contractor shall provide fully trained and experienced personnel required for performance under task orders awarded under its HCaTS SB contract. The Contractor shall train Contractor personnel, at its own expense, except when the OCO has given prior approval for specific training to meet special requirements that are unique to a particular task order.

Except as otherwise provided in an individual task order, the Contractor shall, at its own expense, be responsible for obtaining any and all licenses, certifications, authorizations, approvals, and permits, and for complying with any applicable Federal, national, state, and municipal laws, codes, and regulations, and any applicable foreign work permits, authorizations, and/or visas in connection with the performance of any applicable task order issued under HCaTS SB.

H.21 ETHICS AND CONDUCT

Personal services are not authorized under HCaTS SB. HCaTS SB is strictly for non-personal services, which means the personnel rendering the services are not subject, either by the contract's terms or by the manner of its administration, to the supervision and control usually prevailing in relationships between the Government and its employees.

The Contractor and its employees shall conduct themselves with the highest degree of integrity and honesty and adhere to the policies and procedures as specified in *FAR Part 3*, *GSAR Part 503* and other applicable agency-specific regulatory supplements.

Failure to adhere to proper ethics and conduct may result in activation of Dormant Status and/or result in a Contractor being Off-Ramped (see Sections H.25 and H.26).

H.21.1 SUPERVISION

The Contractor shall not supervise, direct, or control the activities of Government personnel or the employee of any other Contractor under HCaTS SB and the Government will not exercise any supervision or control over the Contractor in the performance of contractual services under HCaTS SB. The Contractor is accountable to the Government for the actions of its personnel.

Contractor employees shall not represent themselves as Government employees, agents, or representatives or state orally or in writing at any time that they are acting on behalf of the Government.

In all communications with third parties in connection with HCaTS SB, the Contractor shall ensure that all Contractor employees identify themselves as Contractor employees and identify the name of the company for which they work, and must not carry out any direction that violates the terms and conditions of HCaTS SB.

The Contractor shall ensure that all of its employees, including Subcontractor employees, working under HCaTS SB are informed of the substance of this clause.

If the Contractor believes any action or communication has been given that would create a personal services relationship between the Government and any Contractor employee or any other potential supervision or duty violation, the Contractor shall notify the OCO and HCaTS SB CO immediately of this communication or action.

H.21.2 CONDUCT

The Contractor shall not discuss with unauthorized persons any information obtained in the performance of work under HCaTS SB; conduct business other than that which is covered by HCaTS SB during periods funded by the Government; conduct business not directly related to HCaTS SB on Government premises; use Government computer systems and/or other Government facilities for company or personal business; recruit on Government premises; or, otherwise act to disrupt official Government business.

The Contractor shall ensure that all of its employees, including Subcontractor employees, working under HCaTS SB are informed of the substance of this clause.

If the Contractor believes any action or communication has been given that would create a business ethics or conduct violation, the Contractor shall notify the OCO and HCaTS SB CO immediately of this communication or action.

H.21.3 CONFLICTS OF INTEREST

The guidelines and procedures of *FAR Part 3* and *GSAR Part 503*, and *FAR Subpart 9.5* and *GSAR Subpart 509.5*, will be used in identifying and resolving any issues of a conflict of interest under HCaTS SB. The FAR and other applicable agency-specific regulatory supplements will govern task orders awarded under HCaTS SB contracts.

Assuming no real or potential conflict of interest, a Prime Contractor may be a Subcontractor to another Prime Contractor on task orders solicited and awarded under HCaTS or HCaTS SB; however, the OCO may require that the Contractor sign an Organizational Conflict of Interest (OCI) Statement in which the Contractor (and any Subcontractors or teaming partners) agree not to submit any proposal or provide any support to any firm which is submitting (as Prime or Subcontractor) any proposal for any solicitation resulting from the work on a specific task order under HCaTS SB contracts.

All Contractor personnel (to include Subcontractors and Consultants) who will be personally and substantially involved in the performance of any task order issued under HCaTS SB which requires the Contractor to act on behalf of, or provide advice with respect to any phase of an agency procurement shall execute and submit an Employee/Contractor Non-Disclosure Agreement Form. The OCO will provide the appropriate nondisclosure form specific to the

procurement. This form shall be required prior to the commencement of any work on such task order and whenever replacement personnel are proposed under an ongoing task order.

The Contractor shall be responsible for identifying and preventing personal conflicts of interest of its employees. The Contractor shall prohibit employees who have access to nonpublic information by reason of performance on a Government contract from using that information for personal gain.

In the event that a task order requires activity that would create an actual or potential conflict of interest, the Contractor shall immediately notify the OCO of the conflict, submit a plan for mitigation, and not commence work until specifically notified by the OCO to proceed; or, identify the conflict and recommend to the OCO an alternate approach to avoid the conflict.

The OCO or HCaTS SB CO, if necessary, will review the information provided by the Contractor and make a determination whether to proceed with the task order and process a request for waiver, if necessary.

H.21.4 COOPERATION WITH OTHER CONTRACTORS ON GOVERNMENT SITES

The Government may undertake or award other contracts or task orders for work at or in close proximity to the site of the work under HCaTS SB. The Contractor shall fully cooperate with the other Contractors and with Government employees and shall carefully adapt scheduling and performing the work under HCaTS SB to accommodate the working environment, heeding any direction that may be provided by the OCO. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other Contractor or by Government employees.

H.22 GOVERNMENT PROPERTY

For task orders awarded under HCaTS SB contracts, Government property matters shall follow the same policies and procedures for Government property under *FAR Part 45* and other applicable agency-specific regulatory supplements.

FAR Part 45 does not apply to Government property that is incidental to the place of performance, when the task order requires Contractor personnel to be located on a Government site or installation, and when the property used by the Contractor within the location remains accountable to the Government.

Unless otherwise specified in a task order, the Contractor shall provide all office equipment and consumable supplies at the Contractor's sole and exclusive expense, including computers/workstations used in daily operation in support of HCaTS SB. The Government will not modify facilities to accommodate contractor-owned equipment or supplies.

The OCO shall tailor property clauses, provisions, and other applicable terms and conditions specific to each task order solicitation and award.

H.22.1 LEASING OF REAL AND PERSONAL PROPERTY

The Government contemplates that leases may be part of a task order solution offered by a Contractor, but the Government, where the Contractor's solution includes leasing, must not be the Lessee. Under no circumstances on any task order awarded under HCaTS SB contracts shall the Government be deemed to have privity-of-contract with the Owner/Lessor of the leased items; or, the Government be held liable for early termination/cancellation damages if the Government decides not to exercise an option period under a task order unless the Contractor has specifically disclosed the amount of such damages (or the formula by which such damages would be calculated) as part of its proposal and the OCO for the task order has specifically approved such damages as part of the task order's terms and conditions.

H.22.2 GOVERNMENT FACILITIES

The Contractor shall arrange with the OCO or other designated representative for means of access to premises, delivery and storage of materials and equipment, use of approaches, use of corridors, stairways, elevators, and similar matters.

A Contractor working in a Government facility shall be responsible for maintaining satisfactory standards of employee competency, conduct, appearance, and integrity and shall be responsible for taking disciplinary action with respect to its employees as necessary.

The Contractor is responsible for ensuring that its employees do not disturb papers on desks, open desk drawers or cabinets, or use Government telephones, except as authorized. Each employee is expected to adhere to standards of behavior that reflect favorably on its employer and the Federal government.

The Contractor shall ensure that all of its employees, including Subcontractor employees, working under HCaTS SB are informed of the substance of this clause.

H.22.3 RIGHTS OF INGRESS AND EGRESS

The rights of ingress to, and egress from, Government facilities for the Contractor's personnel must be specified in the task order. Specific Federally-controlled facilities or those areas located within a given facility may have additional security clearance requirements must be specified in the task order.

Contractor employees, including Subcontractor employees, shall have in their possession, at all times while working, the specific Government identification credential issued by the Government. The identification credential shall be displayed and be visible at all times while on Government property.

During all operations on Government premises, the Contractor's personnel shall comply with the rules and regulations governing the facility access policies and the conduct of its personnel. The Government reserves the right to require Contractor personnel to sign-in upon entry and sign-out upon departure from the Government facilities.

The Contractor shall be responsible for ensuring that all identification credentials are returned to the issuing agency whenever contract employees leave the contract, when the task order has been completed, employees leave the company, or employees are dismissed or terminated. The Contractor shall notify the issuing agency whenever employee badges are lost.

H.23 SECTION 508 OF THE REHABILITATION ACT OF 1973

Contractors shall comply with *FAR Subpart 39.2* when developing, procuring , maintaining or using electronic and information technology, unless an exception applies as per *FAR Section 39.204*.

H.24 ON-RAMPING

The total number of Contractors within any of the two HCaTS SB Pools may fluctuate due to any number of reasons including, but not limited to:

1. Competition levels on task orders, or
2. Mergers and acquisitions, or
3. The HCaTS PMO's exercise of the off-ramp process, or
4. HCaTS SB Contractors outgrowing its small business size status under its existing HCaTS SB contract, or
5. HCaTS SB Contractors that certify as a HUBZone SB, SDVOSB, WOSB, EDOWSB, or 8(a) SB outgrow their size standard or set-aside subgroup status.

It is in the Government's best interest that there remain an adequate number of Contractors and set-aside subgroup representation eligible to compete for task orders in each HCaTS SB Pool to meet the Government's customized training and development services, customized human capital strategy services, and customized organizational performance improvement services requirements.

Contractors are hereby notified that utilization of any on-ramping procedure below does not obligate the Government to perform any other on-ramping procedure. Furthermore, any on-ramping procedure may be performed for any single HCaTS SB Pool or may be solely for a set-aside subgroup within a particular Pool at any time.

H.24.1 LATERAL POOL ON-RAMPING

In accordance with Section G.3.10 and *FAR Section 19.101*, Contractors under HCaTS SB shall be required to recertify their small business size standard under their existing Pool.

For those HCaTS SB Contractors who no longer certify as a small business for HCaTS SB Pool 1, the HCaTS SB Contractor may elect to be considered for HCaTS SB Pool 2 if they qualify as a small business for that small business size standard and were not already awarded in that Pool.

Additionally, for those HCaTS SB Contractors who now certify as a small business for HCaTS SB Pool 1 that they did not previously fit the size standard, the HCaTS SB Contractor may elect to be considered for HCaTS SB Pool 1 if they qualify as a small business for that size standard and were not already awarded in that Pool.

Example 1: If Contractor X in HCaTS SB Pool 1 (\$11M size standard) only has a contract in HCaTS SB Pool 1 and no longer qualifies as a small business for that Pool after recertification, Contractor X may elect to be considered for HCaTS SB Pool 2.

Example 2: Contractor Y in HCaTS SB Pool 2 (\$15M size standard) experiences reduced business volume and now qualifies as a small business for HCaTS SB Pool 1 after recertification. Contractor Y may now elect to be considered for HCaTS SB Pool 1.

In order to obtain a Lateral Pool On-Ramp, the Contractor shall:

1. Either have outgrown its Pool small business size standard on the basis of natural growth or on the basis of a merger, acquisition or novation agreement; or, recertify as a lower small business size standard than originally proposed in accordance with *FAR Section 19.101* and Section G.3.10, and
2. Qualify as a small business for the Pool applied for, and
3. Demonstrate successful performance under the HCaTS SB contract, and
4. Submit a proposal in response to a solicitation materially identical to the original version of the HCaTS SB solicitation, and
5. Meet all of the minimum requirement criteria of the original HCaTS SB solicitation, and
6. Have a self score equal to or higher than the lowest scoring Contractor within the Pool being applied for.

NOTE: The lowest scoring Contractor is based on the lowest awarded self score within the corresponding HCaTS SB Pool in accordance with the scoring table in Section M.6.

of the HCaTS SB solicitation at the time of the original HCaTS SB awards.

The Lateral Pool On-Ramping solicitation will include the same evaluation factors/sub-factors as the original HCaTS SB solicitation.

The terms and conditions of the resulting award shall be materially identical to the existing version of HCaTS SB. The ordering period shall be coterminous with the existing ordering period of all other HCaTS SB Contractors.

Immediately upon Lateral Pool On-Ramping to HCaTS SB, the Contractor is eligible to submit a proposal in response to any task order solicitation and receive task order awards with the same rights and obligations as any other Contractor within the Pool; however, the Contractor will be placed on Dormant Status under the HCaTS SB Pool the Contractor was previously awarded under. The Contractor shall continue performance on active task orders under its dormant HCaTS SB Pool, including the exercise of options at the task order level at the discretion of the OCO, until all active task orders are closed-out.

The HCaTS SB CO may conduct a Lateral Pool On-Ramp without conducting any other On-Ramp.

H.24.2 VERTICAL POOL ON-RAMPING

The HCaTS Program is a family of HCaTS Pools and HCaTS Small Business (SB) Pools with identical scopes. Each HCaTS Pool is unrestricted and each HCaTS SB Pool is a 100% small business set-aside contract.

For those HCaTS SB Contractors who no longer certify as a small business for their respective HCaTS SB Pool, an HCaTS SB Contractor may elect to be considered for the corresponding HCaTS Pool based on its business size and in accordance with *FAR Section 19.101* and Section G.3.10.

For example, if Contractor Z in HCaTS SB Pool 1 (\$11M size standard) can no longer certify as a small business under the \$11M size standard, Contractor Z may elect to be considered for HCaTS Pool 1 as an other than small business.

In order to obtain a Vertical Pool On-Ramp, the Contractor shall:

1. Have outgrown its small business size standard on the basis of natural growth, or on the basis of a merger, acquisition or novation agreement in accordance with *FAR Section 19.101* and Section G.3.10, and
2. Demonstrate successful performance under HCaTS SB, and
3. Submit a proposal in response to a solicitation materially identical to the original version

of the HCaTS solicitation, and

4. Meet all of the minimum requirement criteria of the original HCaTS solicitation, and
5. Have a self score equal to or higher than the lowest scoring Contractor within the Pool being applied for.

NOTE: The lowest scoring Contractor is based on the lowest awarded self score within the corresponding HCaTS Pool in accordance with the scoring table in Section M.6 of the HCaTS solicitation at the time of the original HCaTS solicitation.

The Vertical Pool On-Ramp solicitation will include the same evaluation factors/sub-factors as the original HCaTS solicitation. The terms and conditions of the resulting award shall be materially identical to the existing version of HCaTS. The ordering period shall be coterminous with the existing ordering period of all other HCaTS Contractors.

Immediately upon Vertical On-Ramping to HCaTS, the Contractor is eligible to submit a proposal in response to any task order solicitation and receive task order awards with the same rights and obligations as any other Contractor; however, the Contractor will be placed on Dormant Status under its corresponding HCaTS SB Pool. The Contractor shall continue performance on active task orders under its dormant HCaTS SB Pool, including the exercise of options at the task order level at the discretion of the OCO, until all active task orders are closed-out.

The HCaTS SB CO may conduct a Vertical Pool On-Ramp without conducting any other form of On-Ramp.

H.24.3 OPEN SEASON ON-RAMPING

The HCaTS PMO will determine whether it would be in the Government's best interest to initiate an open season to add additional Contractors to any of the HCaTS SB Pools at any time, subject to the following conditions:

1. An open season notice is published in Federal Business Opportunities in accordance with *FAR Part 5*, and
2. An open season solicitation is issued under current Federal procurement law, and
3. The solicitation identifies the total anticipated number of new contracts that the HCaTS PMO intends to award, and
4. Any Offeror that meets the eligibility requirements set forth in the open season solicitation may submit a proposal in response to the solicitation, and

5. The award decision under the open season solicitation is based upon substantially the same evaluation factors/subfactors as the original solicitation, and
6. An Offeror's proposal shall meet all of the minimum requirement criteria of the original solicitation, and
7. An Offeror's proposal shall have a self score equal to or higher than the lowest awarded scoring Contractor within the HCaTS SB Pool being applied for, and

NOTE: The lowest scoring Contractor is based on the lowest awarded self score in the corresponding HCaTS SB Pool in accordance with the scoring table in Section M.6 of the solicitation at the time of the original awards.

8. The terms and conditions of any resulting awards are materially identical to the existing version of the HCaTS SB Pool, and
9. The ordering period for any new awards is coterminous with the existing ordering period for all other Contractors.

Immediately upon Open Season On-Ramping, the Contractor is eligible to submit a proposal in response to any task order solicitation and receive task order awards with the same rights and obligations as any other Contractor.

H.24.4 FOCUSED ON-RAMPING (SUB-POOL CREATION)

The HCaTS PMO will determine whether it would be in the Government's best interest to initiate an open season to create a Sub-Pool within established HCaTS SB Pools. This may be done in response to client needs, competition levels, or other factors. For example, HCaTS SB Pool 1 consists of three different NAICS codes. Over time, the HCaTS PMO notices that competition levels for all NAICS are healthy except for NAICS Code 624310. In response to this and based on anticipated demand, a Sub-Pool for NAICS Code 624310 could be created through this On-Ramping procedure.

Implementation of a Focused On-Ramp shall be subject to the following conditions:

1. An open season notice is published in Federal Business Opportunities in accordance with *FAR Part 5*, and
2. An open season solicitation is issued under current Federal procurement law, and
3. The solicitation identifies the total anticipated number of new contracts that the HCaTS PMO intends to award, and
4. Any Contractor already possessing a contract in the affected Pool will automatically be

included in the newly formed Sub-Pool if the Offeror can provide Relevant Experience Projects that meets the eligibility requirements of the new Sub-Pool set forth in the open season solicitation, and

5. The award decision under the open season solicitation is based upon substantially the same evaluation factors/subfactors as the original solicitation. The newly formed Sub-Pool will become a new MA-IDIQ task order contract under HCaTS SB, and
6. An Offeror's proposal shall meet all of the minimum requirements of the open season solicitation, and
7. The terms and conditions of any resulting awards are materially identical to current HCaTS SB contracts, and
8. The ordering period for any new awards is coterminous with the existing ordering period for all other Contractors.

H.25 DORMANT STATUS

The HCaTS PMO is responsible for ensuring performance and compliance with the terms and conditions of HCaTS SB and safeguarding the interests of the Government and the American taxpayer in its contractual relationships. Additionally, the HCaTS PMO must ensure that Contractors receive impartial, fair, and equitable treatment. HCaTS SB shall be reserved for high performing Contractors. Accordingly, if the HCaTS SB CO determines that any requirement of HCaTS SB is not being met or is Laterally or Vertically On-Ramped in accordance with Sections H.24.1 and H.24.2, a Contractor may be placed in Dormant Status. Dormant status may be activated for one or both HCaTS SB Pool(s) that a Contractor has been awarded.

If Dormant Status is activated, the Contractor shall not be eligible to participate or compete in any subsequent task order solicitations; however, Contractors placed in Dormant Status shall continue performance on previously awarded and active task orders, including the exercise of options and modifications at the task order level.

Dormant Status is not a debarment, suspension or ineligibility status as defined in *FAR Subpart 9.4* or a termination as defined in *FAR 52.249-8* (see Section F.2). Dormant Status is a condition that applies to HCaTS SB. Grounds for being placed in Dormant Status specifically include, but are not limited to, trends or patterns of behavior associated with the failure to meet the deliverables and compliances specified under Section F.5 (Performance Standards).

Dormant status will only be imposed after careful consideration of the situation and collaboration with the Contractor to resolve the issue(s). To place a Contractor in Dormant Status, the HCaTS SB CO must first send a letter, in writing, to the Contractor regarding poor performance or non-compliance issue(s). The Contractor shall have reasonable time, at the discretion of the HCaTS

SB CO, to provide the HCaTS SB CO with a remediation plan to correct the deficiency(ies)/issue(s). If the HCaTS SB CO is satisfied with the Contractor's response, the Contractor will not be placed in Dormant Status. If the HCaTS SB CO is not satisfied with the response, or the remediation plan is not effective, the HCaTS SB CO may issue a final decision, in writing, placing the Contractor in Dormant Status. The final decision will include necessary measures for the Contractor to take to be removed from Dormant Status. The HCaTS SB CO's final decision may be appealed to the HCaTS SB Ombudsman under Alternative Disputes Resolution (ADR), as defined in *FAR Section 33.201* and *GSAR Subpart 533.2*. Using ADR does not waive the Contractor's right to appeal to the Agency Board of Contract Appeals or United States Court of Federal Claims.

NOTE: Upon award of a contract using the procedures prescribed in Section H.24.2, the HCaTS CO will send a letter, in writing, to the Contractor placing it in Dormant Status. Once placed on Dormant Status, the Contractor shall satisfy all requirements prescribed in the final decision to be removed from Dormant Status.

H.26 OFF-RAMPING

The HCaTS PMO reserves the unilateral right to Off-Ramp non-performing Contractors. Contractors that are Off-Ramped shall have no active task orders under their HCaTS SB Pool at the time of the Off-Ramping. Contractors under more than one HCaTS SB Pool will only be Off-Ramped from the HCaTS SB Pool where one or more of the following conditions have occurred:

1. Permitting the Contractor's contract to expire instead of exercising Option I, and/or
2. After a Contractor is placed in Dormant Status and the Contractor has completed all previously awarded task orders under the HCaTS SB contract, and/or
3. Debarment, Suspension, or Ineligibility as defined in *FAR Subpart 9.4*, and/or
4. Termination as defined in *FAR 52.249-2* and *FAR 52.249-8*, and/or
5. Contractors who fail to meet the standards of performance, deliverables, or compliance, and/or
6. The HCaTS CO takes any other action which may be permitted under the terms and conditions of HCaTS SB.

(END OF SECTION H)
PART II: CONTRACT CLAUSES
SECTION I: CONTRACT CLAUSES

I.1 TASK ORDER PROVISIONS AND CLAUSES

In accordance with *FAR Section 52.301*, due to the various combinations of contract provisions and clauses that may be optional under an individual task order based on the contract type, statement of work, dollar value and other specific customer agency requirements, the Human Capital and Training Solutions Small Business (HCaTS SB) cannot predetermine all the contract provisions and clauses for future individual task orders. However, all applicable and required provisions and clauses set forth in *FAR Section 52.301* automatically flow down to all HCaTS SB task orders, based on their specific contract type, statement of work, competition requirements, commercial or non-commercial determination, and dollar value as of the date the task order solicitation is issued.

All applicable and required provisions and clauses that automatically flow down to task orders shall remain unchanged as of *Federal Acquisition Circular (FAC) No. 2005-84*, effective date September 3, 2015, throughout the entire ordering period under HCaTS SB. If a future applicable or required provision(s) and/or clause(s) are to the benefit of future task orders solicited under HCaTS SB, the future applicable or required provision/clause may be updated by FAC No. and effective date by a bilateral modification to HCaTS SB.

The OCO shall identify in the task order solicitation whether *FAR Part 12* provisions and clauses apply or do not apply. Furthermore, the OCO shall identify any optional and/or agency-specific provisions and clauses that do not conflict with the provisions and clauses under HCaTS SB for each individual task order solicitation and subsequent award. For optional and/or agency-specific provisions and clauses, the OCO shall provide the provision and/or clause number, title, date, and fill-in information (if any), as of the date the task order solicitation is issued or award is made.

I.2 HCATS SB PROVISIONS AND CLAUSES

The following provisions and clauses apply to HCaTS SB and task orders placed under HCaTS SB, as applicable. The provisions and clauses and dates remain unchanged throughout the term of HCaTS SB unless changed by a bilateral modification to HCaTS SB.

I.2.1 FAR 52.252-2 PROVISIONS AND CLAUSES INCORPORATED BY REFERENCE

HCaTS SB incorporates one or more provisions and clauses by reference, with the same force and effect as if they were given in full text. Also, the full text of a clause may be accessed electronically at this address: <https://www.acquisition.gov/>.

FAR	TITLE	DATE
52.202-1	Definitions	NOV 2013
52.203-3	Gratuities	APR 1984
52.203-5	Covenant Against Contingent Fees	MAY 2014

52.203-6	Restrictions on Subcontractor Sales to the Government	SEP 2006
52.203-7	Anti-Kickback Procedures	MAY 2014
52.203-8	Cancellation, Rescission, and Recovery of Funds for Illegal or Improper Activity	MAY 2014
52.203-10	Price or Fee Adjustment for Illegal or Improper Activity	MAY 2014
52.203-12	Limitation on Payments to Influence Certain Federal Transactions	OCT 2010
52.203-13	Contractor Code of Business Ethics and Conduct	APR 2010
52.203-14	Display of Hotline Poster(s)	DEC 2007
52.203-16	Preventing Personal Conflicts of Interest	DEC 2011
52.203-17	Contractor Employee Whistleblower Rights and Requirement To Inform Employees of Whistleblower Rights	APR 2014
52.204-2	Security Requirements	AUG 1996
52.204-4	Printed or Copied Double-Sided on Postconsumer Fiber Content Paper	MAY 2011
52.204-9	Personal Identity Verification of Contractor Personnel	JAN 2011
52.204-10	Reporting Executive Compensation and First-Tier Subcontract Awards	JUL 2013
52.204-13	System for Award Management Maintenance	JUL 2013
52.209-6	Protecting the Government's Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment	AUG 2013
RESERVED	RESERVED FOR 52.209-9	RESERVED
52.209-10	Prohibition on Contracting with Inverted Domestic Corporations	DEC 2014
52.210-1	Market Research	APR 2011
52.215-2	Audit and Records—Negotiation	OCT 2010
52.215-8	Order of Precedence—Uniform Contract Format	OCT 1997
52.215-14	Integrity of Unit Prices	OCT 2010
52.215-15	Pension Adjustments and Asset Reversions	OCT 2010
52.215-18	Reversion or Adjustment of Plans for Postretirement Benefits (PRB) Other Than Pensions	JUL 2005
52.215-19	Notification of Ownership Changes	OCT 1997
52.215-21	Requirements for Certified Cost or Pricing Data and Data Other Than Certified Cost or Pricing Data—Modifications	OCT 2010
52.215-21	Requirements for Certified Cost or Pricing Data and Data Other Than Certified Cost or Pricing Data—Modifications (Alternate III)	OCT 1997
52.215-21	Requirements for Certified Cost or Pricing Data and Data Other Than Certified Cost or Pricing Data—Modifications (Alternate IV)	OCT 2010
52.219-6	Notice of Total Small Business Set-Aside	NOV 2011
52.219-8	Utilization of Small Business Concerns* (* NOT MANDATORY)	OCT 2014
52.219-9	Small Business Subcontracting Plan (Alternate II)* (* NOT MANDATORY)	OCT 2001
52.219-14	Limitations on Subcontracting	NOV 2011
52.219-16	Liquidated Damages—Subcontracting Plan	JAN 1999
52.219-28	Post-Award Small Business Program Rerepresentation	JUL 2013
52.222-3	Convict Labor	JUN 2003
52.222-21	Prohibition of Segregated Facilities	APR 2015
52.222-26	Equal Opportunity	APR 2015

52.222-35	Equal Opportunity for Veterans	JUL 2014
52.222-36	Equal Opportunity for Workers with Disabilities	JUL 2014
52.222-37	Employment Reports on Veterans	JUL 2014
52.222-40	Notification of Employee Rights Under the National Labor Relations Act	DEC 2010
52.222-50	Combating Trafficking in Persons	MAR 2015
52.222-54	Employment Eligibility Verification	AUG 2013
52.223-5	Pollution Prevention and Right-to-Know Information	MAY 2011
52.223-6	Drug-Free Workplace	MAY 2001
52.223-18	Encouraging Contractor Policies to Ban Text Messaging While Driving	AUG 2011
52.224-1	Privacy Act Notification	APR 1984
52.224-2	Privacy Act	APR 1984
52.225-13	Restrictions on Certain Foreign Purchases	JUN 2008
52.227-1	Authorization and Consent	DEC 2007
52.227-2	Notice and Assistance Regarding Patent and Copyright Infringement	DEC 2007
52.227-3	Patent Indemnity	APR 1984
52.227-14	Rights in Data—General	MAY 2014
52.227-17	Rights in Data—Special Works	DEC 2007
52.228-5	Insurance—Work on a Government Installation	JAN 1997
52.229-3	Federal, State, and Local Taxes	FEB 2013
52.232-1	Payments	APR 1984
52.232-8	Discounts for Prompt Payment	FEB 2002
52.232-9	Limitation on Withholding of Payments	APR 1984
52.232-11	Extras	APR 1984
52.232-17	Interest	MAY 2014
52.232-18	Availability of Funds	APR 1984
52.232-23	Assignment of Claims	MAY 2014
52.232-25	Prompt Payment	JUL 2013
52.232-33	Payment by Electronic Funds Transfer-System for Award Management	JUL 2013
52.232-39	Unenforceability of Unauthorized Obligations	JUN 2013
52.232-40	Providing Accelerated Payments to Small Business Subcontractors	DEC 2013
52.233-1	Disputes	MAY 2014
52.233-3	Protest after Award	APR 1996
52.233-4	Applicable Law for Breach of Contract Claim	OCT 2004
52.237-2	Protection of Government Buildings, Equipment, and Vegetation	APR 1984
52.237-3	Continuity of Services	JAN 1991
52.242-13	Bankruptcy	JUL 1995
52.243-1	Changes—Fixed-Price (Alternate I)	APR 1984
52.244-5	Competition in Subcontracting	DEC 1996
52.244-6	Subcontracts for Commercial Items	APR 2015
52.245-1	Government Property	APR 2012
52.245-9	Use and Charges	APR 2012
52.246-25	Limitation of Liability—Services	FEB 1997
52.249-1	Termination for Convenience of the Government (Fixed-Price) (Short	APR 1984

	Form)	
52.249-2	Termination for Convenience of the Government (Fixed-Price)	APR 2012
52.249-6	Termination (Cost-Reimbursement)	MAY 2004
52.249-6	Termination (Cost-Reimbursement) (Alternate IV)	SEP 1996
52.249-8	Default (Fixed-Price Supply and Service)	APR 1984
52.251-1	Government Supply Sources	APR 2012
52.253-1	Computer Generated Forms	JAN 1991

I.2.2 GSAR PROVISIONS AND CLAUSES INCORPORATED BY REFERENCE

HCaTS SB incorporates one or more provisions and clauses by reference, with the same force and effect as if they were given in full text. Also, the full text of a clause may be accessed electronically at this address: <https://www.acquisition.gov/>.

GSAR	TITLE	DATE
552.203-71	Restriction on Advertising	SEP 1999
552.204-9	Personal Identity Verification Requirements	OCT 2012
552.215-70	Examination of Records by GSA	FEB 1996
552.216-74	Task-Order and Delivery-Order Ombudsman	AUG 2010
552.219-72	Preparation, Submission, and Negotiation of Subcontracting Plans	MAR 2012
552.219-75	GSA Mentor-Protégé Program	SEP 2009
552.219-76	Mentor Requirements and Evaluation	MAR 2012
552.228-5	Government as Additional Insured	MAY 2009
552.232-23	Assignment of Claims	SEP 1999
552.237-73	Restriction on Disclosure of Information	JUN 2009

I.2.3 FAR AND GSAR PROVISIONS AND CLAUSES IN FULL TEXT

I.2.3.1 52.216-18 ORDERING (OCT 1995)

(a) Any supplies and services to be furnished under this contract shall be ordered by issuance of delivery orders or task orders by the individuals or activities designated in the Schedule. Such orders may be issued from date of notice to proceed through the contract end date.

(b) All delivery orders or task orders are subject to the terms and conditions of this contract. In the event of conflict between a delivery order or task order and this contract, the contract shall control.

(c) If mailed, a delivery order or task order is considered “issued” when the Government deposits the order in the mail. Orders may be issued orally, by facsimile, or by electronic commerce methods only if authorized in the Schedule.

(End of clause)

I.2.3.2 52.216-19 ORDER LIMITATIONS (OCT 1995)

(a) *Minimum order.* When the Government requires supplies or services covered by this contract in an amount of less than the micro-purchase threshold, as amended, the Government is not obligated to purchase, nor is the Contractor obligated to furnish, those supplies or services under the contract.

(b) *Maximum order.* The Contractor is not obligated to honor—

(1) Any order for a single item in excess of: \$3.45 billion for Pool 1 and \$2.3 billion for Pool 2;

(2) Any order for a combination of items in excess of: \$3.45 billion for Pool 1 and \$2.3 billion for Pool 2; or

(3) A series of orders from the same ordering office within 365 calendar days that together call for quantities exceeding the limitation in paragraph (b)(1) or (2) of this section.

(c) If this is a requirements contract (*i.e.*, includes the Requirements clause at subsection [52.216-21](#) of the Federal Acquisition Regulation (FAR)), the Government is not required to order a part of any one requirement from the Contractor if that requirement exceeds the maximum-order limitations in paragraph (b) of this section.

(d) Notwithstanding paragraphs (b) and (c) of this section, the Contractor shall honor any order exceeding the maximum order limitations in paragraph (b), unless that order (or orders) is returned to the ordering office within five business days after issuance, with written notice stating the Contractor's intent not to ship the item (or items) called for and the reasons. Upon receiving this notice, the Government may acquire the supplies or services from another source.

(End of clause)

I.2.3.3 52.216-22 INDEFINITE QUANTITY (OCT 1995)

(a) This is an indefinite-quantity contract for the supplies or services specified, and effective for the period stated, in the Schedule. The quantities of supplies and services specified in the Schedule are estimates only and are not purchased by this contract.

(b) Delivery or performance shall be made only as authorized by orders issued in accordance with the Ordering clause. The Contractor shall furnish to the Government, when and if ordered, the supplies or services specified in the Schedule up to and including the quantity designated in the Schedule as the "maximum." The Government shall order at least the quantity of supplies or services designated in the Schedule as the "minimum."

(c) Except for any limitations on quantities in the Order Limitations clause or in the Schedule, there is no limit on the number of orders that may be issued. The Government may issue orders requiring delivery to multiple destinations or performance at multiple locations.

(d) Any order issued during the effective period of this contract and not completed within that period shall be completed by the Contractor within the time specified in the order. The contract shall govern the Contractor's and Government's rights and obligations with respect to that order to the same extent as if the order were completed during the contract's effective period;

provided, that the Contractor shall not be required to make any deliveries under this contract in accordance with Section F.4.

(End of clause)

I.2.3.4 52.217-8 OPTION TO EXTEND SERVICES (NOV 1999)

The Government may require continued performance of any services within the limits and at the rates specified in the contract. These rates may be adjusted only as a result of revisions to prevailing labor rates provided by the Secretary of Labor. The option provision may be exercised more than once, but the total extension of performance hereunder shall not exceed 6 months. The Contracting Officer may exercise the option by written notice to the Contractor within 90 calendar days from the current ordering period's expiration date.

(End of clause)

I.2.3.5 52.217-9 OPTION TO EXTEND THE TERM OF THE CONTRACT (MAR 2000)

(a) The Government may extend the term of this contract by written notice to the Contractor within 90 calendar days from the base period's expiration date; provided that the Government gives the Contractor a preliminary written notice of its intent to extend at least 120 calendar days before the contract expires. The preliminary notice does not commit the Government to an extension.

(b) If the Government exercises this option, the extended contract shall be considered to include this option clause.

(c) The total duration of this contract, including the exercise of any options under this clause, shall not exceed 126 months.

(End of clause)

I.2.3.6 52.219-13 NOTICE OF SET-ASIDE OF ORDERS (NOV 2011)

The Contracting Officer will give notice of the order or orders, if any, to be set aside for small business concerns identified in [19.000\(a\)\(3\)](#) and the applicable small business program. This notice, and its restrictions, will apply only to the specific orders that have been set aside for any of the small business concerns identified in [19.000\(a\)\(3\)](#).

(End of clause)

I.2.3.7 52.252-4 ALTERATIONS IN CONTRACT (APR 1984)

Portions of this contract are altered as follows:

(End of clause)

I.2.3.8 552.217-71 NOTICE REGARDING OPTION(S) (NOV 1992)

The General Services Administration (GSA) has included an option to extend the term of this contract in order to demonstrate the value it places on quality performance by providing a mechanism for continuing a contractual relationship with a successful Offeror that performs at a level which meets or exceeds GSA's quality performance expectations as communicated to the Contractor, in writing, by the Contracting Officer or designated representative. When deciding whether to exercise the option, the Contracting Officer will consider the quality of the Contractor's past performance under this contract in accordance with [48 CFR 517.207](#).

(End of clause)

I.2.3.9 552.232-77 PAYMENT BY GOVERNMENT CHARGE CARD (NOV 2009)

(a) *Definitions*. "Governmentwide commercial purchase card" means a uniquely numbered charge card issued by a contractor under the GSA SmartPay® program contract for Fleet, Travel, and Purchase Card Services to named individual Government employees or entities to pay for official Government purchases.

"Oral order" means an order placed orally either in person or by telephone.

(b) At the option of the Government and if agreeable to the Contractor, payments of the micro purchase threshold, as amended, or less for oral or written orders may be made using the Governmentwide commercial purchase card.

(c) The Contractor shall not process a transaction for payment using the charge card until the purchased supplies have been shipped or services performed. Unless the cardholder requests correction or replacement of a defective or faulty item under other contract requirements, the Contractor must immediately credit a cardholder's account for items returned as defective or faulty.

(d) Payments made using the Governmentwide commercial purchase card are not eligible for any negotiated prompt payment discount. Payment made using a Government debit card will receive the applicable prompt payment discount.

(End of clause)

(END OF SECTION I)

PART III: LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J: LIST OF ATTACHMENTS

J.1	STANDARDIZED LABOR CATEGORIES
J.2	PROPOSAL CHECKLIST
J.3	RESERVED
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J.5.1	SELF SCORING WORKSHEET SB POOL 1
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J.6	PAST PERFORMANCE SUBSTITUTE FORM
J.7	PRICE WORKSHEET
J.7.1	PRICE WORKSHEET (SAMPLE)
J.8	DIRECT LABOR RATE RANGES
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J.12.1	RELEVANT EXPERIENCE PROJECTS SUPPLEMENTAL FORM SB POOL 1
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J.12.3	RELEVANT EXPERIENCE PROJECTS SUPPLEMENTAL FORM SB POOL 1-COLLECTION OF TASK ORDERS
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(END OF SECTION J)

PART IV: REPRESENTATIONS AND INSTRUCTIONS

**SECTION K: REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS
OF OFFERORS OR RESPONDENTS**

The Offeror shall fill out the following representations and certifications with their proposal submission.

Sections K.2.1 requires action to be taken by the Offeror, and Section K.2.3 requires the Offeror to check the applicable boxes.

K.1 NAICS CODES AND SMALL BUSINESS SIZE STANDARDS

Human Capital and Training Solutions Small Business (HCaTS SB) is a family of two separate Government-Wide Multiple Award, Indefinite Delivery, Indefinite Quantity (MA-IDIQ) task order contracts that span eight NAICS Codes.

The NAICS Codes are grouped into two separate Pools based on two separate small business size standards. Each Pool will have multiple IDIQ task order contracts under it and each Pool has a predominant NAICS code. See Section H.4.1.

An Offeror may compete for more than one Pool.

The table below identifies all HCaTS SB NAICS Code assignments per Pool. The predominant NAICS Code for each Pool is shown in bold underline:

POOL 1: \$11M SIZE STANDARD	
NAICS Code	NAICS TITLE
<u>611430</u>	<u>Professional and Management Development Training</u>
611699	All Other Miscellaneous Schools and Instruction
624310	Vocational Rehabilitation Services

POOL 2: \$15M SIZE STANDARD	
NAICS Code	NAICS TITLE
541611	Administrative Management and General Management Consulting Services
<u>541612</u>	<u>Human Resources Consulting Services</u>
541613	Marketing Consulting Services
541618	Other Management Consulting Services
611710	Educational Support Services

NOTE: Definitions for each NAICS Title can be found at <http://www.census.gov/cgi-bin/sssd/naics/naicsrch>

K.2 PROVISIONS AND CLAUSES INCORPORATED BY FULL TEXT

K.2.1 52.204-8 ANNUAL REPRESENTATIONS AND CERTIFICATIONS (DEC 2014)

(a)(1) The North American Industry Classification System (NAICS) Codes for this acquisition are 611430, 611699, and 624310 for Pool 1; and, 541611, 541612, 541613, 54618 and 611710 for Pool 2.

(2) The small business size standard is \$11M for NAICS Codes 611430, 611699, and 624310, and \$15M for NAICS Codes 541611, 541612, 541613, 54618, and 611710.

(3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

(b)(1) If the provision at [52.204-7](#), System for Award Management, is included in this solicitation, paragraph (d) of this provision applies.

(2) If the provision at [52.204-7](#) is not included in this solicitation, and the offeror is currently registered in the System for Award Management (SAM), and has completed the Representations and Certifications section of SAM electronically, the offeror may choose to use paragraph (d) of this provision instead of completing the corresponding individual representations and certifications in the solicitation. The offeror shall indicate which option applies by checking one of the following boxes:

☒ (i) Paragraph (d) applies.

☐ (ii) Paragraph (d) does not apply and the offeror has completed the individual representations and certifications in the solicitation.

(c)(1) The following representations or certifications in SAM are applicable to this solicitation as indicated:

(i) [52.203-2](#), Certificate of Independent Price Determination. This provision applies to solicitations when a firm-fixed-price contract or fixed-price contract with economic price adjustment is contemplated, unless—

(A) The acquisition is to be made under the simplified acquisition procedures in [Part 13](#);

(B) The solicitation is a request for technical proposals under two-step sealed bidding procedures; or

(C) The solicitation is for utility services for which rates are set by law or regulation.

(ii) [52.203-11](#), Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions. This provision applies to solicitations expected to exceed \$150,000.

(iii) [52.204-3](#), Taxpayer Identification. This provision applies to solicitations that do not include the provision at [52.204-7](#), System for Award Management.

(iv) [52.204-5](#), Women-Owned Business (Other Than Small Business). This provision applies to solicitations that—

(A) Are not set aside for small business concerns;

(B) Exceed the simplified acquisition threshold; and

(C) Are for contracts that will be performed in the United States or its outlying areas.

(v) [52.209-2](#), Prohibition on Contracting with Inverted Domestic Corporations—Representation.

(vi) [52.209-5](#), Certification Regarding Responsibility Matters. This provision applies to solicitations where the contract value is expected to exceed the simplified acquisition threshold.

(vii) [52.214-14](#), Place of Performance—Sealed Bidding. This provision applies to invitations for bids except those in which the place of performance is specified by the Government.

(viii) [52.215-6](#), Place of Performance. This provision applies to solicitations unless the place of performance is specified by the Government.

(ix) [52.219-1](#), Small Business Program Representations (Basic & Alternate I). This provision applies to solicitations when the contract will be performed in the United States or its outlying areas.

(A) The basic provision applies when the solicitations are issued by other than DoD, NASA, and the Coast Guard.

(B) The provision with its Alternate I applies to solicitations issued by DoD, NASA, or the Coast Guard.

(x) [52.219-2](#), Equal Low Bids. This provision applies to solicitations when contracting by sealed bidding and the contract will be performed in the United States or its outlying areas.

(xi) [52.222-22](#), Previous Contracts and Compliance Reports. This provision applies to solicitations that include the clause at [52.222-26](#), Equal Opportunity.

(xii) [52.222-25](#), Affirmative Action Compliance. This provision applies to solicitations, other than those for construction, when the solicitation includes the clause at [52.222-26](#), Equal Opportunity.

(xiii) [52.222-38](#), Compliance with Veterans' Employment Reporting Requirements. This provision applies to solicitations when it is anticipated the contract award will exceed the simplified acquisition threshold and the contract is not for acquisition of commercial items.

(xiv) [52.223-1](#), Biobased Product Certification. This provision applies to solicitations that require the delivery or specify the use of USDA–designated items; or include the clause at [52.223-2](#), Affirmative Procurement of Biobased Products Under Service and Construction Contracts.

(xv) [52.223-4](#), Recovered Material Certification. This provision applies to solicitations that are for, or specify the use of, EPA–designated items.

(xvi) [52.225-2](#), Buy American Certificate. This provision applies to solicitations containing the clause at [52.225-1](#).

(xvii) [52.225-4](#), Buy American—Free Trade Agreements—Israeli Trade Act Certificate. (Basic, Alternates I, II, and III.) This provision applies to solicitations containing the clause at [52.225-3](#).

(A) If the acquisition value is less than \$25,000, the basic provision applies.

(B) If the acquisition value is \$25,000 or more but is less than \$50,000, the provision with its Alternate I applies.

(C) If the acquisition value is \$50,000 or more but is less than \$79,507, the provision with its Alternate II applies.

(D) If the acquisition value is \$79,507 or more but is less than \$100,000, the provision with its Alternate III applies.

(xviii) [52.225-6](#), Trade Agreements Certificate. This provision applies to solicitations containing the clause at [52.225-5](#).

(xix) [52.225-20](#), Prohibition on Conducting Restricted Business Operations in Sudan—Certification. This provision applies to all solicitations.

(xx) [52.225-25](#), Prohibition on Contracting with Entities Engaging in Certain Activities or Transactions Relating to Iran-Representation and Certifications. This provision applies to all solicitations.

(xxi) [52.226-2](#), Historically Black College or University and Minority Institution Representation. This provision applies to solicitations for research, studies, supplies, or services of the type normally acquired from higher educational institutions.

(2) The following certifications are applicable as indicated by the Contracting Officer:

[Contracting Officer check as appropriate.]

___ (i) [52.204-17](#), Ownership or Control of Offeror.

___ (ii) [52.222-18](#), Certification Regarding Knowledge of Child Labor for Listed End Products.

___ (iii) [52.222-48](#), Exemption from Application of the Service Contract Labor Standards to Contracts for Maintenance, Calibration, or Repair of Certain Equipment- Certification.

___ (iv) [52.222-52](#), Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services-Certification.

___ (v) [52.223-9](#), with its Alternate I, Estimate of Percentage of Recovered Material Content for EPA–Designated Products (Alternate I only).

___ (vi) [52.227-6](#), Royalty Information.

___ (A) Basic.

___ (B) Alternate I.

___ (vii) [52.227-15](#), Representation of Limited Rights Data and Restricted Computer Software.

(d) The offeror has completed the annual representations and certifications electronically via the SAM website accessed through <https://www.acquisition.gov/>. After reviewing the SAM database information, the offeror verifies by submission of the offer that the representations and certifications currently posted electronically that apply to this solicitation as indicated in paragraph (c) of this provision have been entered or updated within the last 12 months, are current, accurate, complete, and applicable to this solicitation (including the business size standard applicable to the NAICS code referenced for this solicitation), as of the date of this offer and are incorporated in this offer by reference (see FAR [4.1201](#)); except for the changes identified below [*offeror to insert changes, identifying change by clause number, title, date*]. These amended representation(s) and/or certification(s) are also incorporated in this offer and are current, accurate, and complete as of the date of this offer.

FAR CLAUSE

#	TITLE	DATE	CHAN
GE			

Any changes provided by the offeror are applicable to this solicitation only, and do not result in an update to the representations and certifications posted on SAM.

(End of provision)

**K.2.2 52.204-19 INCORPORATION BY REFERENCE OF REPRESENTATIONS
AND CERTIFICATIONS (DEC 2014)**

The Contractor's representations and certifications, including those completed electronically via the System for Award Management (SAM), are incorporated by reference into the contract.

(End of clause)

**K.2.3 52.209-7 INFORMATION REGARDING RESPONSIBILITY MATTERS
(JUL 2013)**

(a) *Definitions.* As used in this provision—

“Administrative proceeding” means a non-judicial process that is adjudicatory in nature in order to make a determination of fault or liability (*e.g.*, Securities and Exchange Commission Administrative Proceedings, Civilian Board of Contract Appeals Proceedings, and Armed Services Board of Contract Appeals Proceedings). This includes administrative proceedings at the Federal and State level but only in connection with performance of a Federal contract or grant. It does not include agency actions such as contract audits, site visits, corrective plans, or inspection of deliverables.

“Federal contracts and grants with total value greater than \$10,000,000” means—

(1) The total value of all current, active contracts and grants, including all priced options; and

(2) The total value of all current, active orders including all priced options under indefinite-delivery, indefinite-quantity, 8(a), or requirements contracts (including task and delivery and multiple-award Schedules).

“Principal” means an officer, director, owner, partner, or a person having primary management or supervisory responsibilities within a business entity (*e.g.*, general manager; plant manager; head of a division or business segment; and similar positions).

(b) The offeror [] has [] does not have current active Federal contracts and grants with total value greater than \$10,000,000.

(c) If the offeror checked “has” in paragraph (b) of this provision, the offeror represents, by submission of this offer, that the information it has entered in the Federal Awardee Performance and Integrity Information System (FAPIIS) is current, accurate, and complete as of the date of submission of this offer with regard to the following information:

(1) Whether the offeror, and/or any of its principals, has or has not, within the last five years, in connection with the award to or performance by the offeror of a Federal contract or grant, been the subject of a proceeding, at the Federal or State level that resulted in any of the following dispositions:

(i) In a criminal proceeding, a conviction.

(ii) In a civil proceeding, a finding of fault and liability that results in the payment of a monetary fine, penalty, reimbursement, restitution, or damages of \$5,000 or more.

- (iii) In an administrative proceeding, a finding of fault and liability that results in—
 - (A) The payment of a monetary fine or penalty of \$5,000 or more; or
 - (B) The payment of a reimbursement, restitution, or damages in excess of \$100,000.
 - (iv) In a criminal, civil, or administrative proceeding, a disposition of the matter by consent or compromise with an acknowledgment of fault by the Contractor if the proceeding could have led to any of the outcomes specified in paragraphs (c)(1)(i), (c)(1)(ii), or (c)(1)(iii) of this provision.
- (2) If the offeror has been involved in the last five years in any of the occurrences listed in (c)(1) of this provision, whether the offeror has provided the requested information with regard to each occurrence.
- (d) The offeror shall post the information in paragraphs (c)(1)(i) through (c)(1)(iv) of this provision in FAPIIS as required through maintaining an active registration in the System for Award Management database via <https://www.acquisition.gov/> (see [52.204-7](#)).

(End of provision)

(END OF SECTION K)
PART IV: REPRESENTATIONS AND INSTRUCTIONS
SECTION L: INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS OR
RESPONDENTS

L.1 FAR 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998)

This solicitation incorporates one or more FAR provisions by reference, with the same force and effect as if they were given in full text. Upon request, the HCaTS SB CO will make their full text available. In lieu of submitting the full text of those provisions, the Offeror may identify the provision by paragraph identifier and provide the appropriate information with its proposal. Also, the full text of a solicitation provision may be accessed electronically at this address: <https://www.acquisition.gov/>.

FAR	TITLE	DATE
52.204-7	System for Award Management	JUL 2013
52.204-16	Commercial and Government Entity Code Reporting	JUL 2015
52.204-18	Commercial and Government Entity Code Maintenance	JUL 2015
52.215-1	Instructions to Offerors—Competitive Acquisition	JAN 2004
52.222-46	Evaluation of Compensation for Professional Employees	FEB 1993
52.237-10	Identification of Uncompensated Overtime	MAR 2015

L.2 FAR PROVISIONS INCORPORATED IN FULL TEXT

L.2.1 52.215-20 REQUIREMENTS FOR CERTIFIED COST OR PRICING DATA AND DATA OTHER THAN CERTIFIED COST OR PRICING DATA ALTERNATE III (OCT 1997) & ALTERNATE IV (OCT 2010)

(a) Submission of certified cost or pricing data is not required.

(b) Provide data described below:

Not Applicable(c) Submit the cost portion of the proposal via the following electronic media: electronic spreadsheet format (see Attachment J.7 {Price Worksheet})

(End of provision)

L.2.2 52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates awarding separate Government-Wide, Multiple Award, Indefinite Delivery, Indefinite Quantity (MA-IDIQ) task order contracts resulting from this solicitation.

(End of provision)

L.2.3 52.216-27 SINGLE OR MULTIPLE AWARDS (OCT 1995)

The Government may elect to award multiple task order contracts for the same or similar supplies or services to two or more sources under this solicitation.

40 awards shall be made in each of the two Pools. In the event of a tie at the 40th position, all tied Offerors will receive an award. The Government also intends to award at least three contracts to Offerors in each of the following socio-economic sub-groups: HUBZone Small Businesses (HUBzone SB), Service-Disabled Veteran-Owned Small Businesses (SDVOSB), Woman-Owned Small Businesses (WOSB), Economically Disadvantaged Women-Owned Small Businesses (EDWOSB), and 8(a) Small Businesses (8(a) SB). The Government will consider awarding contracts to any of the above-mentioned socio-economic sub-groups outside the Top 40 that does not have at least three awards in accordance with Section M.3.1.

Because this solicitation covers two unique Pools, each Pool has a predominant NAICS Code specified below.

1. Pool 1

Predominant NAICS Code: 611430

Size Standard: \$11M

Estimated Number of Multiple Awards: 40

2. Pool 2

Predominant NAICS Code: 541612

Size Standard: \$15M

Estimated Number of Multiple Awards: 40

(End of Provision)

L.2.4 52.216-28 MULTIPLE AWARDS FOR ADVISORY AND ASSISTANCE SERVICES (OCT 1995)

The Government intends to award multiple contracts for the same or similar advisory and assistance services in accordance with Section L.5.2.

(End of provision)

L.2.5 52.233-2 SERVICE OF PROTEST (SEP 2006)

(a) Protests, as defined in [Section 33.101](#) of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the Government Accountability Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from:

General Services Administration
Federal Acquisition Service
Gail Schneider, HCaTS SB Contracting Officer
26 Federal Plaza, Room 21-110
New York, NY 10278

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

(End of provision)

L.2.6 52.252-3 ALTERATIONS IN SOLICITATION (APR 1984)

Portions of this solicitation are altered as follows:

(End of provision)

L.3 PROPOSAL SUBMISSION INSTRUCTIONS

The following instructions are for the preparation and submission of proposals. The purpose of this section is to establish requirements for the format and content of proposals so that proposals contain all essential information and can be evaluated equitably.

Offerors are instructed to read the entire solicitation document, including all attachments in Section J, prior to submitting questions and/or preparing a proposal. Omission of any information from the proposal submission requirements may result in rejection of the proposal.

A total of two MA-IDIQ Pools will result from this solicitation (see Section L.2.3). Offerors may compete for more than one Pool; however, the Offeror shall only submit one proposal. If an Offeror modifies its proposal prior to the solicitation's closing date, the Government shall evaluate the last submitted timely proposal in the event that multiple proposals were submitted by the Offeror. When an Offeror is sharing resources from other entities by way of a Meaningful Relationship within a Corporate Structure, only one proposal from that Corporate Structure shall be submitted (see Section L.5.1.8).

All information within the page limitations of the proposal is subject to evaluation. The Government will evaluate proposals in accordance with the evaluation criteria set forth in Section M of this solicitation.

Offerors are prohibited from modifying, in any way, shape or form, any documents, printed or electronic, associated with this solicitation and any amendment(s) thereto unless specifically authorized to do so. The electronic solicitation documents, as posted on <http://www.fbo.gov>, shall be the official documents for this solicitation. Offerors shall adhere to the volume numbers and titles, format and/or templates, file naming convention, and page limitations (if any) provided in the Proposal Format Table in Section L.4.1. If page limitations are exceeded where expressed, the excess pages shall not be evaluated.

The Government will not reimburse Offerors for any cost incurred for the preparation and submission of a proposal in response to this solicitation.

All proposal information is subject to verification and validation by the Government. Falsification of any proposal submission, documents, or statements may subject the Offeror to civil or criminal prosecution under [*Section 1001 of Title 18 of the United States Code*](#).

NOTE: This solicitation instructs Offerors to provide supporting documentation for all minimum requirements and scored evaluation criteria. Unless specifically prohibited, Offerors may provide whatever official, verifiable documentation is necessary to validate any minimum requirements or scored evaluation criteria being claimed.

L.3.1 OFFICIAL LEGAL ENTITY

All the evaluation criteria an Offeror is submitting a proposal for and taking credit for in accordance with Section L.5.2 shall be in the Offeror's name as submitted in Block 15A on the Standard Form (SF) 33 Solicitation, Offer and Award, with a corresponding Commercial and Government Agency (CAGE) Code Number and Data Universal Numbering Systems (DUNS) Number in the System for Award Management at <http://www.sam.gov> that matches the Offeror name on the SF 33, Block 15A (see Section L.5.1.1).

The only exceptions to the above paragraph is if the Offeror is claiming an evaluation criteria due to a merger, acquisition, novation and change-of-name agreement in accordance with Section L.3.2, and/or from a qualifying Meaningful Relationship under a Corporate Structure in accordance with the instructions in Section L.5.1.8, and/or from an existing or previous Joint Venture or Partnership in accordance with Section L.5.1.9.2.

L.3.2 MERGERS, ACQUISITIONS, NOVATIONS, AND CHANGE-OF-NAME AGREEMENTS

By the closing date of this solicitation, if a company has acquired another company, the transferor and transferee company may claim credit for the same Relevant Experience Projects and additional points for Relevant Experience Projects under Section L.5.2, the minimum requirements for Relevant Experience Projects under Section L.5.2.2, and the past performance for Relevant Experience Projects under Section L.5.3, under the following condition:

1. In the event of a Government-approved novation of a U.S. Federal contract from one Contractor to another, the transferor Contractor may claim credit for the above mentioned Relevant Experience Projects from that contract up to the date that the Government approves the novation (the “Approval Date”), while the transferee Contractor may claim credit for the above mentioned Relevant Experience Projects from that contract and after the approval date of the novation.

For example, Company XYZ performed a Relevant Experience Project under its Subsidiary, ABC Inc. under Contract Number 12345. Company XYZ sells ABC Inc. to BLANCO Company and Contract Number 12345 is officially novated to BLANCO Company by a Contracting Officer on May 1, 2011. Company XYZ (and only Company XYZ) may claim credit for the Relevant Experience Project under Contract Number 12345 from the date of award through April 30, 2011, and BLANCO Company (and only BLANCO company) can claim credit for the Relevant Experience Project under Contract Number 12345 from and after May 1, 2011.

L.3.3 INVERTED DOMESTIC CORPORATIONS

Inverted Domestic Corporations are not eligible for award under this solicitation.

“Inverted Domestic Corporation”, as defined in *FAR 52.209-10*, means a foreign incorporated entity that meets the definition of an inverted domestic corporation under [6 U.S.C. 395\(b\)](#), applied in accordance with the rules and definitions of [6 U.S.C. 395\(c\)](#).

“Subsidiary”, as defined in *FAR 52.209-10*, means an entity in which more than 50 percent of the entity is owned directly by a parent corporation; or, through another subsidiary of a parent corporation.

An inverted domestic corporation as herein defined does not meet the definition of an inverted domestic corporation as defined by the Internal Revenue Code at [26 U.S.C. 7874](#).

L.3.4 PROPOSAL DUE DATE AND ADDRESS LOCATION

Proposals are due no later than Friday, December 4th, 2015 at 12:00:00pm EST.

Proposals shall be mailed to:

Jacob K. Javits Federal Building
General Services Administration
Federal Acquisition Service
HCaTS Program Office
Attn: Gail Schneider, Jimmy Hahn or Nasim Lashaninejad
26 Federal Plaza
Room 21-110

New York, NY 10278

NOTE#1: Offerors are prohibited from hand delivering proposals.

A proposal is considered late if it is not received and accepted by the Government by Friday, December 4th, 2015 at 12:00:00pm EST. All proposals shall be mailed with proof of delivery to establish the time of receipt at the Government installation. All late proposals shall not be considered or returned. In addition to submitting a timely proposal, the Offeror shall submit an email no later than Friday, December 4th, 2015 at 12:00:00pm EST to sbhcatsconfirmation@gsa.gov, notifying the Government that it submitted its proposal and the tracking number. If password protecting folders and/or files, the Offeror shall only use one password and include it in the email. The Offeror shall include the company name and solicitation number in the subject line of the email.

NOTE#2: In the event that the RFP is amended, Offerors that have already submitted their proposals and sent the above required email confirming proposal submission and tracking information to sbhcatsconfirmation@gsa.gov are only required to submit an additional email to sbhcatsconfirmation@gsa.gov no later than Tuesday, December 8th, 2015 at 5:00pm EST, acknowledging receipt of the amended RFP by signing and attaching the most current Standard Form (SF) 33 (Block 14 reflecting through Amendment 11). This additional email shall constitute acknowledgement of all Amendments through Solicitation Closing.

NOTE#3: If Offerors choose to resubmit their proposal following issuance of an Amendment, they shall send a new email to sbhcatsconfirmation@gsa.gov, notifying the Government that they submitted their proposal, provide the new tracking number and state that this is a resubmission no later than Friday, December 4th, 2015 at 12:00pm EST. The re-submitted proposal shall include the most current Standard Form (SF) 33 (Block 14 reflecting through Amendment 11) and be received no later than Friday, December 4th, 2015 at 12:00pm EST.

L.3.5 SOLICITATION QUESTIONS

The HCaTS SB CO is the sole point of contact for all questions under this solicitation. Offerors shall address all questions via email to the HCaTS SB CO at sbhcats@gsa.gov. The Offeror shall include the company name and solicitation number in the subject line of the email. The question(s) shall include the page number, section number, and paragraph number that pertains to the Offeror's question(s).

Questions not submitted via sbhcats@gsa.gov will not be answered. Questions can be emailed any time after the solicitation is posted at <http://www.fbo.gov>; however, all questions shall be received via email at sbhcats@gsa.gov no later than Friday, August 28th, 2015 at 5:00:00pm EDT.

Questions will be answered under an amendment to the solicitation posted at <http://www.fbo.gov> prior to the solicitation closing date. Questions received after 5:00:00 pm EDT on Friday, August 28th, 2015 will only be answered at the discretion of the HCaTS SB CO by amendment to the solicitation posted at <http://www.fbo.gov> prior to the solicitation closing date.

Acknowledgement of receipt of questions will not be made. Please thoroughly review the entire solicitation, including all attachments in Section J, prior to submitting questions.

L.4 PROPOSAL FORMAT

The Offeror's proposal shall be formatted into five separate folders by volume number and title as follows:

Volume Number	Title
I	Eligibility
II	Pool Application and Certification
III	Past Performance for Relevant Experience Projects
IV	Accreditations
V	Price

Offerors shall submit one original and four copies of their proposal on five separate DVD+R disks. Offerors shall include all five folders and proposal documents onto a single DVD+R disk (see Proposal Format Table in Section L.4.1). Nothing shall be included on the DVD+R disk except the proposal files in accordance with the instructions in Section L.5. The DVD+R disk shall be labeled with the solicitation number, company name and DUNS Number and shall be labeled as original or copy.

NOTE: If the Offeror's proposal does not contain any part of one Volume, the Offeror does not need to submit a folder for that Volume. For example, if the Offeror is not submitting any accreditations, Volume IV need not be submitted.

No paper version of your proposal shall be submitted.

It is the sole responsibility of the Offeror to ensure that the files and folders submitted are virus free and can be opened and read by the Government. Proposal submissions may be password protected with one password, but otherwise contain no barriers to opening.

All proposal documents shall be in readable pdf format except as identified below. All Microsoft Office (MS) Word and Excel documents shall be submitted in a format readable by MS Word

2010 and MS Excel 2010 format. All documents requiring a signature shall be clearly readable and legible.

L.4.1 PROPOSAL FORMAT TABLE

The following Proposal Format Table is to assist Offerors in organizing their proposal submission documents to ensure the Government can easily identify which documents apply to which evaluation criteria for evaluation purposes. Offerors shall adhere to the volume numbers, format and/or templates, and page limitations (if any) provided in the Proposal Format Table. Offerors shall include their company name or company name abbreviation and volume number in the filename. For example, ABC Incorporated filename for Volume 1, SF33 is ABC.VOL.SF33.pdf. Offerors may make minor adjustments to the file naming convention so long as the resulting file names and organization are clearly understood.

NOTE: The below table is annotated as to which version as particular Attachment (e.g. “J.# - Amendment ## will be accepted”) is acceptable as applicable. See the “Format or Template” column.

Volume #	Section #	Title	Format or Template	Page Limit	Example File Name
1	L.5.1.1	Standard Form (SF) 33	SF 33	Limited to the SF 33 only.	ABC.VOL1.SF33.pdf
1	L.5.1.2	Proposal Checklist	Attachment J.2 (Proposal Checklist) NOTE: Only Amendment 03, 04, 05, and 06 will be accepted.	Limited to template.	ABC.VOL1.J2.xlsm
1	L.5.1.3	Professional Employee Compensation Plan	Professional Employee Compensation Plan	No page limitations.	ABC.VOL1.PECP.pdf
1	L.5.1.4	Uncompensated Overtime Policy	Uncompensated Overtime Policy	No page limitations.	ABC.VOL1.UOP.pdf
1	L.5.1.6	Small Business Certification	SAM Website Reps & Certs. SBA Certification, if applicable. Approval into 8(a) Business Development Program, if applicable. Certifying	Limited to documents required to certify an Offeror’s small business concern.	For SAM Reps & Certs: ABC.VOL1.SAMRC.pdf All other certifying documents: ABC.VOL1.SBC1.pdf ABC.VOL1.SBC2.pdf ABC.VOL1.SBC3.pdf Continue with this format if

			documents for WOSB and EDWOSB, if applicable		there are more documents.
1	L.5.1.7	Subcontracting Plan, if applicable	Pages should be 8.5x11 inches with 1 inch margins using Times New Roman 12 font type and size	No page limitations.	ABC.VOL1.SUBPLAN.pdf
1	L.5.1.8	Meaningful Relationship Commitment Letters (MRCL) and supporting documentation, if applicable	Meaningful Relationship Commitment Letters (MRCL) and supporting documentation.	No page limitations. Each MRCL shall be separate and distinct.	<p>ABC.VOL1.MRCL1.pdf ABC.VOL1.MRCL1A.pdf ABC.VOL1.MRCL1B.pdf</p> <p>ABC.VOL1.MRCL2.pdf ABC.VOL1.MRCL2A.pdf ABC.VOL1.MRCL2B.pdf</p> <p>ABC.VOL1.MRCL3.pdf ABC.VOL1.MRCL3A.pdf ABC.VOL1.MRCL3B.pdf</p> <p>Where the file names with letters are the supporting documents to the MRCL of the same number. Assign each supporting document a distinct letter.</p>
1	L.5.1.9.1	Existing Joint Venture or Partnership, if applicable	Official legally binding document(s).	No page limitations.	<p>ABC.VOL1.JV.pdf</p> <p>For multiple documents: ABC.VOL1.JV1.pdf ABC.VOL1.JV2.pdf ABC.VOL1.JV3.pdf</p>
1	L.5.1.10.1	GSA Forms 527 and Financial Statements	GSA Forms 527 and Financial Statements	Limited to the GSA Forms 527 templates and financial statements. No page limitations for financial statements.	<p>ABC.VOL1.527.pdf (If all 527s submitted together) or ABC.VOL1.527X.pdf</p> <p>ABC.VOL1.DOCUMENTNAMEX.pdf</p> <p>Where “DOCUMENTNAME” refers to the Financial Statement name and X refers to the year number (most recent being 1 and the oldest being 3)</p>

1	L.5.1.10.2	System for Award Management	SAM Website Entity Record	Limited to the SAM registration only.	ABC.VOL1.SAM.pdf
1	L.5.1.10.2	Section K	Section K	Limited to Section K provisions and clauses. No page limitations.	ABC.VOL1.SECTIONK.pdf
1	L.5.1.10.3	Insurance	ACORD Form, <i>Certificate of Liability Insurance</i>	Limited to ACORD Form, <i>Certificate of Liability Insurance</i> . No page limitations.	ABC.VOL1.INSURANCE.pdf
1	L.5.1.11	Contractor Key Personnel Commitment Letters	Pages should be 8.5x11 inches with 1 inch margins using Times New Roman 12 font type and size	1 page per Commitment Letter. Each Commitment Letter shall be separate and distinct.	ABC.VOL1.KEYLETTER1PY.pdf ABC.VOL1.KEYLETTER2PY.pdf “1” refers to Contractor Key Personnel 1 and “2” refers to Contractor Key Personnel 2 as designated in Attachment J.4 (Pool Application and Certification Form) and “Y” is the Pool number.
2	L.3.2	Mergers, Acquisitions, Novations, and Change-of-Name Agreements	SF 30 for Modification or proof of merger, acquisition, Novation or Change-of-Name Agreement, if no SF 30 exists.	No page limitation.	ABC.VOL2.MANCPY.PDF Where “X” is the Relevant Experience Project Number that corresponds with the project number in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) and “Y” is the Pool number.

2	L.5.1.9.2	Using Experience from an Existing or Previous Joint Venture or Partnership	<p>Attachment J.11 (Contractor Team Arrangement Form), and official legally binding document(s) that establishes CTA as an addendum.</p> <p>NOTE: Amendment 00 and Amendment 01 will be accepted.</p>	<p>Limited to Template and official legally binding document(s). No page limitation on legal documents. If the legal documents are being used to support multiple Relevant Experience Projects they shall only be submitted once.</p>	<p>ABC.VOL2.J11XPY.pdf</p> <p>For the addendum(a): ABC.VOL2.J11ADD1XPY.pdf</p> <p>ABC.VOL2.J11ADD2XPY.pdf</p> <p>Where “X” is the Relevant Experience Project Number that corresponds with the project number in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) and “Y” is the Pool number.</p> <p>If multiple addenda are submitted, each file name should be distinct with the number increasing after “J11ADD”. If the legal document(s) support multiple Relevant Experience Projects, it (they) shall be named for the lowest numbered Relevant Experience Project as entered in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant</p>
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					Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders).
2	L.5.2	Collection of Task Orders Confirmation Form, if applicable	Attachment J.9 (Collection of Task Orders Confirmation Form) NOTE: Amendment 00, Amendment 01, and Amendment 02 will be accepted.	Limited to template.	ABC.VOL2.J9PYR5.pdf ABC.VOL2.J9PYR6.pdf Where “Y” is the Pool number and “R5” refers to Relevant Experience Project 5 and “R6” refers to Relevant Experience Project 6.
2	L.5.2	Pool Application and Certification	Attachment J.4 (Pool Application and Certification) NOTE: Only Amendment 03 will be accepted.	Limited to template.	ABC.VOL2.J4.xlsm
2	L.5.2.1	NAICS Code Confirmation Form, if applicable	Attachment J.10 (NAICS Code Confirmation Form)	Limited to template.	ABC.VOL2.J10XPY.pdf Where “X” is the Relevant Experience Project Number that corresponds with the project number in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) and “Y” is the Pool number.
2	L.5.2	Self Scoring Worksheet SB Pool 1, if applicable	Attachment J.5.1 (Self Scoring Worksheet SB Pool 1) NOTE: Only Amendment 03 and 04 will be accepted.	Limited to template.	ABC.VOL2.J51.xlsx
2	L.5.2	Self Scoring Worksheet SB	Attachment J.5.2 (Self Scoring	Limited to template.	ABC.VOL2.J52.xlsx

		Pool 2, if applicable	Worksheet SB Pool 2) NOTE: Only Amendment 03 and 04 will be accepted.		
2	L.5.2	Relevant Experience Projects Supplemental Form SB Pool 1, if applicable	Attachment J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1) NOTE: Amendment 00 and Amendment 01 will be accepted.	Limited to template.	ABC.VOL2.J121.pdf
2	L.5.2	Relevant Experience Projects Supplemental Form SB Pool 2, if applicable	Attachment J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2) NOTE: Amendment 00, Amendment 01, and Amendment 02 will be accepted.	Limited to template.	ABC.VOL2.J122.pdf
2	L.5.2	Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders, if applicable	Attachment J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders) NOTE: Amendment 00 and Amendment 01 will be accepted.	Limited to template.	ABC.VOL2.J123REP5.pdf ABC.VOL2.J123REP6.pdf
2	L.5.2	Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders, is applicable	Attachment J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) NOTE: Amendment 00,	Limited to template.	ABC.VOL2.J124REP5.pdf ABC.VOL2.J124REP6.pdf

			Amendment 01, and Amendment 02 will be accepted.		
2	L.5.2	Relevant Experience Projects	Contractual Documents and other Substantiating Documents	Limited to the contractual documents and other substantiating documents only. Each Relevant Experience Project shall be separate and distinct. No page limitation.	<p>ABC.VOL2.DOCUMENTN AMEXPY.pdf</p> <p>Where “X” is the Relevant Experience Project Number that corresponds with the project number in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) and “Y” is the Pool number.</p> <p>NOTE: “DOCUMENTNAME” shall be replaced with the supporting document’s name; make sure each supporting document has a unique name.</p>
3	L.5.3.1	Past Performance Information Retrieval System (PPIRS) Report, if applicable	CPARS or PPIRS Website	Limited to the CPARS or PPIRS Report only. Each CPARS/PPIRS Report shall be separate and distinct.	<p>ABC.VOL3.PPIRSXPY.pdf</p> <p>Where “X” is the Relevant Experience Project Number that corresponds with the project number in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool</p>

					2-Collection of Task Orders) and “Y” is the Pool number.
3	L.5.3.2	Past Performance Substitute Form, if applicable	Attachment J.6 (Past Performance Substitute Form) NOTE: Amendment 00 and Amendment 01 will be accepted.	Limited to template. Each Substitute Form shall be separate and distinct.	ABC.VOL3.J6XPY.pdf Where “X” is the Relevant Experience Project Number that corresponds with the project number in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) and “Y” is the Pool number.
4	L.5.4.1	Acceptable Estimating System, if applicable	DCMA or CFA other than DCMA Audit	Limited to the audit report only. No page limitation.	ABC.VOL4.EST.pdf
4	L.5.4.2	Approved Purchasing System, if applicable	DCMA or CFA other than DCMA Audit	Limited to the audit report only. No page limitation.	ABC.VOL4.PUR.pdf
4	L.5.4.3	Forward Pricing Rate Agreements (FPRA), Forward Pricing Rate Recommendations (FPRR), and/or Audited Billing Rates, if applicable	DCAA, DCMA, or CFA other than DCAA or DCMA Audit	Limited to the audit report only. No page limitation.	ABC.VOL4.FPRA.pdf ABC.VOL4.FPRR.pdf ABC.VOL4.BILLING.pdf
4	L.5.4.4	Earned Value Management System (EVMS), if applicable	DCAA or CFA other than DCAA Audit	Limited to the audit report only. No page limitation.	ABC.VOL4.EVMS.pdf
4	L.5.4.5	International Organization of Standardization	ISO Certificate	Limited to the certification only. No page	ABC.VOL4.9001.pdf

		(ISO) 9001:2008 Certification, if applicable		limitation.	
4	L.5.4.6	International Organization of Standardization/ International Electrotechnical Commission (ISO/IEC) 27001:2005 or 27001:2013 Certification, if applicable	ISO Certificate	Limited to the certification only. No page limitations.	ABC.VOL4.27001.pdf
5	L.5.5	Price Worksheet	Attachment J.7 (Price Worksheet) NOTE: Only Amendment A01 will be accepted.	Limited to template.	ABC.VOL5.J7.xls

L.4.2 NON-GOVERNMENT SUPPORT

Contractor support services may be used to assist the Government in the evaluation of an Offeror's proposal. Contractors, if used, will be authorized access only to those portions of the proposal data and discussions that are necessary to enable them to provide advice on specialized matters or on particular problems. Any restrictive notice shall not preclude disclosure to support contractors.

L.5 PROPOSAL CONTENT

Except for allowances provided in Section L.5.1.8, all Relevant Experience Projects and past performance submitted in response to this solicitation shall have been performed as a Prime Contractor or a First-Tier Subcontractor under a Federal government or Non-Federal government contract, task order or purchase order.

“Prime Contractor” means the Contractor has privity-of-contract with a Federal government or Non-Federal government entity for all contractual obligations under a mutually binding legal relationship with the Federal government or Non-Federal government entity. In other words, when the Federal government or Non-Federal government entity awards a contract, task order or purchase order to a Contractor, the Contractor is considered the Prime Contractor.

For example, Prime Contractors awarded a contract from the Federal government are identified as such on the cover page of the following forms:

1. SF 1449 – Solicitation/Contract/Order for Commercial Items – (Block 2 identifies the Contract Number, Block 3 identifies the Award/Effective Date, Block 9 identifies the U.S. Federal Government Agency, Block 17a identifies the Prime Contractor, and Block 31c identifies the date the Contracting Officer signed)
2. SF 33 – Solicitation, Offer, and Award – (Block 2 identifies the Contract Number, Block 7 identifies the U.S. Federal Government Agency, Block 15A identifies the Prime Contractor, and Block 28 identifies the date the Contracting Officer signed)
3. SF 26 – Award/Contract – (Block 2 identifies the Contract Number, Block 3 identifies the Effective Date, Block 5 identifies the U.S. Federal Government Agency, Block 7 identifies the Prime Contractor, and Block 20C identifies the date the Contracting Officer signed)
4. Optional Form 307 – Contract Award (Block 1 identifies the Contract Number, Block 2 identifies the Effective Date, Block 5 identifies the U.S. Federal Government Agency, Block 7 identifies the Prime Contractor, and Block 15C identifies the date the Contracting Officer signed)
5. Other Official Government Award Form from a Cognizant Federal Office not identified above (must explicitly identify the Award Contract No., Contractor, U.S. Federal Government Agency, and the date the Contracting Officer awarded/signed)

For example, Prime Contractors awarded a task order or purchase order from the Federal government are identified as such on the cover page of the following forms:

1. SF 1449 – Solicitation/Contract/Order for Commercial Items – (Block 2 identifies the Contract Number, Block 3 identifies the Award/Effective Date, Block 4 identifies the Order Number, Block 9 identifies the U.S. Federal Government Agency, Block 17a identifies the Prime Contractor, and Block 31c identifies the date the Contracting Officer signed)
2. Department of Defense (DD) 1155 – Order for Supplies or Services (Block 1 identifies the Contract Number, Block 2 identifies the Order Number, Block 3 identifies the date of Order, Block 6 identifies the U.S. Federal Government Agency, Block 9 identifies the Contractor, and Block 24 identifies the Contracting Officer's signature)
3. GSA Form 300 – Order for Supplies and Services (Block 1 identifies the Date of Order, Block 2 identifies the Order Number, Block 3 identifies the Contract Number, Block 6 identifies the Prime Contractor, Block 10 identifies the U.S. Federal Government Agency, and Block 26C identifies the date the Contracting Officer signed)

4. Optional Form 347 – Order for Supplies or Services (Block 1 identifies the Date of Order, Block 2 identifies the Contract Number, Block 3 identifies the Order Number, Block 5 identifies the U.S. Federal Government Agency, Block 7a identifies the Prime Contractor, and Block 22 identifies the Contracting Officer's signature)
5. Other Official Government Award Form from a Cognizant Federal Office not identified above (must explicitly identify the Award Contract No., Task Order No., Contractor, U.S. Federal Government Agency, and the date the Contracting Officer awarded/signed)

“First-Tier Subcontractor” means the Subcontractor has privity-of-contract with the Prime Contractor for all contractual obligations under a mutually binding legal relationship with the Prime Contractor. It does not include the Prime Contractor's supplier agreements with vendors, such as long-term arrangements for materials or supplies that benefit multiple contracts and/or the costs of which are normally applied to a Prime Contractor's general and administrative expenses or indirect costs. In other words, when the Federal government or Non- Federal government entity awards a contract, task order or purchase order to a Contractor, the Contractor is considered the Prime Contractor. When the Prime Contractor awards a contract, task order or purchase order to a Subcontractor, the Subcontractor is considered a First-Tier Subcontractor.

Except for the scoring element provided in Section L.5.2.4.1, all Relevant Experience Projects performed as a First-Tier Subcontractor shall be considered a Non-Federal government Relevant Experience Project. If an Offeror is submitting a Relevant Experience Project performed as a First-Tier Subcontractor, they shall submit the document(s) that clearly identify both parties and forms the mutually binding legal relationship.

L.5.1 VOLUME I (ELIGIBILITY)

To be eligible for award, the Offeror shall adhere to the directions and submit the following information under Volume I (Eligibility).

L.5.1.1 STANDARD FORM (SF) 33

“Offeror” means the official legal bidding entity identified in Block 15A on the Standard Form (SF) 33, Solicitation, Offer and Award, with a corresponding CAGE Code and DUNS Number in the System for Award Management at <http://www.sam.gov> that matches the Offeror name on the SF 33.

Using the SF 33, posted as page 1 of the solicitation in <http://www.fbo.gov>, the Offeror shall fill out Blocks 12, 13, 14, 15, 16, 17 and 18, accordingly; in doing so, the Offeror accedes to the contract terms and conditions as written in the solicitation through Block 19 accordingly.

The Government requires a minimum acceptance period of not less than 365 calendar days. The Offeror shall complete Block 12 on the SF 33 with full cognizance of the minimum acceptance period of 365 calendar days. "Acceptance Period" means the number of calendar days available to the Government for awarding a contract from the date specified in this solicitation from

receipt of your proposal. An Offeror may only specify a longer acceptance period than the Government's minimum requirement.

By signing the SF 33, the Offeror acknowledges receipt of amendments to the RFP as identified in Block 14 and related documents attached therein. The Offeror shall not be required to submit the SF 30 of any issued amendment.

The Offeror's legal name and address in Block 15A on the SF 33 shall match the Offeror's information in <http://www.sam.gov>, including the corresponding CAGE Code Number and DUNS Number.

NOTE#1: The address listed in Block 15A shall be the official mailing address used by the Government for letter correspondence, if necessary. The name, title, signature and date identified in Blocks 16, 17, and 18, shall be an authorized representative with authority to commit the Offeror to contractual obligations.

NOTE#2: Failure to provide the Government with the SF 33 may result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.1.2 PROPOSAL CHECKLIST

The Offeror shall acknowledge its proposal includes the applicable documents and list all documents and filenames in accordance with Section L.4.1 in Attachment J.2 (Proposal Checklist). No other format or additional proposal documentation shall be considered. Additionally, altering and/or failing to provide Attachment J.2 (Proposal Checklist) in any way may result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.1.3 PROFESSIONAL EMPLOYEE COMPENSATION PLAN

The Government is concerned with the quality and stability of the work force to be employed on this contract. Professional compensation that is unrealistically low or not in reasonable relationship to the various job categories may impair the Contractor's ability to attract and retain competent professional service employees or may be viewed as evidence of failure to comprehend the complexity of future task order requirements.

Evaluation of an Offeror's professional employee compensation plan shall be subject to *FAR 52.222-46*.

The Offeror shall submit a professional employee compensation plan that addresses the Offeror's methodology for determining salaries and fringe benefits for its professional employees in preparation of future task order requirements under HCaTS SB.

The professional employee compensation plan shall be incorporated by reference into any resulting contract.

NOTE: Failure to provide the Government with the Offeror's professional employee compensation plan may result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.1.4 UNCOMPENSATED OVERTIME POLICY

Uncompensated overtime means the hours worked without additional compensation in excess of an average of 40 hours per week by direct charge employees who are exempt from the Fair Labor Standards Act. Compensated personal absences such as holidays, vacations, and sick leave shall be included in the normal workweek for purposes of computing uncompensated overtime hours.

Evaluation of the Offeror's uncompensated overtime policy shall be subject to *FAR* [52.237-10](#).

The Offeror shall submit its policy for addressing uncompensated overtime consistent with its cost accounting practices used to accumulate and report uncompensated overtime hours in preparation of future task order requirements under this contract.

The uncompensated overtime policy shall be incorporated by reference into any resulting contract.

NOTE: Failure to provide the Government with the Offeror's uncompensated overtime policy may result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.1.5 RESERVED

L.5.1.6 SMALL BUSINESS CERTIFICATION

Offerors certifying as a Small Business (SB), HubZone SB, SDVOSB, WOSB, EDWOSB, and 8(a) SB shall be represented in the System for Award Management (SAM) as all socio-economic category(ies) claimed in their Attachments J.4 (Pool Application and Certification) and J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2). An Offeror certifying as a HubZone SB shall submit its SBA certification and be present on the SBA's list of Qualified HubZone SB Concerns. An Offeror certifying as an 8(a) SB shall be certified by the SBA and approved into the 8(a) Business Development Program. An Offeror certifying as either an EDWOSB and/or WOSB shall submit the necessary documents in accordance with [13 CFR 127.300](#).

NOTE: Failure to certify as a small business shall result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.1.7 SUBCONTRACTING PLAN

A small business concern, as defined in *FAR Section 2.101*, is not required to submit a subcontracting plan. For other than small business concerns, the Offeror shall provide a subcontracting plan.

In lieu of an Individual Subcontracting Plan that applies to the HCaTS SB program as a whole (i.e., the subcontracting plan is cumulative across all Pools the Contractor has applied for), an Offeror may choose to submit any type of subcontracting plan, including a Master Plan, a Commercial Plan, or a DoD Comprehensive Subcontracting Plan (as defined in *DFARS Section 219.702*), if already approved by a duly warranted contracting officer.

NOTE: If an Offeror does not obtain an award in any given Pool applied for, the subcontracting plan can be modified after award.

The General Services Administration Manual (GSAM), Appendix 519A-Small Business Subcontracting Plan Outline (Model), provides a model subcontracting plan as a template for Offerors that need assistance in developing a subcontracting plan at the following location:

<https://www.acquisition.gov/?q=/browse/gsam/519/519Small>

If an Offeror chooses to use the model at GSAM Appendix 519A, the Offeror shall adapt the model to fit its situation. The model is not a fill-in-the-blank form and the Offeror shall remove all instructional language. The model does not establish minimum requirements for an acceptable plan.

The Government expects Offerors to thoroughly review the requirements set forth in *FAR Section 19.704*, and *GSAR 552.219-72*.

The Government's commitment to ensuring that maximum practicable opportunity is provided to SB, HUBZone SB, Small Disadvantaged Business (SDB), WOSB, Veteran-Owned Small Business (VOSB), and SDVOSB concerns to participate as subcontractors in the performance of this contract, consistent with its efficient performance, must be reflected in the Offeror's subcontracting plan submitted pursuant to the clause of this contract at *FAR 52.219-9*.

In addressing the 11 elements prescribed at *FAR 52.219-9(d)*, the Offeror shall demonstrate that its subcontracting plan represents a creative and innovative program for involving SB, HUBZone SB, SDB, WOSB, VOSB, and SDVOSB concerns in performing this contract. An Offeror submitting a Commercial Plan can demonstrate its commitment in providing maximum practicable opportunities through subcontracting opportunities it provides to SB, HUBZone SB, SDB, WOSB, VOSB, and SDVOSB concerns.

The subcontracting plan shall include a description of the Offeror's subcontracting strategies used in previous contracts and significant achievements, with an explanation of how this plan will build upon those earlier achievements. Additionally, the Offeror shall demonstrate through its plan that it understands the small business subcontracting program's objectives, the Government's expectations, and is committed to taking those actions necessary to meet these goals or objectives.

The Government believes that HCaTS SB provides significant opportunities for the use of SB, HUBZone SB, SDB, WOSB, VOSB, and SDVOSB concerns as subcontractors.

As a result, Offerors that choose to submit Individual Subcontracting Plans shall contain robust small business subcontracting goals. The following percentages reflect the Government's subcontracting goals for HCaTS SB; however, the Offeror's subcontracting plan should only contain realistic goals that are attainable for the Offeror's individual circumstances.

Small Business Type	Percentage (%)
Small Business	23%
HUBZone Small Business	3%
Small Disadvantaged Business	5%
Women-Owned Small Business	5%
Veteran-Owned Small Business	3%
Service-Disabled Veteran-Owned Small Business	3%

Under an Individual Subcontracting Plan, the total estimated subcontracting dollars planned to all types of business concerns must be provided, then separately state the dollars that will be subcontracted to each category. All percents for each category will be expressed as a percentage of the total subcontracting dollars to all concerns (both large and small).

The small business dollar amount shall include all subgroup category amounts (i.e., HUBZone SB, SDB, WOSB, VOSB, SDVOSB, plus any other SB that does not fall within one of these specified subgroups).

NOTE: Alaskan Native Corporations (ANCs) and Indian tribes are included in SDB and total SB amounts.

Only the large subgroup plus all small subgroups should equal the total in both dollars and percents. Do not add together subgroups to reach the total small figure, as the same dollars can be double and triple counted for each subgroup as applicable. Dollars and percentages to large and total small businesses shall equal the total subcontracted to all categories in both dollars and percentages.

For example, the total dollars to be subcontracted in the table below are provided for example purposes only to show proper math calculations.

Categories	Sample Dollars	Percentage
Total dollars to be subcontracted Base Period and Option I:	\$1,000,000.00	100%
To: Large Businesses	\$500,000.00	50%
To all: Small Businesses (includes all the subgroups listed below)	\$500,000.00	50%
HUBZone Small Businesses	\$30,000.00	3%
Small Disadvantaged Businesses	\$50,000.00	5%
Women-Owned Small Businesses	\$50,000.00	5%
Veteran-Owned Small Businesses	\$30,000.00	3%
Service-Disabled Veteran-Owned Small Businesses	\$30,000.00	3%

The subcontracting plan shall be incorporated by reference into any resulting contract.

L.5.1.7.1 552.219-72 PREPARATION, SUBMISSION, AND NEGOTIATION OF SUBCONTRACTING PLANS (MAR 2012)

(a) An offeror, other than a small business concern, submitting an offer that exceeds \$650,000 (\$1,500,000 for construction) shall submit a subcontracting plan with its initial offer. The subcontracting plan will be negotiated concurrently with price and any required technical and management proposals, unless the offeror submits a previously-approved commercial plan.

(b) Maximum practicable utilization of small, HUBZone small, small disadvantaged, women-owned, veteran-owned, and service-disabled veteran owned small business concerns as subcontractors is a matter of national interest with both social and economic benefits. The General Services Administration (GSA) expects that an offeror's subcontracting plan will reflect a commitment to assuring that small, HUBZone small, small disadvantaged, women-owned, veteran-owned, and service-disabled veteran owned small business concerns are provided the maximum practicable opportunity, consistent with efficient contract performance, to participate as subcontractors in the performance of the resulting contract. An offeror submitting a commercial plan can reflect this commitment through subcontracting opportunities it provides that relate to the offeror's production generally; i.e., for both its commercial and Government business.

(c) GSA believes that this potential contract provides significant opportunities for the use of small, HUBZone small, small disadvantaged, women-owned, veteran-owned, and service-disabled veteran owned small business concerns as subcontractors. Consequently, in addressing the eleven elements described at FAR [52.219-9\(d\)](#) of the clause in this contract entitled Small Business Subcontracting Plan, the offeror shall:

(1) Demonstrate that its subcontracting plan represents a creative and innovative program for involving small, HUBZone small, small disadvantaged, women-owned, veteran-owned, and service-disabled veteran owned small business concerns in performing the contract.

(2) Include a description of the offeror's subcontracting strategies used in any previous contracts, significant achievements, and how this plan will build upon those earlier achievements.

(3) Demonstrate through its plan that it understands the small business subcontracting program's objectives and GSA's expectations, and it is committed to taking those actions necessary to meet these goals or objectives.

(d) In determining the acceptability of any subcontracting plan, the Contracting Officer will take each of the following actions:

(1) Review the plan to verify that the offeror demonstrates an understanding of the small business subcontracting program's objectives and GSA's expectations with respect to the program and has included all the information, goals, and assurances required by FAR [52.219-9](#).

(2) Consider previous goals and achievements of contractors in the same industry.

(3) Consider information and potential sources obtained from agencies administering national and local preference programs and other advocacy groups in evaluating whether the goals stated in the plan adequately reflect the anticipated potential for subcontracting to small, HUBZone small, small disadvantaged, women-owned, veteran-owned, and service-disabled veteran owned small business concerns.

(4) Review the offeror's description of its strategies, historical performance and significant achievements in placing subcontracts for the same or similar products or services with small, HUBZone small, small disadvantaged, women-owned, veteran-owned, and service-disabled veteran owned small business concerns. The offeror's description can apply to commercial as well as previous Government contracts.

(e) Failure to submit an acceptable subcontracting plan and/or correct deficiencies in a plan within the time specified by the Contracting Officer shall make the offeror ineligible for award.

(End of provision)

L.5.1.8 MEANINGFUL RELATIONSHIP COMMITMENT LETTERS (MRCL) (IF APPLICABLE)

Within a corporate structure, an Offeror may utilize resources from a parent organization, affiliate, division, and/or subsidiary provided that the meaningful relationship was in place at the time the contract, task order or purchase order being submitted as a Relevant Experience Project was performed. The Government shall allow an Offeror to take credit for any evaluation criteria, including Relevant Experience Project(s), accreditations from a parent company, affiliate, division, and/or subsidiary as long as there is a meaningful relationship to the Offeror and commitment letters are provided to the Government.

Affiliates are business concerns that are affiliates of each other if, directly or indirectly, either one controls or has the power to control the other, or another concern controls or has the power to control both.

Division is a separate business unit of a company representing a specific business function.

Subsidiary means an entity in which more than fifty percent (50%) of the entity is owned directly by a parent organization, or through another subsidiary of a parent organization.

For the purposes of HCaTS SB, a meaningful relationship exists within a corporate structure when at least one of the following conditions exists:

1. An entity is a wholly owned subsidiary of a parent organization, or
2. An entity is a parent of a wholly owned subsidiary, or
3. An entity operates under a single internal operational unit, or
4. An entity operates under a consolidated accounting system, or
5. An entity operates under a consolidated purchasing system, or
6. An entity operates under a consolidated human resources or personnel system, or
7. An entity operates under common policy and corporate guidelines, or
8. Operating structure between the entities includes internal organizational reporting lines and management chains for lines of business that operate across the formal corporate subsidiaries.

When an Offeror is utilizing resources from other entities by way of a meaningful relationship within a corporate structure, only one proposal from that corporate structure shall be submitted.

For each meaningful relationship identified for the evaluation criteria, the Offeror shall provide an MRCL that includes the following:

1. Clear and legal identification of the meaningful relationship between the Offeror and entity identified, and
2. A statement of commitment as to the performance and utilization of the identified entity's resources on HCaTS SB task orders, and

Each applicable proposal element must be clearly and specifically identified in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1(Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2(Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3(Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4(Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders),and

3. Signatures of both the Offeror and Meaningful Relationship Entity.

In the event that a parent organization has complete and full control over all meaningful relationship entities, the parent organization may prepare a single MRCL that identifies all elements required above.

For example, if ABC Inc. is the official legal bidding entity and ABC Inc. is taking credit for its subsidiary, Best R&D L.L.C.'s DCMA-approved purchasing system, ABC Inc. shall show how task orders under HCaTS SB will be processed through Best R&D L.L.C.'s purchasing system. Furthermore, ABC Inc. shall submit an MRCL between ABC Inc. and Best R&D L.L.C. that they will, in fact, process ABC Inc.'s task orders under HCaTS SB through Best R&D L.L.C.'s purchasing system. This example applies to all the proposal submission documents that involve resources and experience from other than the official legal bidding entity.

MRCLs will be incorporated by reference into any resulting contract.

NOTE: Failure to provide the Government with the Offeror's MRCL(s), if applicable, may result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.1.9 CONTRACTOR TEAM ARRANGEMENTS (CTA)

“Contractor Team Arrangement” means an arrangement in which two or more companies form a Partnership or Joint Venture to act as a potential Prime Contractor (see *FAR Paragraph 9.601(1)*); or, a potential Prime Contractor agrees with one or more other companies to have them act as its Subcontractor(s) under a specified Government contract or acquisition program (see *FAR Paragraph 9.601(2)*). For the purposes of submitting a proposal under HCaTS, an existing CTA as defined in *FAR Paragraph 9.601(1)* is allowable in accordance with Section L.5.1.9.1.

L.5.1.9.1 SUBMITTING A PROPOSAL UNDER AN EXISTING JOINT VENTURE OR PARTNERSHIP (IF APPLICABLE)

An Offeror shall have proven experience and performance as an existing CTA in the form of a Partnership or Joint Venture in accordance with the proposal submission requirements in Section L. An Offeror may submit a proposal under an existing CTA in the form of a Partnership or Joint Venture, as defined in *FAR Paragraph 9.601(1)*, only if the existing Partnership or Joint Venture has a corresponding DUNS Number in <http://www.sam.gov> and all the proposal submission documents are in the name of the existing Partnership or Joint Venture, not the individual members of the Partnership or Joint Venture. Relevant Experience Projects shall have been performed by the existing Partnership or Joint Venture.

For example, A Company, B Company, and C Company formed a Joint Venture called, ABC Incorporated. A Relevant Experience Project only in the name of Company A is not an eligible project under this solicitation. All Relevant Experience Projects and other proposal submission documents shall be in the name of ABC Incorporated.

Offerors who are an existing Joint Venture or Partnership may submit a proposal under this solicitation subject to the following conditions:

1. The Joint Venture or Partnership is registered in <http://www.sam.gov> and has a corresponding DUNS Number, and
2. The Joint Venture or Partnership meets the definition of *FAR Paragraph 9.601(1)*, and for size determination purposes meets the definition of *FAR Subparagraph 19.101(7)(i)*, and
3. The Joint Venture or Partnership fills out and submits the Representations and Certifications in Section K, and
4. The Joint Venture or Partnership, and not the individual team members, shall represent all proposal submission documents required under Section L.5.1.9.1, including all Relevant Experience Projects, past performance, and accreditations, as applicable, under this solicitation, and
5. The Offeror shall submit a complete copy of the CTA agreement that established the CTA relationship, disclosing the legal identity of each team member of the Joint Venture or Partnership, the relationship between the team members, the form of ownership of each team member, any limitations on liability or authority for each team member, and a specific statement of what resources each team member provides to the CTA. In addition, the existing Joint Venture or Partnership shall:
 - a. Clearly identify the entities which make up the Joint Venture or Partnership relationship, including disclosure of the primary point of contact for each member of the team, and
 - b. Disclose whether or not the Joint Venture or Partnership designates a particular entity as the "Team Lead", and if so, the Joint Venture or Partnership shall clearly explain the specific duties/responsibilities of the "Team Lead" to the other members of the team and to the Government, and
 - c. Describe the specific duties/responsibilities of each member of the team as they relate to each other and explain the specific duties/responsibilities that each team member will have for purposes of contract performance under HCaTS SB and meeting the performance standards in Section F.5, and
 - d. Address the circumstances and procedures for replacement of team members, including the team lead, and whether or not the approval of the Government is required prior to replacing any team members, and

- e. Address the duration of the Joint Venture or Partnership, including when it became effective, when it expires, and the basis for termination.

NOTE #1: Failure to provide the Government with the requested documentation establishing the CTA relationship, if applicable, may result in the proposal being rejected as being non-conforming, and determined non-responsive.

NOTE #2: Any new Partnership or Joint Venture CTA as defined in *FAR Paragraph 9.601(1)* or Prime-Subcontractor CTA as defined in *FAR Paragraph 9.601(2)* is not allowable and shall be rejected.

L.5.1.9.2 USING EXPERIENCE FROM AN EXISTING OR PREVIOUS JOINT VENTURE OR PARTNERSHIP (IF APPLICABLE)

An Offeror may use a contract, task order or purchase order that was awarded to its existing or previous CTA as a Relevant Experience Project, but will only receive credit for the portion of the contract, task order or purchase order that was performed by the Offeror. Only the Offeror's portion of the performance shall count towards meeting minimum requirements and scored elements as prescribed in Section L.5.2. The Offeror shall use Attachment J.11 (Contractor Team Arrangement Form) for each Relevant Experience Project that was performed under an existing or previous CTA to state what portion of the contract, task order or purchase order was performed by the Offeror and it shall be signed by the majority of CTA members. The CTA agreement in accordance with Section L.5.1.9.1 shall be submitted as an addendum to Attachment J.11 (Contractor Team Arrangement Form). The Offeror shall not receive credit for the Relevant Experience Project if the information entered in Attachment J.11 (Contractor Team Arrangement Form) does not exactly match what was entered into Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1(Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2(Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3(Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4(Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders).

An Offeror may submit a Relevant Experience Project performed under an existing or previous CTA subject to the following conditions:

1. If the CTA is still in existence, the CTA shall not also submit a proposal under HCaTS SB, and
2. The Offerors shall submit Attachment J.11 (Contractor Team Arrangement Form), signed by the majority of members in the CTA, not including the Offeror, and
3. The Offeror shall submit a complete copy of the CTA agreement that establishes the CTA relationship, disclosing the legal identity of each team member of the Joint Venture or

Partnership, the relationship between the team members, the form of ownership of each team member, any limitations on liability or authority for each team member, and a specific statement of what resources each team member provides to the CTA, and

4. The Offeror's performance on the contract, task order or purchase order meets the minimum requirements of a Relevant Experience Project as prescribed in Section L.5.2, and,
5. All claimed points on the Offeror's Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2) reflect the Offeror's performance.

NOTE#1: Failure to meet any of the criteria outlined above may result in the proposal being rejected as being non-conforming and determined non-responsive.

NOTE#2: All minimum requirements and scoring elements as prescribed in Section L.5.2 apply solely to the portion of the Offeror's performance on the contract, task order or purchase order. For example, if the CTA was awarded a \$2.5 million contract, but the Offeror's performance only covered \$325,000 of the total work performed, \$325,000 shall be reflected in both Attachment J.11 (Contractor Team Arrangement Form) and the corresponding Relevant Experience Project in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2). This Relevant Experience Project will meet the minimum requirement for total value prescribed in Section L.5.2.2 and receive 150 points as prescribed in Sections L.5.2.4.5 and M.6.

L.5.1.10 RESPONSIBILITY

To be eligible for contract award, the Offeror shall follow the directions and submit the following information under Volume I.

In accordance with *FAR Section 9.103*, Offerors that are determined non-responsible shall not be considered for award. A determination of responsibility will be made as prescribed in Section M.4.1.

L.5.1.10.1 FINANCIAL RESOURCES

To be determined responsible, an Offeror shall have adequate financial resources to perform the contract, or the ability to obtain them.

The Offeror shall complete and submit one GSA Form 527, Contractor's Qualifications and Financial Information, located at www.gsa.gov/forms, for each of the last three fiscal years, and submit copies of its 2014 and 2013 year-end financial statements. CPA-prepared financial

statements are preferred. In addition, the Offeror shall provide a copy of its 2015 interim financial statements. Internal financial statements will be reviewed in the absence of a CPA Report. However, revisions and corrections may be requested. Financial statements shall be prepared on the accrual basis, if possible. Upon completing the review of the above documents, the Government may request additional information to assist in its determination of the Offeror's financial responsibility. All financial documents shall be submitted in the Offeror's legal business name.

If the fill-in portion of the GSA Form 527 does not accommodate your information, Offerors shall manually write in the required information. All forms shall be signed by an authorized official at the bottom of Page 6.

NOTE: If the Offeror has less than three years of financial history, the Offeror shall submit as many GSA Form 527s and financial statements as it can.

The following instructions are provided for each of the three GSA Form 527s and attachments.

Section I – General Information

1. Offerors shall complete all applicable sections, and
2. Block 1A: This is the full name of the legal bidding entity that will be signing the contract with GSA as submitted on the SF 33, and
3. Block 6: This is asking whether the legal bidding entity uses a DBA, trade name, fictitious name trademark, etc., for business purposes, and
4. Block 13: Non-disclosure of this information is a more significant negative factor than not reporting the items listed.

Section II – Government Financial Aid and Indebtedness

1. Offerors shall complete all applicable sections, and
2. Offerors shall answer 14A, 14B, 15A and 16.

Section III – Financial Statements and Section IV Income Statements

1. Block 18: Offerors shall check “No”, and
2. Block 20: Offerors shall check the applicable boxes to show whether the figures are in actual, thousands or millions, and

3. Blocks 24-28: Offerors shall submit the last full fiscal year statement and subsequent interim statements. Offerors shall attach the financial and interim statements rather than write the figures on the GSA Form 527 – Page 2. Offerors shall ensure that the full name of the legal bidding entity or parent is in the heading of the financial statements. In addition, the completed Balance Sheet dates and the complete dates of the period covered by the Income Statement shall correspond to the Offeror's fiscal year cycle.

NOTE: For those Offerors that use Quickbooks software the Income Statement defaults to a month/year format for all versions of this software that precedes 2009. The complete dates of the period covered by the Income Statement shall be submitted (i.e., January 1, 2014 to December 31, 2014). In addition, the older versions show an account called "Opening Bal Equity" in the Balance Sheet's Equity section. Please determine what accounts those funds belong in and transfer them to the correct account.

Section V – Banking and Finance Company Information

1. Offerors shall complete all applicable sections; however, if your company has a prepared list of bank and trade references, you may attach it to the GSA Form 527 instead of completing this section.

Section VI – Principal Merchandise or Raw Material Supplier Information

1. Offerors shall leave this Section blank

Section VII – Construction/Service Contracts Information

1. Offerors shall leave this Section blank

Section VIII – Remarks

1. Offerors shall provide remarks, as applicable.

Certification

1. The Name of Business shall correspond to the official legal bidding entity on the SF 33, and
2. Offerors shall provide name, title, signature, and date of authorized official.

NOTE: Failure to provide the Government with the GSA Form 527s, income statements and balance sheets may result in the proposal being rejected as being non-conforming and determined non-responsible.

L.5.1.10.2 REPRESENTATIONS AND CERTIFICATIONS

The Offeror shall complete and submit all representations and certifications in accordance with the instructions in Section K. For each Pool applying for, the Offeror's System for Award Management (SAM) representations and certifications shall include the predominant NAICS Code (611430 and/or 541612).

NOTE: Failure to provide the Government with Section K and a current SAM record inclusive of the predominant NAICS Code(s) of the Pool(s) applying for may result in the proposal being rejected as being non-conforming and determined non-responsible.

L.5.1.10.3 INSURANCE

The Offeror shall submit a copy of its insurance that meets the minimum requirements as prescribed in *FAR Subsection [28.307-2](#)*.

NOTE: Failure to provide the Government with a copy of proof of insurance that meets the minimum requirements as prescribed in *FAR Subsection [28.307-2](#)* may result in an Offeror's proposal being rejected as being non-conforming and determined non-responsible

L.5.1.11 CONTRACTOR KEY PERSONNEL

For both Contractor Key Personnel (Corporate HCaTS SB Program Manager and Corporate HCaTS SB Contract Manager), the Offeror shall submit a commitment letter signed by the Offeror's authorized representative and the identified Contractor Key Personnel and complete Attachment J.4 (Pool Application and Certification).

NOTE: Failure to provide the Government with the two commitment letters may result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.2 VOLUME II (POOL APPLICATION AND CERTIFICATION)

The Offeror is instructed to complete Section I, *Background*, Section II, *HCaTS SB Pool Qualification*, and Section III, *Certifications/Authorizations*, using Attachment J.4 (Pool Application and Certification) and the identified documents herein. No other documents shall be considered. Information from the Relevant Experience Projects shall be filled out in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1(Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2(Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3(Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4(Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders). Offerors can reference Attachment J.5.3 (Self Scoring Worksheet SB {Sample}) as an example. No other format shall be considered.

NOTE: Failure to provide the Government with Attachments J.4 (Pool Application and Certification), J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2) J.12.1(Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2(Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3(Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4(Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) may result in the proposal being rejected as being non-conforming and determined non-responsive. Additionally, altering Attachments J.4 (Pool Application and Certification), J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2) J.12.1(Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2(Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3(Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) in any way may result in the proposal being rejected as being non-conforming and determined non-responsive.

A total of two MA-IDIQ Pools will result from this solicitation (see Section L.2.3). Offerors may compete for more than one Pool; however, Offerors shall only submit one proposal.

For an Offeror to be eligible for consideration under a given Pool, the Offeror shall have performed six Relevant Experience Projects, with four of those Relevant Experience Projects under a NAICS Code that corresponds directly to a NAICS Code in the Pool being applied for (see NAICS Code Pool Table below). Each Relevant Experience Project shall meet the minimum requirements as prescribed in Section L.5.2.2. The remaining two Relevant Experience Projects may be under any NAICS Code as long as they are within scope of at least one KSA. The Offeror has the sole discretion to choose any project.

A Federal government Relevant Experience Project is defined as a single contract (*FAR Section 2.101*); or, a single task order placed under a master Single Award or Multiple Award, Indefinite Delivery task order contract (*FAR Subpart 16.5*); or, a single task order placed under a Federal Supply Schedule (*FAR Subsection 8.405-2*); or, a single task order or purchase order placed under a master Single Award or Multiple Award Blanket Purchase Agreement (*FAR Subsection 8.405-3* or *FAR Section 13.303*); or, a “collection of task orders” placed under a master Single Award or Multiple Award, Indefinite Delivery task order contract or master Single Award or Multiple Award Blanket Purchase Agreement or, any other master contract vehicle (e.g., Project Number/Title) that the task orders were directly awarded under. A Federal government Relevant Experience Project shall have been awarded by the acquisition procedures prescribed in the Federal Acquisition Regulation (FAR). A Relevant Experience Project that was awarded by a Federal agency (e.g., The Judiciary Branch), quasi-Federal agency (e.g., Federal Deposit Insurance Corporation {FDIC} and Federal Reserve Bank), or semi-Federal agency (e.g., United States Post Office) that does not award contracts, task orders or purchase orders in full accordance with the FAR shall not be considered a Federal government Relevant Experience Project. In order for a single contract, task order and/or purchase order to be considered as a Federal government Relevant Experience Project, the Contractor shall have provided a service(s)

in accordance with the terms and conditions of the single contract, task order and/or purchase order. Single contracts, task orders and purchase orders with no performance of a service(s) shall not be considered. If a single contract has a task order(s)/purchase order(s) awarded against it, the Offeror shall submit either the single contract or the task order(s)/purchase order(s) as the Relevant Experience Project, but not both. If the Offeror submits the single contract and the task order(s)/purchase order(s) awarded against it, the single contract and the task order(s)/purchase order(s) shall not be considered.

A Non-Federal government Relevant Experience Project is defined as a single contract; or, a single task order or purchase order placed under a master contract; or, a single task order or purchase order placed under a master BPA; or, a “collection of task orders” placed under a master contract or BPA awarded by an entity other than the Federal government. In order for a single contract, task order and/or purchase order to be considered as a Relevant Experience Project, the Offeror shall have provided a service(s) in accordance with the terms and conditions of the single contract, task order and/or purchase order. Single contracts, task orders and/or purchase orders with no performance of a service(s) shall not be considered. If a single contract has a task order(s)/purchase order(s) awarded against it, the Offeror shall submit either the single contract or the task order(s)/purchase order(s) as the Relevant Experience Project, but not both. If the Offeror submits the single contract and the task order(s)/purchase order(s) awarded against it, the single contract and the task order(s)/purchase order(s) shall not be considered.

NOTE: If any Relevant Experience Project fails any of the requirements prescribed in Section L.5.2, the Relevant Experience Project shall fail and the Relevant Experience Project shall not have met the minimum requirements as prescribed in Section L.5.2; therefore, may result in the proposal being rejected as being non-conforming and determined non-responsive.

Two out of the six required Relevant Experience Projects may, at the discretion of the Offeror, be a collection of task orders placed under a master Single Award or Multiple Award, Indefinite Delivery, task order contract or master Single Award or Multiple Award Blanket Purchase Agreement; or, any other master contract vehicle (e.g., Project Number/Title) that the task orders were directly awarded under if the following applies:

1. The “collection of task orders” shall not exceed six task orders, and
2. None of the task orders in the collection shall also be used as a stand-alone Relevant Experience Project, and
3. Each successor task order was a logical follow-on task order to the predecessor task order, with no more than a 30 calendar day gap between the award date of the successor task order and the end date of the predecessor task order, and

NOTE: A logical follow-on task order is defined as a task order for the same or similar services provided under the predecessor task order. A logical follow-on

task order(s) may have an overlapping period of performance to the predecessor task order(s).

4. Each task order was awarded by for the same customer, and
5. Services provided under each task order has been performed within the past five years prior to the solicitation closing date; or, be ongoing, and
6. Each task order's scope was inclusive of at least one KSA (Customized Training and Development Services, Customized Human Capital Strategy Services, or Customized Organizational Performance Improvement) and some component of the KSA-related service and/or product customized, and
7. The Offeror shall submit a completed Attachment J.9 (Collection of Task Order Confirmation Form) that is signed by a duly warranted Contracting Officer (or commercial equivalent Authorized Official for a Non-Federal government project).

NOTE #1: Except for up to two "collections of task orders" as defined above, multiple task orders awarded under a master Single Award or Multiple Award Indefinite Delivery task order contract and/or BPA cannot be combined and submitted as a Relevant Experience Project. Each Relevant Experience Project shall be an individual contract, task order, purchase order or a collection of task orders (allowed for two Relevant Experience Projects).

NOTE #2: If any task order fails any of the requirements prescribed in Section L.5.2.2, the entire "collection of task orders" shall fail and the Relevant Experience Project shall not have met the minimum requirements as prescribed in Section L.5.2.2; therefore may result in the proposal being rejected as being non-conforming and determined non-responsive.

NOTE #3: If an Offeror is submitting a Relevant Experience Project from an existing or previous CTA on a "collection of task orders", they shall submit Attachment J.11 (Contractor Team Arrangement Form) for each task order in the "collection of task orders", signed by the majority of CTA members, and Attachment J.9 (Collection of Task Order Confirmation Form), signed by a duly warranted Contracting Officer (or commercial equivalent Authorized Official for a Non-Federal government project) of the Customer/Client Organization who awarded the contract or BPA to the CTA. All requirements of a "collection of task orders" prescribed above apply to the Offeror's performance on each task order in the collection.

NOTE #4: If an Offeror is applying for both Pools, the Offeror is prohibited from using any task order in the "collection of task orders" in the Pool that the "collection of task order" was not submitted as a distinct Relevant Experience Project in accordance with Section L.5.2.2.

Any combination of U.S. Federal Government, U.S. State Government, U.S. Local Government International Public Sector, and Non-Government/Commercial projects can be submitted.

L.5.2.1 NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM (NAICS) CODES

The NAICS Codes shall be validated by the Federal Procurement Data System-Next Generation (FPDS-NG) Report. If the FPDS-NG Report is unavailable, the NAICS Codes shall be validated by the most current Contractor Performance Assessment Reports System (CPARS) Report, Past Performance Information Retrieval System (PPIRS) Report or Attachment J.6 (Past Performance Substitute Form). For Federal government Relevant Experience Projects where no FPDS-NG Report or CPARS/PPIRS Report exists, and the Offeror is unable to have a duly warranted Contracting Officer fill out Attachment J.6 (Past Performance Substitute Form), the Offeror shall provide other verifiable evidence from the contract, task order, or purchase order award form (i.e., SF 1449, SF 33, SF 26, DD 1155, GSA Form 300, or any other official Government solicitation from a Cognizant Federal Office). In the event there is a contradiction between the contract/task order/purchase order award form or solicitation and FPDS-NG Report, the contract/task order/purchase order award form or solicitation takes precedence. In the event there is a contradiction between the FPDS-NG Report and the most current CPARS/PPIRS Report or Attachment J.6 (Past Performance Substitute Form), the FPDS-NG Report takes precedence. This order of precedence applies unless a duly warranted Contracting Officer responsible for the Relevant Experience Project submits an Attachment J.10 (NAICS Code Confirmation Form) that indicates the NAICS Code that they select in Attachment J.10 (NAICS Code Confirmation Form) represents the preponderance of work performed. For a Federal government Relevant Experience Project, if the Offeror does not provide verifiable documentation (FPDS-NG Report, CPARS/PPIRS Report, Attachment J.6 {Past Performance Substitute Form}, Attachment J.10 {NAICS Code Confirmation Form}, or official Government award form or solicitation), the Relevant Experience Project shall not be considered as one of the four Relevant Experience Projects that shall have a Pool NAICS Code.

NOTE: If using Attachment J.6 (Past Performance Substitute Form) to validate a NAICS Code for a Federal government Relevant Experience Project, the Assessing Official shall be a duly warranted Contracting Officer. If Attachment J.6 (Past Performance Substitute Form) is completed by someone who is not duly warranted Contracting Officer, the Government shall not accept the NAICS Code.

If an Offeror has a legitimate reason that the NAICS Code for any Relevant Experience Project was reported incorrectly, the Government will allow the Offeror the opportunity to request and submit Attachment J.10 (NAICS Code Confirmation Form) from a duly warranted Contracting Officer from the awarding agency to identify the correct NAICS Code.

NOTE: Should a duly warranted Contracting Officer identify the correct NAICS Code in Attachment J.10 (NAICS Code Confirmation Form), the HCaTS CO will accept the Relevant Experience Project for purposes of meeting the minimum requirement prescribed in Section L.5.2.2.

If the Relevant Experience Project was performed as a First-Tier Subcontractor on a Federal government contract, task order or purchase order, in order to receive the points identified in Section L.5.2.4.1 the NAICS Code shall be assigned by the Prime Contractor on Attachment J.6 (Past Performance Substitute Form), based on the preponderance of work that the Offeror performed and cannot be self-certified by the Offeror. This NAICS Code does not have to match the NAICS Code assigned by the Federal government to the Prime Contractor.

If the Relevant Experience Project was performed as a member of a CTA on a Federal or Non-Federal government contract, task order or purchase order, in order to receive the points identified in Section L.5.2.4.1 the NAICS Code shall be assigned by a simple majority of the CTA members on Attachment J.11 (Contractor Team Arrangement Form) based on the preponderance of work that the Offeror performed and cannot be self-certified by the Offeror. This NAICS Code does not have to match the NAICS Code assigned by the Federal government to the CTA.

If the Offeror is submitting a Non-Federal government Relevant Experience Project without Attachment J.6 (Past Performance Substitute Form), the Offeror shall self-certify the NAICS Code in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders).

NOTE: If submitting a “collection of task orders” for a Federal government Relevant Experience Project, the NAICS Code will be determined at the master contract or BPA level. If Attachment J.10 (NAICS Code Confirmation Form) is utilized to confirm a NAICS Code, it shall be completed for the master contract or BPA. Attachment J.6 (Past Performance Substitute Form) shall not be utilized to substantiate the NAICS Code for a Federal government “collection of task orders”. If Attachment J.6 (Past Performance Substitute Form) is utilized to substantiate the NAICS Code for a Non-Federal government “collection of task orders”, Attachment J.6 (Past Performance Substitute Form) shall list all task orders in the “collection of task orders” in Section II C.

L.5.2.2 RELEVANT EXPERIENCE PROJECT MINIMUM REQUIREMENTS

For all Relevant Experience Projects, minimum requirements and all scoring elements shall be substantiated by providing enough evidence within a verifiable contractual document. All supporting documents shall be submitted as addendum to Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2) and should be labeled by Relevant Experience Project with the corresponding naming convention that is designated in Section L.4.1.

NOTE #1: The Offeror shall substantiate all the information by providing enough evidence within a verifiable contractual document(s) to support each answer. It is recommended to highlight areas of substantiation. If an Offeror is submitting a deliverable (e.g., management

plan, human resources plan, strategic human capital plan, organizational development plan, etc.) as the verifiable contractual document to substantiate a minimum requirement of the Relevant Experience Project, the verifiable contractual document shall also have enough evidence to substantiate that it was delivered for that Relevant Experience Project. Verifiable contractual documents shall be used to substantiate the Relevant Experience Project's scope, period of performance, and total estimated/obligated dollar value. CPARS, PPIRS, FPDS-NG reports and any attachment in Section J shall not be used to substantiate scope, period of performance, and total estimated/obligated dollar value. In order to substantiate the contract, task order or purchase order number, the Offeror shall provide a scan of the award page of the contract, task order or purchase order in the proposal submission as prescribed in Section L.5.2.2.

NOTE #2: There are no restrictions on the type of verifiable contractual document that can be submitted to substantiate a claim pertaining to a Relevant Experience Project. A verifiable contractual document can be, but is not limited to, the award document, contractual documents, contractual deliverables, and/or signed, certified and/or legally recognized documents. The Offeror shall only receive credit for meeting a minimum requirement and scored element if the Government can validate the information. If submitting a Relevant Experience Project performed as a First-Tier Subcontractor, the verifiable contractual document shall be between the Prime Contractor and Offeror and not the Customer/Client Organization and Prime Contractor unless the Offeror is referenced in the verifiable contractual document or otherwise permitted in the solicitation. If submitting a Relevant Experience Project performed under an existing or previous CTA, the verifiable contractual document shall be between the Customer/Client Organization and CTA to validate the contract, task order or purchase order and the CTA and the Offeror to validate the Offeror's individual performance.

NOTE #3: If an Offeror submits a Relevant Experience Project performed under an existing or previous CTA in accordance with Section L.5.1.9.2, the Offeror shall substantiate each minimum requirement and claimed scoring element, unless otherwise instructed in the solicitation, with both Attachment J.11 (Contractor Team Arrangement Form) for the Offeror's individual performance and the verifiable contractual document(s) between the Customer/Client Organization and the CTA to substantiate the contract, task order or purchase order.

A minimum of four Relevant Experience Projects shall have been performed in any of the Pool's NAICS Codes that the Offeror is applying for. If the Offeror is applying for both Pools, they shall submit a minimum of ten and a maximum of twelve Relevant Experience Projects, with four of the projects under Pool 1's NAICS Codes and four of the projects under Pool 2's NAICS Codes. The remaining two Relevant Experience Projects for each Pool that are not required to be under any Pool's NAICS Codes may overlap between the two Pools. For example, the Offeror's two Relevant Experience Projects that are not required to be of a Pool 1 NAICS Code may be the same projects used in support of Pool 2 and vice versa, or the Offeror may choose to use the same two Relevant Experience Projects that do not have either a Pool 1 or a Pool 2 NAICS Code to represent both Pool 1 and Pool 2's two Relevant Experience Projects that are not

required to have a Pool NAICS Code. This overlap is allowed as long as the Offeror has a minimum of ten distinct Relevant Experience Projects.

NOTE: If a minimum of four Relevant Experience Projects were not performed under the applied Pool's NAICS Codes, this may result in the proposal being rejected as being non-conforming and determined non-responsive. Additionally, if the Offeror is applying for both Pools, but does not have a minimum of ten distinct Relevant experience projects, this may also result in the proposal being rejected as being non-conforming and determined non-responsive.

Pool 1: \$11M Size Standard	
NAICS Code	NAICS Title
611430	Professional and Management Development Training
611699	All Other Miscellaneous Schools and Instruction
624310	Vocational Rehabilitation Services

Pool 2: \$15M Size Standard	
NAICS Code	NAICS Title
541611	Administrative Management and General Management Consulting Services
541612	Human Resources Consulting Services
541613	Marketing Consulting Services
541618	Other Management Consulting Services
611710	Educational Support Services

NOTE: Definitions for each NAICS Title can be found at <http://www.census.gov/cgi-bin/sssd/naics/naicsrch>

Each Relevant Experience Project shall:

1. Be in scope of at least one KSA (Customized Training and Development Services, Customized Human Capital Strategy Services, or Customized Organizational Performance Improvement) and that some component of the KSA-related service and/or product was customized, and

NOTE#1: The Offeror may submit signed reference letters from its Customer/Client to substantiate scope and customization.

NOTE#2: If submitting a "collection of task orders", each task order shall be within scope of at least one KSA and that some component of the KSA-related service and/or product was customized.

NOTE #3: If submitting a "single contract" as a Relevant Experience Project, the scope of work (and services performed) in the original contract award and/or any subsequent modifications claimed as part of the Relevant Experience Project shall be in scope of at least one KSA and that some component of the KSA-related service and/or product was customized. If a "single contract" did not originally include any KSA or any subsequent

modification(s) did not include any KSA, the Offeror shall only claim meeting the minimum requirements as prescribed herein Section L.5.2.2 and receive points in accordance with Sections L.5.2.4, L.5.3.3 and L.5.3.4 for the original contract award and/or subsequent modification(s) that are in scope of at least one KSA and contain some component of the KSA-related service and/or product was customized.

2. Have been performed within the past five years prior to the solicitation closing date; or, be ongoing. In addition, each Relevant Experience Project shall have at least three months of performance, including options, and

NOTE#1: A Relevant Experience Project awarded more than five years prior to the solicitation closing date, but where any portion of performance was provided within the past five years prior to the solicitation closing date is permitted. For example, Task Order 12345 was awarded on January 1, 2007 with a period of performance of five years, ending on December 31, 2011. Assuming the Offeror performed within the past five years prior to the solicitation closing, the Offeror can submit the task order as a Relevant Experience Project.

NOTE#2: If submitting a “collection of task orders”, each task order shall have been performed within the past five years prior to the solicitation closing date; or, be ongoing. In addition, the aggregate duration, excluding breaks in service, of the “collection of task orders” shall be at least three months, including options.

3. Have a total estimated and/or obligated value no less than \$25,000.00, and

NOTE: If submitting a “collection of task orders”, the aggregate value of the task orders shall have a total estimated and/or obligated value no less than \$25,000.00.

4. Have an average past performance rating of 3.00 or higher, provided that a CPARS/PPIRS Report or Attachment J.6 (Past Performance Substitute Form) exists, and

NOTE: In the case of Relevant Experience Projects without a record of past performance, the Offeror will not be evaluated favorably or unfavorably on past performance.

The average past performance rating shall be determined as follows:

- a. For each CPARS/PPIRS Report and/or Attachment J.6 (Past Performance Substitute Form), a score is assigned to each rated Evaluation Area (identified in the below note) using the following score system:

Exceptional = 5.00

Very Good = 4.00

Satisfactory = 3.00
 Marginal = 1.00
 Unsatisfactory = 0.00

NOTE: Only the following Evaluation Areas shall be factored into the average, if rated: Quality, Schedule, Cost Control, Management, Utilization of Small Business and Regulatory Compliance. If an Evaluation Area was not rated, select “N/A” for that Evaluation Criteria.

- b. The scores are totaled and divided by the number of rated Evaluation Areas, rounding to the hundredth decimal position. If the thousandth decimal position is equal to or less than 4, round the hundredth decimal position down. If the thousandth decimal position is equal to or greater than 5, round the hundredth decimal position up.

To validate the rating assigned to a specific Relevant Experience Project, the Offeror shall submit either a copy of the most current CPARS/PPIRS Report or Attachment J.6 (Past Performance Substitute Form). The CPARS/PPIRS Report or Attachment J.6 (Past Performance Substitute Form) shall be attached as an addendum to Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2).

NOTE: If submitting a “collection of task orders”, the average past performance rating will be calculated by assigning a score to each rated Evaluation Area for each PPIRS Report and/or Attachment J.6 (Past Performance Substitute Form) that exists for each task order and the average will be of all ratings assigned to the “collection of task orders”. See Section M.5.2.3.

5. Have verifiable contractual documents to substantiate the Relevant Experience Project meets the minimum requirements as prescribed in Section L.5.2.2, and

NOTE: If submitting a “collection of task orders”, each task order shall have verifiable contractual documents to substantiate the aggregate of the task orders meet the minimum requirements of a Relevant Experience Project as prescribed in Section L.5.2.2

6. Have been awarded by an entity outside of your corporate structure, and

NOTE: If submitting a “collection of task orders”, each task order shall have been awarded by an entity outside of your corporate structure.

7. Not have been terminated for cause or default

NOTE: If submitting a “collection of task orders”, no task order shall have been terminated for cause or default.

NOTE: If any Relevant Experience Project fails any of the requirements prescribed in Section L.5.2, the Relevant Experience Project shall fail and the Relevant Experience Project shall not have met the minimum requirements as prescribed in Section L.5.2; therefore may result in the proposal being rejected as being non-conforming and determined non-responsive.

L.5.2.3 SELF SCORING WORKSHEET

The Offeror shall submit Attachments J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders). No other format or additional proposal documentation shall be considered.

The Offeror shall not alter Attachments J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders). The Offeror shall follow all instructions in Attachments J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders).

NOTE: Not submitting or altering Attachments J.5.1 (Self Scoring Worksheet Pool 1), J.5.2 (Self Scoring Worksheet Pool 2), J.12.1 (Relevant Experience Projects Supplemental Form Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form Pool 2-Collection of Task Orders) may result in the proposal being rejected as being non-conforming and determined non-responsive.

The Offeror shall fill out Relevant Experience Projects 1-6 in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders). The responses in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) shall mirror exactly what is stated in the CPARS/PPIRS Report(s), Attachment J.6 (Past Performance Substitute Form), FPDS-NG Report(s), and all verifiable

contractual documents. Section L.5.2 prescribes which documents substantiate each scoring element as well as the order of precedence, when applicable.

NOTE: If submitting one “collection of task orders”, the Offeror shall only fill out Relevant Experience Projects 1-5 in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1) and/or J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2) and shall fill out Attachment(s) J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) in lieu of Relevant Experience Project 6. If submitting two “collection of task orders”, the Offeror shall only fill out Relevant Experience Projects 1-4 in Attachment(s) J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1) and/or J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2) and shall fill out two Attachment(s) J.12.3 (Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders) in lieu of Relevant Experience Projects 5 and 6.

The accreditations will be entered into the tab labeled “Company Accreditations” of Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2).

Each Relevant Experience Project or evaluation criteria is scored a maximum of one time. See Attachment J.5.3 (Self Scoring Worksheet SB {Sample}) as an example of how to fill out the Offeror’s Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2) properly.

In the event the Government discovers misleading, falsified, and/or fraudulent Relevant Experience Project information, documents, statements, and/or claimed points in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1), J.5.2 (Self Scoring Worksheet SB Pool 2), J.12.1 (Relevant Experience Projects Supplemental Form SB Pool 1), J.12.2 (Relevant Experience Projects Supplemental Form SB Pool 2), J.12.3(Relevant Experience Projects Supplemental Form SB Pool 1-Collection of Task Orders), and/or J.12.4 (Relevant Experience Projects Supplemental Form SB Pool 2-Collection of Task Orders), the Offeror shall be eliminated from further consideration for award and may be subject to civil or criminal prosecution under [Section 1001 of Title 18 of the United States Code](#).

L.5.2.4 SCORING FOR THE RELEVANT EXPERIENCE PROJECTS

For each scored element, the Offeror shall select the appropriate response and Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2) will total the Offeror’s self score. The Contracting Officer reserves the right to change the Offeror’s determination. The Contracting Officer will make all corrections to the Offeror’s self score as they are identified in the evaluation process.

For each Relevant Experience Project submitted per Pool in accordance with Section L.5.2, the Offeror will be rated more favorably for Sections L.5.2.4.1 through L.5.2.4.6 (see Section M.6 for point allocation).

NOTE: A “collection of task orders” is eligible for the same allotment of points for each scoring element as a Relevant Experience Project tied to a single contract, task order or purchase order. Each scoring element for the “collection of task orders” will be evaluated as the aggregate of all task orders in the collection unless otherwise noted.

L.5.2.4.1 RELEVANT EXPERIENCE PROJECTS FOR FEDERAL CUSTOMERS

For each Relevant Experience Project submitted, the Offeror will receive points if the Relevant Experience Project was performed for a Federal agency that awarded the contract, task order or purchase order in full accordance with the FAR. A Relevant Experience Project is only considered a Federal government Relevant Experience Project if the Offeror was the Prime Contractor or a First-Tier Subcontractor to a Prime Contractor under a Federal government contract, task order or purchase order.

NOTE #1: The Offeror shall substantiate all the information by providing enough evidence within a verifiable contractual document to support each response.

NOTE #2: If submitting a “collection of task orders”, the master contract or BPA shall have been awarded to the Offeror as either the Prime Contractor or First-Tier Subcontractor by a Federal agency.

NOTE #3: If the Offeror’s Relevant Experience Project was performed under an existing or previous CTA and submitted in accordance with Section L.5.1.9.2, the CTA was the Prime Contractor or a First-Tier Subcontractor to a Prime Contractor under a Federal government contract, task order or purchase order.

L.5.2.4.2 RELEVANT EXPERIENCE PROJECTS WITH MULTIPLE KSAS

For each Relevant Experience Project submitted, the Offeror will receive points if the Relevant Experience Project involves the performance and/or integration of two out of the three KSAs; or, if the Relevant Experience Project involves the performance and/or integration of all three KSAs.

In addition, for each Pool applied for the Offeror will receive additional points if the cumulative of all Relevant Experience Projects involves the performance and/or integration of two out of the three KSAs; or, if the Relevant Experience Project involves the performance and/or integration of all three KSAs.

NOTE#1: The Offeror shall substantiate all the information by providing enough evidence within a verifiable contractual document to support each response.

NOTE #2: If submitting a “collection of task orders”, the aggregate KSAs of all task orders shall involve the performance and/or integration of at least two out of the three KSAs to receive points.

L.5.2.4.3 RELEVANT EXPERIENCE PROJECT VALUE

For each Relevant Experience Project submitted, the Offeror will receive points if the annual value meets or exceeds the following:

1. Relevant Experience Project’s value is equal to or greater than \$100,000.00 on an annual basis, but less than \$300,000.00 on an annual basis, including options.
2. Relevant Experience Project’s value is equal to or greater than \$300,000.00 on an annual basis, but less than \$500,000.00 on an annual basis, including options.
3. Relevant Experience Project’s value is equal to or greater than \$500,000.00 on an annual basis, but less than \$700,000.00 on an annual basis, including options.
4. Relevant Experience Project’s value is equal to or greater than \$700,000.00 on an annual basis, but less than \$900,000.00 on an annual basis, including options.
5. Relevant Experience Project’s value is equal to or greater than \$900,000.00 on an annual basis, including options.

NOTE #1: Annual project value for completed projects is determined as follows: total obligated dollars divided by the total number of months of performance multiplied by 12. Annual project value for ongoing projects is determined as follows: total estimated value (inclusive of all option periods) divided by the total number of months of performance (inclusive of all option periods) multiplied by 12.

NOTE #2: The Offeror shall substantiate all the information by providing enough evidence within a verifiable contractual document to support each response.

NOTE #3: If submitting a “collection of task orders”, the annual project value of the “collection of task orders” is calculated using the same methodology as prescribed in Note #1, with the total obligated dollars determined by the aggregate of all task orders and the total number of months determined by the total number of months that span the award date of the earliest task order to the completion date of the last successor task order or solicitation closing date, if ongoing, excluding all gaps in service. The aggregate value shall equal to or be greater than \$100,000.00 to receive points.

L.5.2.4.4 RELEVANT EXPERIENCE PROJECT PERIOD OF PERFORMANCE

For each Relevant Experience Project submitted, the Offeror will receive additional points if the period of performance meets or exceeds the following:

1. Relevant Experience Project's period of performance is equal to or greater than 13 months, but less than 37 months, including exercised options.
2. Relevant Experience Project's period of performance is equal to or greater than 37 months, but less than 61 months, including exercised options.
3. Relevant Experience Project's period of performance is equal to or greater than 61 months, including exercised options.

NOTE #1: The period of performance is defined as the total duration of a contract, task order, or purchase order from the award date to the completion date. Offerors may take credit for the entire period of performance, regardless of when the contract, task order or purchase order was awarded, so long as any portion of performance was provided within the past five years prior to the solicitation closing date, including options. Options that have not been exercised by the Contracting Officer and any period of performance beyond the closing date of this solicitation shall not count towards the Relevant Experience Project's period of performance.

NOTE #2: The Offeror shall substantiate all the information by providing enough evidence within a verifiable contractual document to support each response.

NOTE #3: If submitting a "collection of task orders", the period of performance is the number of months between the award date of the earliest task order to the completion date of the last successor task order, or solicitation closing date, if ongoing, excluding all gaps in service. The aggregate period of performance shall be equal to or be greater than 13 months to receive points.

L.5.2.4.5 RELEVANT EXPERIENCE PROJECT WITH SUBCONTRACTING/TEAMING

For each Relevant Experience Project submitted, the Offeror will receive points if the Relevant Experience Project involves Subcontracting/Teaming for services with at least three separate and distinct entities, excluding the Offeror, wherein the Offeror and the separate and distinct entity have a contract or agreement establishing what service(s) the separate and distinct entity will provide the Offeror or on behalf of the Offeror in accordance with the particular contract, task order, or purchase order.. It does not include supplier agreements with vendors, such as long-term arrangements for materials or supplies that benefit multiple contracts and/or the costs of which are normally applied to general and administrative expenses or indirect costs.

NOTE #1: The Offeror shall substantiate all the information by providing enough evidence within a verifiable contractual document to support each response. Furthermore, the Offeror shall provide the contract or agreement between itself and each separate and distinct entity that it is claiming credit for that establishes what service(s) the separate and distinct entity will provide

the Offeror or on behalf of the Offeror in accordance with the particular contract, task order, or purchase order.

NOTE #2: If submitting a “collection of task orders”, a minimum of one task order needs to involve Subcontracting/Teaming for services with at least three separate and distinct entities to receive points.

NOTE #3: If submitting a Relevant Experience Project performed as a First-Tier Subcontractor, the Offeror will receive points if it either directly subcontracted or partnered with at least three separate and distinct entities. Subcontractors working directly under the Prime Contractor or another First-Tier Subcontractor shall not be counted as Subcontracting for the Offeror. If the Offeror is a First-Tier Subcontractor under a CTA, the members of the CTA do not count as Teaming for the Offeror.

NOTE #4: If submitting a Relevant Experience Project performed as a member of an existing or previous CTA, the Offeror will receive points if their CTA contains at least three separate and distinct entities. The Offeror will also receive points if they directly subcontract to at least three separate and distinct entities. The Offeror may combine the number of partners and subcontractors to meet Subcontracting/Teaming for services with at least three separate and distinct entities. Subcontractors that are directly working under another CTA member shall not count as the Offeror’s subcontractors.

L.5.2.4.6 RELEVANT EXPERIENCE PROJECT WITH COST-REIMBURSEMENT (ANY TYPE)

For a maximum of two Relevant Experience Project submitted per Pool, the Offeror will receive points if the Relevant Experience Project(s) are inclusive of any percentage of cost-reimbursement, including any of the cost-reimbursement types prescribed in *FAR Subpart 16.3*.

NOTE #1: Relevant Experience Projects with travel and cost related to travel awarded on a cost reimbursable basis shall not be considered as being inclusive of cost-reimbursement.

NOTE #2: The Offeror shall substantiate all the information by providing enough evidence within a verifiable contractual document to support each response.

NOTE #3: If submitting a “collection of task orders”, a minimum of one task order shall be inclusive of any percentage of cost-reimbursement, including any of the cost-reimbursement types prescribed in *FAR Subpart 16.3*, and be in accordance with Note #1 to receive points.

L.5.3 VOLUME III (PAST PERFORMANCE FOR RELEVANT EXPERIENCE PROJECTS)

For each Relevant Experience Project submitted, Offerors are strongly advised that in the case past performance information was not entered into the Contractor Performance Assessment Reporting System (CPARS) or the Past Performance Information Retrieval System (PPIRS) Report is no longer available; or, in the case of Relevant Experience Project(s) without a record of past performance, the Offeror will not be evaluated favorably or unfavorably on past performance.

Only in the event CPARS/PPIRS information is not available will an Offeror be allowed to submit an Attachment J.6 (Past Performance Substitute Form) in accordance with Section L.5.3.2. If interim or final ratings in CPARS/PPIRS is available for any given Relevant Experience Project, it shall be used for the past performance evaluation instead of Attachment J.6 (Past Performance Substitute Form).

In the event the Government discovers misleading, falsified, and/or fraudulent past performance ratings, documents, statements, and/or claimed points in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2), the Offeror shall be eliminated from further consideration for award and may be subject to civil or criminal prosecution under [*Section 1001 of Title 18 of the United States Code*](#).

L.5.3.1 PAST PERFORMANCE (PPIRS INFORMATION EXISTS)

For past performance examples where CPARS/PPIRS information exists, the Offeror shall receive points for the past performance examples as prescribed in Section M.5.2.1. For any of the Relevant Experience Projects submitted under Section L.5.2, if the Government has interim or final ratings in CPARS/PPIRS the Offeror shall provide a copy of this rating with its proposal. The Government will retrieve past performance information from the PPIRS database that links to CPARS in order to validate the Offeror's submission. For the purposes of this solicitation, the final past performance information shall be used on all Federal government Relevant Experience Projects. If a final rating is not available, the most current past performance information shall be used. Offerors are responsible for verifying whether past performance ratings exist in the CPARS/PPIRS database prior to using Attachment J.6 (Past Performance Substitute Form).

NOTE#1: If a Relevant Experience Project(s) submitted under Section L.5.2 had its information removed from the PPIRS database because it had been at least three years since the completion of the Relevant Experience Project, the Offeror may submit the final non-pending CPARS form that has been approved by the Assessing Official.

NOTE #2: If submitting a "collection of task orders", the Offeror shall submit the most current CPARS/PPIRS Report for each task order, if available.

L.5.3.2 PAST PERFORMANCE (PPIRS INFORMATION DOES NOT EXIST)

For past performance examples where CPARS/PPIRS information does not exist, the Offeror shall receive points for the past performance examples as prescribed in Section M.5.2.2. For any

of the Relevant Experience Projects submitted under Section L.5.2, if the Government has not completed past performance ratings in the PPIRS database that links to CPARS, or the Relevant Experience Project(s) is considered a Non-Federal government project, the Offeror shall submit an Attachment J.6 (Past Performance Substitute Form) using the template in Attachment J.6 (Past Performance Substitute Form). No other format or additional proposal documentation shall be considered.

NOTE #1: The Offeror shall only submit one Attachment J.6 (Past Performance Substitute Form) per Relevant Experience Project with the exception of a “collection of task orders”, see Note #2 below. Attachment J.6 shall be completed and signed by a current employee of the organization who has direct knowledge of the contract, task order or purchase order and who is authorized to provide the rating.

NOTE #2: If submitting a “collection of task orders”, and no CPARS/PPIRS Reports exist for any task order, in order for the Offeror to receive a past performance rating the Offeror shall submit at least one Attachment J.6 (Past Performance Substitute Form). One Attachment J.6 (Past Performance Substitute Form) may be used for all or any combination of the task orders in the “collection of task orders.” Attachment J.6 (Past Performance Substitute Form) shall not be submitted if a CPARS/PPIRS Report exists for any of the task orders. If a CPARS/PPIRS Report exists for any task order(s) it shall not be included on Attachment J.6 (Past Performance Substitute Form).

When using Attachment J.6 (Past Performance Substitute Form), the Offeror shall provide the form directly to each of its references, as applicable, and instruct each Assessing Official to send a completed form directly back to the Offeror.

For US Federal contracts, task orders or purchase orders, the Assessing Official shall have been a Federal government employee at time of contract, task order or purchase order performance. If the Contractor performed work on the contract, task order or purchase order as a First-Tier Subcontractor, the Assessing Official shall have been an individual of the Prime Contractor who has direct knowledge and is authorized to provide the rating. The individual who fills out Attachment J.6 (Past Performance Substitute Form) will be certifying that he holds direct knowledge of this Relevant Experience Project and represents the Project Owner. If the Offeror is a member of an existing or previous CTA and claiming experience in accordance with Section L.5.1.9.2, Attachment J.6 (Past Performance Substitute Form) shall be completed by an individual who has direct knowledge of the contract, task order or purchase order and the Offeror’s individual performance.

In the event the Government discovers misleading, falsified, and/or fraudulent information on Attachment J.6 (Past Performance Substitute Form), the Offeror shall be eliminated from further consideration for award. Falsification of any information or providing misleading or fraudulent information on Attachment J.6 (Past Performance Substitute Form) may subject the Offeror to civil or criminal prosecution under [Section 1001 of Title 18 of the United States Code](#).

The Offeror shall follow up with each Assessing Official to ensure Attachment J.6 (Past Performance Substitute Form) was received and completed prior to the solicitation closing date.

The Offeror shall submit every Attachment J.6 (Past Performance Substitute Form), as applicable, with its proposal submission.

L.5.3.3 RESERVED

L.5.3.4 PAST PERFORMANCE RATING

For each Relevant Experience Project submitted under Section L.5.2, the Offeror will receive points, as prescribed in Section M.6, if the Relevant Experience Project's past performance average rating meets or exceeds the following:

1. Relevant Experience Project's average rating is equal to or greater than 3.00, but less than 3.50.
2. Relevant Experience Project's average rating is equal to or greater than 3.50, but less than 3.75.
3. Relevant Experience Project's average rating is equal to or greater than 3.75, but less than 4.00.
4. Relevant Experience Project's average rating is equal to or greater than 4.00, but less than 4.25.
5. Relevant Experience Project's average rating is equal to or greater than 4.25, but less than 4.50.
6. Relevant Experience Project's average rating is equal to or greater than 4.50, but less than 4.75.
7. Relevant Experience Project's average rating is equal to or greater than 4.75.

L.5.4 VOLUME IV (ACCREDITATIONS)

The following accreditations are not minimum or mandatory requirements; however, Offerors who have them in place will be considered more favorably (see Section M.6).

In the event the Government discovers misleading, falsified, and/or fraudulent accreditation information, documents, statements, and/or claimed points in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2), the Offeror shall be eliminated from further consideration for award and may be subject to civil or criminal prosecution under [*Section 1001 of Title 18 of the United States Code*](#).

L.5.4.1 ACCEPTABLE ESTIMATING SYSTEM

If claiming credit for this scoring element, the Offeror shall provide verification from Defense Contract Management Agency (DCMA), or other CFA other than DCMA, of an estimating system that has been determined acceptable for budgeting and planning controls, and generating estimates of costs and other data included in proposals submitted to customers in the expectation of receiving contract awards.

Verification requirements include a copy of the Offeror's current official audit report, if available and/or official letterhead from DCMA or other CFA verifying the acceptability of the estimating system.

The Offeror shall provide POC information that includes the name, address, phone number, and email of the representative at DCMA or other CFA that determined acceptability.

The Offeror shall make reference to the page number and paragraph of the audit report or letter that verifies the adequacy of the estimating system.

NOTE: An acceptable estimating system is considered valid as per the certification, or was issued within the last three years of solicitation closing as prescribed in Section L.3.4., whichever is later.

L.5.4.2 APPROVED PURCHASING SYSTEM

If claiming credit for this scoring element, the Offeror shall provide verification from DCMA, or CFA other than DCMA, of an approved purchasing system for compliance in the efficiency and effectiveness with which the Contractor spends Government funds and compliance with Government policy when subcontracting.

Verification requirements include a copy of the Offeror's current official Contractor Purchasing System Review (CPSR) report, if available, and/or official letterhead from DCMA or other CFA verifying the acceptability of the purchasing system.

The Offeror shall provide POC information that includes the name, address, phone number, and email of the representative at DCMA or other CFA that determined acceptability.

The Offeror shall make reference to the page number and paragraph of the CPSR report or letter that determined the acceptability of the purchasing system.

NOTE: An approved purchasing system is considered valid as per the certification, or was issued within the last three years of solicitation closing as prescribed in Section L.3.4., whichever is later.

L.5.4.3 FORWARD PRICING RATE AGREEMENTS (FPRA), FORWARD PRICING RATE RECOMMENDATIONS (FPRR), OR AUDITED BILLING RATES

If claiming credit for this scoring element, the Offeror shall provide verification from DCAA, DCMA, or any CFA other than DCAA or DCMA, of Forward Pricing Rate Agreements (FPRA), Forward Pricing Rate Recommendations (FPRR), and/or audited billing rates that have been determined acceptable (e.g., audit report, CFA Contracting Officer's determination) for generating estimates of costs and other data included in proposals submitted to customers.

Verification requirements include a copy of the Offeror's current official FPRA, FPRR, audited billing rates, audit report and audit report number from DCAA, DCMA, or other CFA Contracting Officer's determination identifying the rates in the FPRA, FPRR, and/or audited billing rates.

The Offeror shall provide POC information that includes the name, address, phone number, and email of the representative at its Cognizant DCAA, DCMA, or other CFA that determined acceptability.

The Offeror shall make reference to the page number and paragraph of the audit report or letter that sets forth the FPRA, FPRR, and/or audited billing rates.

NOTE #1: FPRAs, FPRRs, and audited billing rates is considered valid as per the certification, or was issued within the last three years of solicitation closing as prescribed in Section L.3.4., whichever is later.

NOTE #2: The Offeror shall only claim credit for either an FPRA, FPRR, or audited billing rates.

L.5.4.4 EARNED VALUE MANAGEMENT SYSTEM (EVMS)

If claiming credit for this scoring element, the Offeror shall provide verification of compliance with the EVMS ANSI/EIA Standard-748 as set forth in *GSAM Subpart [534.2](#)*.

Verification requirements for this accreditation include any of the following:

1. A copy of the Offeror's current EVMS ANSI/EIA Standard-748 certification as issued by an approved third party, or
2. Determination from DCMA, or any CFA other than DCMA, wherein the Offeror's EVMS system has been found to be compliance with the ANSI/EIA Standard-748 (i.e., such as through the execution of an Integrated Baseline Review (IBR) in accordance with *FAR Section [34.202](#)*), or
3. A copy of the Offeror's current official audit report from DCAA, or any CFA other than DCAA, as applicable, indicating compliance with the ANSI/EIA Standard-748.

If only part of an Offeror's organization has an EVMS that is compliant with ANSI/EIA Standard-748, the Offeror shall make the distinction between which business units or sites and geographic locations are compliant.

The Offeror shall provide POC information that includes the name, address, phone number, and email of the representative that verified compliance.

The Offeror shall make reference to the page number and paragraph of the audit report or letter that determined the acceptability of the EVMS ANSI/EIA Standard-748.

NOTE: An EVMS is considered valid as per the certification, or was issued within the last three years of solicitation closing as prescribed in Section L.3.4., whichever is later.

L.5.4.5 INTERNATIONAL ORGANIZATION OF STANDARDIZATION (ISO) 9001:2008 CERTIFICATION

If claiming credit for this scoring element, the Offeror shall provide verification of its ISO 9001:2008 Certification.

Verification requirements include a copy of the Offeror's current official audit report from an approved ISO 9001:2008 certifying body. If only part of a Contractor's organization is ISO 9001:2008 certified, the Offeror shall make the distinction between which business units or sites and geographic locations have been certified.

The Offeror shall provide POC information that includes the name of the certifying body and name, address, phone number, and email of the representative who provided the ISO-9001:2008 Certification.

The Offeror shall make reference to the page number and paragraph of the audit report or letter that determined the approval of the ISO 9001:2008 Certification.

NOTE #1: The ISO 9001:2008 Certification is considered valid if the certification is not expired as of solicitation closing as prescribed in Section L.3.4.

NOTE #2: In September 2015, ISO 9001:2015 will be released and will be accepted in place of ISO 9001:2008. ISO 9001:2008 will continue to be accepted after the release of ISO 9001:2015 as long as the certification is still valid.

L.5.4.6 INTERNATIONAL ORGANIZATION OF STANDARDIZATION/INTERNATIONAL ELECTROTECHNICAL COMMISSION (ISO/IEC) 27001:2005 OR 27001:2013 CERTIFICATION

If claiming credit for this scoring element, the Offeror shall provide verification of its ISO/IEC 27001:2005 or 27001:2013 Certification.

Verification requirements include a copy of the Offeror's current official audit report from an approved ISO/IEC 27001:2005 or 27001:2013 certifying body. If only part of a Contractor's organization is ISO/IEC 27001:2005 or 27001:2013 certified, the Offeror shall make the distinction between which business units or sites and geographic locations have been certified.

The Offeror shall provide POC information that includes the name of the certifying body and name, address, phone number, and email of the representative who provided the ISO/IEC 27001:2005 or 27001:2013 Certification.

The Offeror shall make reference to the page number and paragraph of the audit report or letter that determined the approval of the ISO/IEC 27001:2005 or 27001:2013 Certification.

NOTE: The ISO/IEC 27001:2005 or 27001:2013 Certification is considered valid if the certification is not expired as of solicitation closing as prescribed in Section L.3.4.

L.5.5 VOLUME V (PRICE)

All pricing information shall be addressed only in the Price Proposal Volume. For price proposals, Offerors shall use the Microsoft Excel Spreadsheet in Attachment J.7 (Price Worksheet), which consists of 16 years of Government Site rates (Tab "Government Site Labor Rates") and 16 years of Contractor Site rates (Tab "Contractor Site Labor Rates").

NOTE: Failure to provide and complete Attachment J.7 (Price Worksheet) may result in the proposal being rejected as being non-conforming. Additionally, altering Attachment J.7 (Price Worksheet) in any way may result in the proposal being rejected as being non-conforming.

The Offeror shall propose fully burdened ceiling rates for sole-source T&M/L-H task orders for every HCaTS labor category at each level (Junior, Journeyman, Senior, and Subject Matter Expert {SME}) as set forth in Attachment J.1 (Standardized Labor Categories), by inputting the fully burdened ceiling labor rates into Column D in Attachment J.7 (Price Worksheet). These fully burdened ceiling rates are to be based upon the highest qualified employee within a given labor category or group, working in the highest paid area within CONUS, on a highly complex requirement, excluding Secret/Top Secret/SCI.

NOTE: For Government site rates, the Offeror shall base its fully burdened labor rates on the highest paid area within CONUS of its choosing. For Contractor site rates, the Offeror shall base its fully burdened labor rates on the highest paid area that it currently has a location within CONUS.

Attachment J.7 (Price Worksheet) will populate the fully burdened ceiling rates for Year 1 of the contract with a Start Date of January 1st, 2016. Fully burdened ceiling rates for Years 2 through 16 (which includes Years 2 through 5 of the initial contract base period, one five-year contract option period, one six-month contract option period, and an additional five and a half years of

task order performance under HCaTS SB, when applicable) will automatically be calculated for each labor category by an escalation factor embedded in the spreadsheet. Offerors shall not change the escalation factor in the spreadsheet. This escalation factor is determined by the Bureau of Labor Statistics (BLS) Employment Cost Index (ECI) and is based on the average annual BLS ECI for the previous three years from the date the solicitation is issued. The current BLS ECI 3-year average is 2.06%.

Keep in mind, in accordance with Section B.2.5, HCaTS SB will only establish fully burdened ceiling rates for T&M/L-H task orders/CLINs placed on a sole-source basis or when adequate price competition is not anticipated; therefore, the proposed fully burdened ceiling rates do not apply to fixed-price, cost-reimbursement, or T&M/L-H task orders when adequate price competition is anticipated.

NOTE: When establishing fully burdened ceiling rates, Offerors shall consider the risk under a T&M/L-H type task order.

Competition at the task order level will establish fair and reasonable pricing for task orders placed under HCaTS SB for all contact types. For those relatively rare instances when competition does not exist for T&M/L-H task orders, these fully burdened ceiling rates will be available for the OCO to consider and use. The OCO has the flexibility to exceed these fully burdened ceiling rates, but are cautioned only to do so when justified, such as for requirements requiring special security clearance or OCONUS related work.

L.5.5.1 DIRECT LABOR RATES

Attachment J.8 (Direct Labor Rate Ranges) is provided as a reference when establishing fully burdened ceiling rates for each HCaTS SB labor category. Attachment J.8 (Direct Labor Rate Ranges) contains direct labor rate ranges found acceptable by the Government for all HCaTS SB labor categories. Direct labor rates are labor rates that are not burdened with indirect rates such as fringe benefits, overhead, general and administrative expenses, and/or profit.

(END OF SECTION L)
PART IV: REPRESENTATIONS AND INSTRUCTIONS
SECTION M: EVALUATION FACTORS FOR AWARD

M.1 FAR 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998)

This solicitation incorporates one or more Federal Acquisition Regulation (FAR) provisions by reference, with the same force and effect as if they were given in full text. Upon request, the HCaTS SB CO will make their full text available. In lieu of submitting the full text of those provisions, the Offeror may identify the provision by paragraph identifier and provide the appropriate information with its proposal. Also, the full text of a solicitation provision may be accessed electronically at this address: <https://www.acquisition.gov/>.

FAR	TITLE	DATE
52.217-5	Evaluation of Options	JULY 1990

M.2 BASIS FOR AWARDS

The source selection process on HCaTS SB will neither be based on tradeoffs, as prescribed in *FAR Subsection 15.101-1*, nor Lowest Price Technically Acceptable (LPTA), as prescribed in *FAR Subsection 15.101-2*. Within the best value continuum, *FAR Section 15.101* defines best value as using any one or a combination of source selection approaches. For HCaTS SB, the best value basis for awards will be determined by the Highest Technically Rated with Fair and Reasonable Prices (HTRFRP).

The HTRFRP approach will best achieve the objective of awarding contracts to Offerors of varying core expertise in a variety of Key Service Areas (KSAs) with qualities that are most important to the Government, such as relevant experience, past performance, and accreditations.

HCaTS SB will consist of two MA-IDIQ task order contracts, referred to as Pools (see Section L.2.3). 40 awards are anticipated in Pool 1 and 40 awards are anticipated in Pool 2. In the event of a tie at the 40th position (as applicable), all Offerors tied will receive an award in the respective Pool.

The Government intends to strictly enforce all of the proposal submission requirements outlined in Section L. Failure to comply with these requirements may result in an Offeror's proposal being rejected as being non-conforming to solicitation requirements.

The Government intends to award contracts without discussions. Initial proposals shall contain the best offer. The Government may conduct clarifications, as prescribed in *FAR Paragraph 15.306(a)*. The Government reserves the right to conduct discussions, if determined necessary, as prescribed in *FAR Paragraph 15.306(d)*.

M.3 SCREENING AND EVALUATION PROCESS

M.3.1 IDENTIFYING THE TOP 40 PROPOSALS

The Government will identify for both Pools the Top 40 proposals plus all tied at the 40th position by an Offeror's self score as indicated in Attachment(s) J.5.1 (Self Scoring Worksheet SB Pool 1) and/or J.5.2 (Self Scoring Worksheet SB Pool 2) and its socio-economic subgroup. In addition to awarding contracts to Offerors within the Top 40 and all tied at the 40th position for each Pool, the Government intends to award three contracts in each of the following socio-economic subgroups: HUBZone Small Businesses (HUBZone SB), Service-Disabled Veteran-Owned Small Businesses (SDVOSB), Woman-Owned Small Businesses (WOSB), Economically Disadvantaged Women-Owned Small Businesses (EDWOSB), and 8(a) Small Businesses (8(a) SB). The Government will evaluate proposals for the Top 40 plus all tied at the 40th position and those included based upon their socio-economic subgroup.

NOTE: Hereafter, reference to "Top 40" is applicable to both Pools and encompasses all tied at the 40th position as well as those added for consideration for award based on their socio-economic subgroup.

The Government will examine the Top 40 to determine how many of those Offerors certify as being HUBZone, SDVOSB, WOSB, EDWOSB, and/or 8(a) SB. Offerors certifying as a HubZone SB, SDVOSB, WOSB, EDWOSB, and 8(a) SB shall be in accordance with Section L.5.1.6. An Offeror that certifies in multiple socio-economic subgroups will be counted as an award for each of the socio-economic subgroups that it certifies under.

If at least three Offerors are present for each socio-economic subgroup, no additional proposals will be added to the Top 40. In the event that any particular socio-economic subgroup does not have at least three Offerors in a given Pool's Top 40, and there are Offerors within the socio-economic subgroup that have submitted a proposal, the Government will add the Offeror with the next highest self score within the above mentioned socio-economic subgroup until each socio-economic subgroup is represented in each Pool.

In the event that a given socio-economic subgroup does not have three Offerors, no additional awards shall be made for this socio-economic subgroup and set-asides to this socio-economic subgroup at the task order level would be prohibited if the total number of resultant awards is less than two in accordance with Section H.3.1. This prohibition may be lifted in the future, however, if at least two representatives of a socio-economic subgroup become present through the use of On-Ramping (see Section H.24).

For example, based on self scores, the Top 40 proposals in Pool 1 without any ties at the 40th position consist of the following:

- HUBZone SB: 2 representatives in the Top 40; 2 total representatives that have met the minimum requirements of the solicitation, and
- SDVOSB: 6 representatives in the Top 40; 12 total representatives that have met the minimum requirements of the solicitation, and

- WOSB: 8 representatives in the Top 40; 14 total representatives that have met the minimum requirements of the solicitation, and
- 8(a) SB: 2 representatives in the Top 40; 8 total representatives that have met the minimum requirements of the solicitation, and
- EDWOSB: 0 representatives in the Top 40; 2 total representatives that have met the minimum requirements of the solicitation.

In this example, no further proposals shall initially be added for consideration of award for SDVOSB and WOSB because the Top 40 includes at least three qualifying proposals from each subgroup. For the HUBZone SB subgroup, no additional proposals are available to be added to the Top 40 as no other HUBZone SB qualified proposals were received. The next highest self scored Offeror with an 8(a) SB designation would be added to the Top 40 and considered for contract award to equal three potential awards within this subgroup. Finally, the two EDWOSB qualified proposals would be considered for award. The net result of this scenario would be 43 proposals to be considered for award in Pool 1 (i.e. initial Top 40 proposals plus the next highest scored 8(a) SB proposal and the two qualified EDWOSB proposals).

M.3.2 SCREENING AND EVALUATION PROCESS

Any Offeror in the Top 40 who fails any of the evaluation criteria listed in Sections M.4 and M.5, or whose self score is reduced below the Top 40 after Government validation, will be removed from consideration for award and notified, in writing, as soon as practicable. The Offeror with the next highest self score shall be added in the eliminated Offeror's place into the Top 40.

If an Offeror that was added to the Top 40 based on its socio-economic subgroup certification and is subsequently removed from consideration for award and its removal brings the total representation in that socio-economic subgroup for consideration for award below three, the Offeror in that same socio-economic subgroup with the next highest self score will be added for consideration of award. However, if the same Offeror was within the Top 40 without being added to it based on its socio-economic subgroup certification, they would be replaced by the Offeror with the next highest self score and the Offeror in that same socio-economic subgroup with the next highest self score, provided that the Offeror in the same socio-economic subgroup does not also have the next highest self score overall.

The Government will perform an initial screening process on the Top 40 by verifying that all documents listed in Attachment J.2 (Proposal Checklist) were included on the Offeror's proposal. The Government will also identify if a minimum requirement was not met due to the Offeror not identifying it in Attachment J.2 (Proposal Checklist). If the Offeror represents it has submitted a document on Attachment J.2 (Proposal Checklist), but the Government cannot locate it on the Offeror's proposal DVD+Rs the Government may seek clarifications to resolve the discrepancy. However, Offerors are advised that if a required document is not identified as

included on the Offeror's Attachment J.2 (Proposal Checklist), this shall constitute a material omission and the Offeror will receive no further consideration for award.

After the initial screening process, the Government will validate the Top 40's self scores and the minimum requirements as prescribed in Sections M.4 and M.5. If the Government is unable to make a determination validating a scored element or minimum requirement, the Contracting Officer may allow the Offeror to respond to clarifying questions in an effort to validate minimum requirements and scored elements are satisfied. The Offeror will not be allowed to resubmit or revise any document(s) related to the Relevant Experience Projects or any scored elements to cure proposal deficiencies or material omissions, materially alter scored elements of the proposal, and/or otherwise revise scored elements of the proposal. If the Government enters into discussions, each Offeror in the Top 40 will receive the same opportunity to address the Government's request(s) to be eligible for contract award. If the Government is able to validate a scored element or minimum requirement, the Offeror's score will remain unchanged. However, if the Government is unable to validate a scored element or minimum requirement, the score will be reduced in the case of that scored element, and if it is determined that a minimum requirement is not met the Offeror's proposal will no longer be considered for award.

Once the Top 40 have been evaluated and validated, the Government will then check to verify that these Offerors have proposed fair and reasonable pricing. Offerors in the Top 40 that have labor rates that cannot be determined to be fair and reasonable, may be given the opportunity to propose new labor rates or justify the identified out of range labor rates. This will be restricted to the labor rates that the Contracting Officer identified as not fair and reasonable. If the Government conducts exchanges during the fair and reasonable pricing determination, each Offeror in the Top 40 will receive the same opportunity to address the Government's request(s) to be eligible for contract award. In the event that an Offeror has not provided fair and reasonable pricing, the Offeror shall be eliminated from further consideration for award. The evaluation process shall continue this cycle until the Top 40 apparent successful Offerors are identified that represent the highest technically rated proposals (based on scores) with fair and reasonable prices. Once this has been accomplished, evaluations will cease and contract awards will be issued.

NOTE: As a means to facilitate expeditious evaluations and permit timely awards, the HCaTS SB CO, at his sole discretion, may initiate evaluation of (and conduct exchanges with) the Offeror(s) with the next highest self score while a small business(es) within the Top 40 awaits the status of issuance of a Certificate of Competency (CoC). Offerors are advised that these additional Offeror(s) outside the Top 40 shall not be considered to be in line for award unless the CoC request is denied, thus removing the original small business(es) from award consideration, and the Top 40 is adjusted to include the next highest self scored Offeror(s).

In the event the evaluation team discovers misleading, falsified, or fraudulent proposal information or supporting documentation, the Offeror shall be eliminated from further consideration for award and may be subject to civil or criminal prosecution under [Section 1001](#)

of Title 18 of the United States Code.

M.4 MINIMUM REQUIREMENTS REVIEW

Offerors that initially pass all the evaluation criteria in the minimum requirement review will be further evaluated in accordance with Section M.5, including the evaluation for fair and reasonable pricing in accordance with Section M.7.

Offerors who fail to meet any of the evaluation criteria listed in the minimum requirements review shall be removed from consideration for award and notified, in writing, as soon as practicable.

M.4.1 ELIGIBILITY AND RESPONSIBILITY

The Offeror's SF 33, Attachment J.2 (Proposal Checklist), Professional Employee Compensation Plan, Uncompensated Overtime Policy, Small Business Certification, Meaningful Relationship Commitment Letters (if applicable), and Existing Joint Venture/Partnership (if applicable), GSA Form 527s and Financial Statements, SAM website entity record, Section K, Insurance, Contractor Key Personnel Commitment Letters, reports in the Federal Awardee Performance and Integrity Information System (FAPIIS) and Attachment J.4 (Pool Application and Certification) will be evaluated on a pass/fail basis.

The Offeror's subcontracting plan, if applicable, shall be evaluated in accordance with *GSAR 552.219-72*. Offeror's with an approved Comprehensive Subcontracting Plan are considered acceptable. See Section L.5.1.7.

The Offeror's professional employee compensation plan is subject to FAR *52.222-46*. See Section L.5.1.3.

The Offeror's uncompensated overtime policy is subject to FAR *52.237-10*. See Section L.5.1.4.

The overall responsibility determination as prescribed in *FAR Subsection 9.104-1* shall be evaluated on a pass/fail basis. In accordance with *FAR Section 9.103*, Offerors that are determined nonresponsible shall not be considered for award.

In accordance with Section L.5.1.10 the Government shall, when making the overall determination of responsibility, consider information in the Federal Awardee Performance and Integrity Information System (FAPIIS), the Systems for Award Management (SAM), the certification at *FAR 52.209-5* (see Section K), Section K in its entirety (see Section L.5.1.10.2), the Offeror's insurance (see Section L.5.1.10.3), the Offeror's qualification and financial information, as provided on GSA Form 527 (see Section L.5.1.10.1), and other pertinent data will be considered.

M.4.2 RELEVANT EXPERIENCE PROJECTS

The Offeror shall ensure all the requested proposal submission information is current, accurate, and complete in accordance with Section L.5.2.

Offerors that meet the Relevant Experience Project minimum requirements will be scored in accordance with Section M.6.

M.4.3 PAST PERFORMANCE FOR RELEVANT EXPERIENCE PROJECTS

The Offeror shall ensure all the requested proposal submission information is current, accurate, and complete in accordance with Section L.5.3.

Offerors that meet the minimum requirements for past performance for Relevant Experience Projects will be scored in accordance with Section M.6.

M.5 SCORING FOR RELEVANT EXPERIENCE PROJECTS, PAST PERFORMANCE, AND ACCREDITATIONS

Offerors that meet the minimum requirements review in accordance with Section M.4 will be evaluated for points in accordance with Section L.5 and Section M.6. The Government will validate all scored elements and will make deductions to the Offeror's self score for all elements that are not validated or validates as a lower point value. The Government will not increase an Offeror's self score.

M.5.1 SCORING FOR FOR RELEVANT EXPERIENCE PROJECTS

For each Relevant Experience Project submitted per Pool in accordance with Section L.5.2, the Offeror will be rated more favorably for Sections L.5.2.4.1 through L.5.2.4.6.

M.5.2 SCORING FOR PAST PERFORMANCE FOR RELEVANT EXPERIENCE PROJECTS

The Government will check for a CPARS/PPIRS Report for all Federal government Relevant Experience Projects. If a more current CPARS/PPIRS Report is found, the Offeror's Past Performance Rating will be adjusted using the most current CPARS/PPIRS Report. This may lead to the Offeror's self score being reduced, but shall not result in an increase.

M.5.2.1 PAST PERFORMANCE (PPIRS INFORMATION EXISTS)

For each Relevant Experience Project with an interim or final past performance evaluation in the Past Performance Information Retrieval System (PPIRS) that links to the Contractor Performance Assessment Reporting System (CPARS), the adjectival rating shall be assigned the

point value from the table below. In accordance with Section L.5.2.2, each rated Evaluation Area shall be factored into the final score. If any Evaluation Area was not assigned an adjectival rating, that Evaluation Area shall not be averaged into the final score.

POINT VALUE	ADJECTIVAL RATING	DEFINITION
5	Exceptional	Performance meets contractual requirements and exceeds many to the Government's benefit. The element being assessed was accomplished with few minor problems for which corrective actions taken by the Contractor were highly effective.
4	Very Good	Performance meets contractual requirements and exceeds some to the Government's benefit. The element being assessed was accomplished with some minor problems for which corrective actions taken by the Contractor were effective.
3	Satisfactory	Performance meets contractual requirements. The element being assessed contains some minor problems for which corrective actions taken by the Contractor appear or were satisfactory.
1	Marginal	Performance does not meet some contractual requirements. The element being assessed reflects a serious problem for which the Contractor has not yet identified corrective actions.
0	Unsatisfactory	Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The element being assessed contains a serious problem(s) for which the Contractor's corrective actions appear or were ineffective.

M.5.2.2 PAST PERFORMANCE (PPIRS INFORMATION DOES NOT EXIST)

For each Relevant Experience Project where no interim or final past performance evaluation in PPIRS that links to CPARS exists, the Attachment J.6 (Past Performance Substitute Form) shall be used and the adjectival rating shall be assigned the point value from the table below. If any Evaluation Area was not assigned an adjectival rating, that Evaluation Area shall not be averaged into the final score.

NOTE: In the event that the Government discovers a CPARS or PPIRS Report for the Relevant Experience Project, the CPARS/PPIRS Report will be evaluated in lieu of Attachment J.6 (Past Performance Substitute Form).

POINT VALUE	ADJECTIVAL RATING	DEFINITION
5	Exceptional	Performance meets contractual requirements and exceeds many to the Government's/Customer's benefit. The element being assessed was accomplished with few minor problems for which corrective actions taken by the Contractor were highly effective.
4	Very Good	Performance meets contractual requirements and exceeds some to the Government's/Customer's benefit. The element being assessed was accomplished with some minor problems for which corrective actions taken by the Contractor were effective.
3	Satisfactory	Performance meets contractual requirements. The element being

		assessed contains some minor problems for which corrective actions taken by the Contractor appear or were satisfactory.
1	Marginal	Performance does not meet some contractual requirements. The element being assessed reflects a serious problem for which the Contractor has not yet identified corrective actions.
0	Unsatisfactory	Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The element being assessed contains a serious problem(s) for which the Contractor's corrective actions appear or were ineffective.

If the combined average is at least 3.00, the Offeror will pass the minimum requirements review. If the combined average is 2.99 or below, the Offeror will fail the minimum requirements review and shall not be considered for award. Offerors that exceed the minimum past performance requirements will receive additional points in accordance with Section M.6.

M.5.2.3 PAST PERFORMANCE SCORING EXAMPLE

This section sets forth the calculation methodology that shall be utilized to determine how an Offeror's past performance ratings will be scored. Each past performance rating claimed in Attachment(s) J.5.1 (Self Scoring Worksheet Pool 1) and/or J.5.2 (Self Scoring Worksheet Pool 2) shall be derived by using the below calculation methodology. Below is an example of an Offeror's past performance ratings for its six Relevant Experience Projects and the total points allotted.

RELEVANT EXPERIENCE PROJECT 1: Past performance under Relevant Experience Project 1, an Offeror receives the following scores under the most current interim or final CPARS/PPIRS Report:

Very Good for Quality:	4
Satisfactory for Schedule:	3
No Rating for Cost Control:	N/A
Exceptional for Management:	5
No Rating for Utilization of Small Business:	N/A
Satisfactory for Regulatory Compliance:	3
Total Number of Points:	15

15 Points divided by the number of scored elements (4) = 3.75 for Project 1.

3.75 for Project 1 meets the minimum requirement and will receive 600 points.

RELEVANT EXPERIENCE PROJECT 2: Past performance under Relevant Experience Project 2, an Offeror receives the following scores under Attachment J.6 (Past Performance Substitute Form):

Very Good for Quality:	4
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Very Good for Schedule:	4
Satisfactory for Cost Control:	3
Exceptional for Management:	5
Marginal for Utilization of Small Business:	1
Satisfactory for Regulatory Compliance:	3
Total Number of Points:	20

20 Points divided by the number of scored elements (6) = 3.33 for Project 2.

3.33 for Project 2 meets the minimum requirement and will receive 200 points.

RELEVANT EXPERIENCE PROJECT 3: Past performance under Relevant Experience Project 3, an Offeror receives the following scores under a CPARS/PPIRS Report:

Exceptional for Quality:	5
No Rating for Schedule:	N/A
No Rating for Cost Control:	N/A
Exceptional for Management:	5
Marginal for Utilization of Small Business:	1
Exceptional for Regulatory Compliance:	5
Total Number of Points:	16

16 Points divided by the number of scored elements (4) = 4.00 for Relevant Experience Project 3.

4.0 for Project 3 meets the minimum requirement and will receive 1000 points.

RELEVANT EXPERIENCE PROJECT 4: Past performance under Relevant Experience Project 4, an Offeror receives the following scores under Attachment J.6 (Past Performance Substitute Form):

Exceptional for Quality:	5
Very Good for Schedule:	4
Very Good for Cost Control:	4
Exceptional for Management:	5
Very Good for Utilization of Small Business:	4
Exceptional for Regulatory Compliance:	5
Total Number of Points:	27

27 Points divided by the number of scored elements (6) = 4.50 for Relevant Experience Project 4.

4.50 for Relevant Experience Project 4 meets the minimum requirement and will receive

2000 Points.

RELEVANT EXPERIENCE PROJECT 5: Past performance under Relevant Experience Project 5, an Offeror receives the following scores under the most current interim or final CPARS/PPIRS Report:

Very Good for Quality:	4
Satisfactory for Schedule:	3
No Rating for Cost Control:	N/A
Exceptional for Management:	5
No Rating for Utilization of Small Business:	N/A
No Rating for Regulatory Compliance:	N/A
Total Number of Points:	12

12 Points divided by the number of scored elements (3) = 4.00 for Relevant Experience Project 5.

4.00 for Relevant Experience Project 5 meets the minimum requirement and will receive 1000 points.

RELEVANT EXPERIENCE PROJECT 6: Past performance under Relevant Experience Project 6, an Offeror receives the following scores under a combination of the most current interim or final PPIRS and Attachments J.6 (Past Performance Substitute Form).

Relevant Experience Project 6 is a “collection of task orders” under a Single-Award IDIQ. The Offeror submits six task orders under the Single-Award IDIQ. Two task orders have a PPIRS Report, two task orders have an Attachment J.6 (Past Performance Substitute Form) completed, and two task orders have neither a PPIRS Report nor an Attachment J.6 (Past Performance Substitute Form). The past performance rating is determined for the “collection of task orders” as an average of the total points for all task orders divided by the total number of scored elements of all task orders. The calculation of the past performance rating of a “collection of task orders” is as follows:

Task Order 1 (PPIRS Report):

Very Good for Quality:	4
Very Good for Schedule:	4
Very Good for Cost Control:	4
Very Good for Management:	4
No Rating for Utilization of Small Business:	N/A
Very Good for Regulatory Compliance:	4
Total Number of Points:	20

The Number of Scored Elements (5) for “Task Order 1”

Task Order 2 (No PPIRS Report or Attachment J.6 {Past Performance Substitute Form}):

No Rating for Quality:	N/A
No Rating for Schedule:	N/A
No Rating for Cost Control:	N/A
No Rating for Management:	N/A
No Rating for Utilization of Small Business:	N/A
No Rating for Regulatory Compliance:	N/A
Total Number of Points:	0

The Number of Scored Elements (0) for “Task Order 2”

Task Order 3 (Attachment J.6 {Past Performance Substitute Form}):

Exceptional for Quality:	5
Exceptional for Schedule:	5
Very Good for Cost Control:	4
Satisfactory for Management:	3
No Rating for Utilization of Small Business:	N/A
Very Good for Regulatory Compliance:	4
Total Number of Points:	21

The Number of Scored Elements (5) for “Task Order 3”

Task Order 4 (No PPIRS Report or Attachment J.6 {Past Performance Substitute Form}):

No Rating for Quality:	N/A
No Rating for Schedule:	N/A
No Rating for Cost Control:	N/A
No Rating for Management:	N/A
No Rating for Utilization of Small Business:	N/A
No Rating for Regulatory Compliance:	N/A
Total Number of Points:	0

The Number of Scored Elements (0) for “Task Order 4”

Task Order 5 (PPIRS Report):

Satisfactory for Quality:	3
Very Good for Schedule:	4
Satisfactory for Cost Control:	3
Satisfactory for Management:	3
No Rating for Utilization of Small Business:	N/A

Very Good for Regulatory Compliance:	4
Total Number of Points:	17

The Number of Scored Elements (5) for “Task Order 5”

Task Order 6 (Attachment J.6 {Past Performance Substitute Form}):

Exceptional for Quality:	5
Exceptional for Schedule:	5
Very Good for Cost Control:	4
Satisfactory for Management:	3
No Rating for Utilization of Small Business:	N/A
Very Good for Regulatory Compliance:	4
Total Number of Points:	21

The Number of Scored Elements (5) for “Task Order 6”

79 Points divided by the number of scored elements (20) = 3.95 for “Relevant Experience Project 6”

3.95 for Relevant Experience Project 6 meets the minimum requirement and will receive 600 points.

Grand Total Score for Projects 1 through 6 is:

Project 1: 600
Project 2: 200
Project 3: 1000
Project 4: 2000
Project 5: 1000
Project 6: 600

Grand Total: 5,400 Points for past performance rating Relevant Experience Projects

If the Government finds a more current CPARS/PPIRS Report than was submitted by the Offeror, the past performance rating will be determined based on this most current CPARS/PPIRS Report. Furthermore, in the case of an Offeror without a record of relevant past performance or for whom information on past performance is not available, the Offeror will not be evaluated favorably or unfavorably on past performance.

M.5.3 SCORING FOR ACCREDITATIONS

The Offeror shall ensure all the requested proposal submission information is current, accurate, and complete in accordance with Section L.5.4.

Offerors who have accreditations will receive additional points in accordance with Section M.6.

M.6 POINT VALUES FOR RELEVANT EXPERIENCE PROJECTS, PAST PERFORMANCE RATINGS AND ACCREDITATIONS

The following scored elements are not minimum requirements; however, Offerors who have them in place will be considered more favorably.

After the minimum requirements review is conducted, only those proposals that met the minimum requirements will receive additional points based on the following scoring table.

Section	Element	Point Value	Number of Potential Occurrences	Total Max Points Per Element	Total Max Possible Points
	Pool Application and Certification				
	Points Per Pool				
L.5.2.4.2	More than one KSA is represented in the cumulative of all Relevant Experience Projects		1		1000
	One additional KSA	500		500	
	Two additional KSAs	1000		1000	
	Points Per Relevant Experience Projects				
L.5.2.4.1	The Relevant Experience Project was performed for a Federal customer. The Offeror was the Prime Contractor or First-Tier Subcontractor		6		1800
	Performed as Prime Contractor	300		1800	
	Performed as First-Tier Subcontractor	150		900	
L.5.2.4.2	The Relevant Experience Project Contains more than one KSA.		6		7200
	One additional KSA	600		3600	
	Two additional KSAs	1200		7200	
L.5.2.4.3	The Offeror will be assigned points if the value of the Project is equal to or greater than \$100,000.		6		1350
	Project's value is equal to or greater than \$100,000 but less than \$300,000 on an annual basis.	125		750	
	Project's value is equal to or greater than \$300,000 but less than \$500,000 on an annual basis.	150		900	
	Project's value is equal to or greater than \$500,000 but less than \$700,000 on an annual basis.	175		1050	

	Project's value is equal to or greater than \$700,000 but less than \$900,000 on an annual basis.	200		1200	
	Project's value is equal to or greater than \$900,000 on an annual basis.	225		1350	
L.5.2.4.4	The Offeror will be assigned points if the period of performance is equal to or greater than 13 months.		6		900
	The period of performance is equal to or greater than 13 months but less than 37 months.	100		600	
	The period of performance is equal to or greater than 37 months but less than 61 months.	125		750	
	The period of performance is equal to or greater than 61 months.	150		900	
L.5.2.4.5	The project involves subcontracting/teaming for services with at least three separate and distinct entities.	85	6	510	510
L.5.2.4.6	The project is inclusive of cost reimbursement.	50	2	100	100
L.5.3.4	The Offeror included either a PPIRS or a Past Performance Substitute Form that shows an average rating that is equal to or greater than 3.00.		6		15000
	The rating is equal to or greater than 3.00, but less than 3.50.	200		1200	
	The rating is equal to or greater than 3.50, but less than 3.75.	400		2400	
	The rating is equal to or greater than 3.75, but less than 4.00.	600		3,600	
	The rating is equal to or greater than 4.00, but less than 4.25.	1000		6000	
	The rating is equal to or greater than 4.25, but less than 4.50.	1500		9000	
	The rating is equal to or greater than 4.50, but less than 4.75.	2000		12000	
	The rating is equal to or greater than 4.75.	2500		15000	
	Accreditations				
L.5.4.1	Estimating System	25	1	25	25
L.5.4.2	Purchasing System	25	1	25	25
L.5.4.3	FPRA, FPRR, Audited Billing Rates		1		20
	FPRA	20		20	
	FPRR	15		15	
	Audited Billing Rates	10		10	
L.5.4.4	EVMS ANSI/EIA Standard-748.	10	1	10	10
L.5.4.5	ISO-9001:2008 Certification.	30	1	30	30
L.5.4.6	ISO/IEC 27001:2005 or 27001:2013 Certification.	30	1	30	30

				Total	28,000
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M.7 PRICE PROPOSAL

The Offeror shall ensure all the requested proposal submission information is current, accurate, and complete in accordance with Section L.5.5.

The Offeror’s price proposal shall be used to determine whether the fully burdened ceiling rates proposed for each labor category are fair and reasonable in order to establish fully burdened ceiling rates for Time-and-Material/Labor-Hour contract types in accordance with Section B.2.5.1.

The Government will establish an acceptable fully burdened ceiling rate range for each HCaTS SB labor category based on the proposed labor rates submitted in response to this solicitation, inclusive of both Pools, in accordance with FAR Paragraph 15.404-1(b). An Offeror’s fully burdened ceiling rates will be determined fair and reasonable if they fall within the acceptable fully burdened ceiling rate range. Attachment J.8 (Direct Labor Rate Ranges) is provided to help Offerors propose fully burdened ceiling rates. Price proposals may only be revised as a result of the Contracting Officer’s determination. The Government may contact Offerors eligible for award if any of the fully burdened ceiling rates fall outside of the acceptable range and allow the Offeror to propose rates that are within the acceptable range or provide justification solely on the labor rates that the Contracting Officer identified. It is within the Contracting Officer’s discretion to determine the acceptability of an Offeror’s justification. All Offerors in the Top 40 will be allowed the same opportunity to propose or justify labor rates that have been determined by the Contracting Officer as outside of the acceptable range.

A proposal may also be rejected if any one or more required submittals is missing or incomplete on Attachment J.7 (Price Worksheet); or, the Government determines the lack of balanced pricing poses an unacceptable risk to the Government.

(END OF SECTION M)